

# Projective modules over overrings of polynomial rings and a question of Quillen

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## Abstract

Let  $(R, \mathfrak{m}, K)$  be a regular local ring containing a field  $k$  such that either  $\text{char } k = 0$  or  $\text{char } k = p$  and  $\text{tr-deg } K/\mathbb{F}_p \geq 1$ . Let  $g_1, \dots, g_t$  be regular parameters of  $R$  which are linearly independent modulo  $\mathfrak{m}^2$ . Let  $A = R_{g_1 \dots g_t}[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$ , where  $f_i(T) \in k[T]$  and  $l_i = a_{i1}Y_1 + \dots + a_{im}Y_m$  with  $(a_{i1}, \dots, a_{im}) \in k^m - (0)$ . Then every projective  $A$ -module of rank  $\geq t$  is free. Laurent polynomial case  $f_i(l_i) = Y_i$  of this result is due to Popescu.

## 1 Introduction

*In this paper, we will assume that rings are commutative Noetherian, modules are finitely generated, projective modules are of constant rank and  $k$  will denote a field.*

Let  $R$  be a ring and  $P$  a projective  $R$ -module. We say that  $P$  is *cancellative* if  $P \oplus R^m \xrightarrow{\sim} Q \oplus R^m$  for some projective  $R$ -module  $Q$  implies  $P \xrightarrow{\sim} Q$ . For simplicity of notations, we begin with a definition.

**Definition 1.1** A ring  $A = R[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$  is said to be **of type**  $R[d, m, n]$  if  $R$  is a ring of dimension  $d$ ,  $Y_1, \dots, Y_m$  are variables over  $R$ , each  $f_i(T) \in R[T]$  and either each  $l_i = Y_{i_j}$  for some  $i_j$ , or  $R$  contains a field  $k$  and  $l_i = \sum_{j=1}^m a_{ij}Y_j - b_i$  with  $b_i \in R$  and  $(a_{i1}, \dots, a_{im}) \in k^m - (0)$ .

Let  $A$  be a ring of the type  $R[d, m, n]$ . We say that  $A$  is **of type**  $R[d, m, n]^*$  if  $f_i(T) \in k[T]$  and  $b_i \in k$  for all  $i$ .

Let  $A = R[Y_1, \dots, Y_m, f_1(Y_1)^{-1}, \dots, f_n(Y_n)^{-1}]$  be a ring of type  $R[d, m, n]$  with  $n \leq m$  and  $l_i = Y_i$ . If  $P$  is a projective  $A$ -module of rank  $\geq \max \{2, d + 1\}$ , then Dhorajia-Keshari ([5], Theorem 3.12), proved that  $E(A \oplus P)$  acts transitively on  $\text{Um}(A \oplus P)$  and hence  $P$  is cancellative. This result was proved by Bass [2] in case  $n = m = 0$ ; Plumstead [12] in case  $m = 1, n = 0$ ; Rao [16] in case  $n = 0$ ; Lindel [8] in case  $f_i = Y_i$ . Gabber [6] proved the following result: *Let  $k$  be a field and  $A$  a ring of type  $k[0, m, n]$ . Then every projective  $A$ -module is free.* We prove the following result (3.4) which generalizes ([5], Theorem 3.12) and is motivated by Gabber's result.

**Theorem 1.2** *Let  $A = R[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$  be a ring of type  $R[d, m, n]$  and  $P$  a projective  $A$ -module of rank  $\geq \max \{2, d + 1\}$ . Then  $E(A \oplus P)$  acts transitively on  $\text{Um}(A \oplus P)$ . In particular,  $P$  is cancellative.*

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The Bass-Quillen conjecture [3, 15] says: *If  $R$  is a regular ring, then every projective module over  $R[X_1, \dots, X_r]$  is extended from  $R$ .* In B-Q conjecture, we may assume that  $R$  is a regular local ring, due to Quillen's local-global principal [15]: *For a ring  $B$ , projective module  $P$  over  $B[X_1, \dots, X_r]$  is extended from  $B$  if and only if  $P_{\mathfrak{m}}$  is free for every maximal ideal  $\mathfrak{m}$  of  $B$ .* We remark that Quillen's local global principal is also true for projective modules over positive graded rings ([19], Theorem 3.1), whereas it is not true for Laurent polynomial rings ([4], Example 2, p. 809).

Lindel [9] gave an affirmative answer to B-Q conjecture when  $R$  is a *regular  $k$ -spot*, i.e.  $R = R'_{\mathfrak{p}}$ , where  $R'$  is some affine  $k$ -algebra and  $\mathfrak{p}$  is a regular prime ideal of  $R'$ . Using Lindel's result, Popescu [13] proved B-Q conjecture when  $R$  is any regular local ring containing a field  $k$ .

Quillen [15] had asked the following question whose affirmative answer would imply that B-Q conjecture is true: *Assume  $(R, \mathfrak{m})$  is a regular local ring and  $f \in \mathfrak{m} - \mathfrak{m}^2$  a regular parameter of  $R$ . Is every projective  $R_f$ -module free?*

Bhatwadekar-Rao [4] answered Quillen's question when  $R$  is a regular  $k$ -spot. More generally, they proved: *Let  $(R, \mathfrak{m})$  be a regular  $k$ -spot with infinite residue field and  $f$  a regular parameter of  $R$ . If  $B$  is one of  $R$ ,  $R(T)$  or  $R_f$ , then projective modules over  $B[X_1, \dots, X_r, Y_1^{\pm 1}, \dots, Y_s^{\pm 1}]$  are free.*

Rao [17] generalized above result as follows: *Let  $(R, \mathfrak{m})$  be a regular  $k$ -spot with infinite residue field. Let  $g_1, \dots, g_t$  be regular parameters of  $R$  which are linearly independent modulo  $\mathfrak{m}^2$ . If  $A = R_{g_1 \dots g_t}[X_1, \dots, X_r, Y_1^{\pm 1}, \dots, Y_s^{\pm 1}]$ , then projective  $A$ -modules of rank  $\geq \min \{t, d/2\}$  are free.*

Popescu [14] generalized Rao's result as follows: *Let  $(R, \mathfrak{m}, K)$  be a regular local ring containing a field  $k$  such that either  $\text{char } k = 0$  or  $\text{char } k = p$  and  $\text{tr-deg } K/\mathbb{F}_p \geq 1$ . Let  $g_1, \dots, g_t$  be regular parameters of  $R$  which are linearly independent modulo  $\mathfrak{m}^2$ . If  $A = R_{g_1 \dots g_t}[X_1, \dots, X_r, Y_1^{\pm 1}, \dots, Y_s^{\pm 1}]$ , then projective  $A$ -modules of rank  $\geq t$  are free.*

We generalize Popescu's result as follows (5.8):

**Theorem 1.3** *Let  $(R, \mathfrak{m}, K)$  be a regular local ring containing a field  $k$  such that either  $\text{char } k = 0$  or  $\text{char } k = p$  and  $\text{tr-deg } K/\mathbb{F}_p \geq 1$ . Let  $g_1, \dots, g_t$  be regular parameters of  $R$  which are linearly independent modulo  $\mathfrak{m}^2$ . If  $A = R_{g_1 \dots g_t}[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$  is a ring of type  $R_{g_1 \dots g_t}[d-1, m, n]^*$ , then every projective  $A$ -module of rank  $\geq t$  is free.*

Note that we can not expect (1.3) for rings of type  $R[d, m, n]$ . For example, let  $R$  be either  $\mathbb{R}[X, Y]_{(X, Y)}$  or  $\mathbb{R}[[X, Y]]$  and  $A = R[Z, f(Z)^{-1}]$  a ring of type  $R[2, 1, 1]$ , where  $f(T) = T^2 + X^2 + Y^2$ . Then stably free  $A$ -module  $P$  of rank 2 given by the kernel of the surjection  $(X, Y, Z) : A^3 \rightarrow A$  is not free. This will follow from the fact that  $P$  over the rings  $\mathbb{R}[X, Y, Z]_{(X, Y, Z)}[f(Z)^{-1}]$  or  $\mathbb{R}[[X, Y, Z]][f(Z)^{-1}]$  is not free ([4], p. 808) and ([11], p. 366).

## 2 Preliminaries

Let  $A$  be a ring and  $M$  an  $A$ -module. We say  $m \in M$  is *unimodular* if there exist  $\phi \in M^* = \text{Hom}_A(M, A)$  such that  $\phi(m) = 1$ . The set of all unimodular elements of  $M$  is denoted by  $\text{Um}(M)$ . For an ideal  $J \subset A$ , we denote by  $E^1(A \oplus M, J)$ , the subgroup of  $\text{Aut}_A(A \oplus M)$  generated by all the automorphisms

$$\Delta_{a\varphi} = \begin{pmatrix} 1 & a\varphi \\ 0 & id_M \end{pmatrix} \quad \text{and} \quad \Gamma_m = \begin{pmatrix} 1 & 0 \\ m & id_M \end{pmatrix}$$

with  $a \in J, \varphi \in M^*$  and  $m \in M$ . In particular, if  $E_{r+1}(A)$  is the group generated by elementary matrices over  $A$ , then  $E_{r+1}^1(A, J)$  denotes the subgroup of  $E_{r+1}(A)$  generated by

$$\Delta_{\mathbf{a}} = \begin{pmatrix} 1 & \mathbf{a} \\ 0 & id_F \end{pmatrix} \quad \text{and} \quad \Gamma_{\mathbf{b}} = \begin{pmatrix} 1 & 0 \\ \mathbf{b}^t & id_F \end{pmatrix},$$

where  $F = A^r$ ,  $\mathbf{a} \in JF$  and  $\mathbf{b} \in F$ . We write  $E^1(A \oplus M)$  for  $E^1(A \oplus M, A)$ .

By  $\text{Um}^1(A \oplus M, J)$ , we denote the set of all  $(a, m) \in \text{Um}(A \oplus M)$  with  $a \in 1+J$ , and  $\text{Um}(A \oplus M, J)$  denotes the set of all  $(a, m) \in \text{Um}^1(A \oplus M)$  with  $m \in JM$ . We write  $\text{Um}_r(A, J)$  for  $\text{Um}(A \oplus A^{r-1}, J)$  and  $\text{Um}_r^1(A, J)$  for  $\text{Um}^1(A \oplus A^{r-1}, J)$ .

Let  $p \in M$  and  $\varphi \in M^*$  be such that  $\varphi(p) = 0$ . Let  $\varphi_p \in \text{End}(M)$  be defined as  $\varphi_p(q) = \varphi(q)p$ . Then  $1 + \varphi_p$  is a (unipotent) automorphism of  $M$ . An automorphism of  $M$  of the form  $1 + \varphi_p$  is called a *transvection* of  $M$  if either  $p \in \text{Um}(M)$  or  $\varphi \in \text{Um}(M^*)$ . We denote by  $E(M)$ , the subgroup of  $\text{Aut}(M)$  generated by all transvections of  $M$ .

The following result is due to Bak-Basu-Rao ([1], Theorem 3.10). In [5], we proved results for  $E^1(A \oplus P)$ . Due to this result, we can interchange  $E(A \oplus P)$  and  $E^1(A \oplus P)$ .

**Theorem 2.1** *Let  $A$  be a ring and  $P$  a projective  $A$ -module of rank  $\geq 2$ . Then  $E^1(A \oplus P) = E(A \oplus P)$ .*

The following result follows from the definition.

**Lemma 2.2** *Let  $I \subset J$  be ideals of a ring  $A$  and  $P$  a projective  $A$ -module. Then the natural map  $E^1(A \oplus P, J) \rightarrow E^1(\frac{A}{I} \oplus \frac{P}{IP}, \frac{J}{I})$  is surjective.*

Recall that a ring  $R$  is *essentially of finite type over a ring  $B$*  if  $R$  is localization of an affine  $B$ -algebra  $C$  at some multiplicative closed subset of  $C$ . We state two results due to Gabber ([6], Theorem 2.1) and Popescu ([13], Theorem 3.1) respectively.

**Theorem 2.3** *Let  $k$  be a field and  $A = k[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$  a ring of type  $k[0, m, n]$ . Then every projective  $A$ -module is free.*

**Theorem 2.4** *Let  $R$  be a regular local ring containing a field. Then  $R$  is a filtered inductive limit of regular local rings essentially of finite type over  $\mathbb{Z}$ .*

We state two results due to Wiemers ([20], Proposition 2.5) and Lindel ([8], Lemma 1.1) respectively.

**Proposition 2.5** *Let  $R$  be a ring of dimension  $d$  and  $A = R[X_1, \dots, X_r, Y_1^{\pm 1}, \dots, Y_s^{\pm 1}]$ . Let  $c \in \{1, X_r, Y_s - 1\}$ . If  $s \in R$  and  $r \geq \max\{3, d + 2\}$ , then  $E_r^1(A, scA)$  acts transitively on  $\text{Um}_r^1(A, scA)$ .*

**Lemma 2.6** *Let  $A$  be a ring and  $P$  a projective  $A$ -module of rank  $r$ . Then there exist  $s \in A$ ,  $p_1, \dots, p_r \in P$  and  $\phi_1, \dots, \phi_r \in \text{Hom}(P, A)$  such that following holds:  $P_s$  is free,  $(\phi_i(p_j)) = \text{diagonal}(s, \dots, s)$ ,  $sP \subset p_1A + \dots + p_rA$ , the image of  $s$  in  $A_{\text{red}}$  is a non-zero-divisor and  $(0 : sA) = (0 : s^2A)$ .*

**Definition 2.7** Let  $R \subset S$  be rings and  $h \in R$  be a non-zero-divisor in  $R$  and  $S$  both. If the natural map  $R/hR \rightarrow S/hS$  is an isomorphism, then we say  $R \rightarrow S$  is an *analytic isomorphism* along  $h$ . In this case, we get the following fiber product diagram

$$\begin{array}{ccc} R & \longrightarrow & S \\ \downarrow & & \downarrow \\ R_h & \longrightarrow & S_h. \end{array}$$

In particular, if  $P$  is a projective  $S$ -module such that  $P_h$  is free, then  $P$  is extended from  $R$ . The following result is due to Nashier ([10], Theorem 2.8). See also ([4], Proposition, p. 803).

**Proposition 2.8** *Let  $(R, \mathfrak{m})$  be a regular  $k$ -spot over a perfect field  $k$ . Let  $g \in \mathfrak{m}$  and  $f$  be any regular parameter of  $R$  with  $(g, f)$  a regular sequence. Then there exist a field  $K/k$  and a regular  $K$ -spot  $R'$  such that*

(i)  $R' = K[Z_1, \dots, Z_d]_{(\phi(Z_1), Z_2, \dots, Z_d)}$ , where  $\phi(Z_1) \in K[Z_1]$  is an irreducible monic polynomial. Moreover, we may assume  $Z_d = f$ .

(ii)  $R' \subset R$  is an analytic isomorphism along  $h$  for some  $h \in gR \cap R'$ .

(iii) If  $R/\mathfrak{m}$  is infinite, then  $K$  is also infinite.

We end this section by stating two results ([7], Lemma 3.3) and ([4], Proposition 3.7) respectively.

**Lemma 2.9** *Let  $A$  be a ring and  $P$  a projective  $A$ -module of rank  $r$ . Choose  $s \in A$  satisfying the properties of (2.6). Assume that  $R^r$  is cancellative, where  $R = A[X]/(X^2 - s^2X)$ . Then every element of  $\text{Um}^1(A \oplus P, s^2A)$  can be taken to  $(1, 0)$  by some element of  $\text{Aut}(A \oplus P)$ .*

**Proposition 2.10** *Let  $B$  be a reduced ring of dimension  $d$  and  $R$  an overring of  $B[X]$  contained in its total quotient ring. Let  $A = R[X_1, \dots, X_n, Y_1^{\pm 1}, \dots, Y_m^{\pm 1}]$ . Then  $A^r$  is cancellative for  $r \geq d + 1$ .*

### 3 Cancellation over overrings of polynomial rings

In this section, we prove our first result (3.4). We begin with the following:

**Proposition 3.1** *Let  $A = R[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$  be a ring of type  $R[d, m, n]$ . If  $s \in R$  and  $r \geq \max\{3, d + 2\}$ , then  $E_r^1(A, sA)$  acts transitively on  $\text{Um}_r^1(A, sA)$ .*

**Proof** By ([5], Lemma 3.1), we may assume that  $R$  is reduced. The case  $n = 0$  follows from (2.5). Assume  $n > 0$  and use induction on  $n$ . The case each  $l_j = Y_{i_j}$  is proved in ([5], Proposition 3.5). We will prove the other case.

Let  $(a_1, \dots, a_r) \in \text{Um}_r^1(A, sA)$ . Recall that  $l_n = a_{n1}Y_1 + \dots + a_{nm}Y_m - b_n$  with  $(a_{n1}, \dots, a_{nm}) \in k^m - (0)$  and  $b_n \in R$ . We can find  $\theta \in E_m(k)$  such that  $\theta(a_{n1}, \dots, a_{nm}) = (0, \dots, 0, 1)$ . Replacing the variables  $(Y_1, \dots, Y_m)$  by  $\theta(Y_1, \dots, Y_m)$ , we may assume that  $l_n = Y_m - b_n$ . Further replacing  $Y_m$  by  $Y_m + b_n$ , we may assume that  $l_n = Y_m$ .

Let  $S = 1 + f_n(Y_m)R[Y_m]$ . Then  $A_S = B[Y_1, \dots, Y_{m-1}, f_1(l_1)^{-1}, \dots, f_{n-1}(l_{n-1})^{-1}]$ , where  $B = R[Y_m]_{f_n(Y_m)S}$  is a ring of dimension  $d$ ,  $l_i = \sum_{j=1}^{m-1} a_{ij}Y_j + \tilde{b}_i$  with  $\tilde{b}_i = a_{im}Y_m - b_i \in B$ . Hence  $A_S$  is of type  $B[d, m-1, n-1]$ . By induction on  $n$ , we can find  $\sigma \in E_r^1(A_S, sA_S)$  such that  $\sigma(a_1, \dots, a_r) = (1, 0, \dots, 0)$ . We can find  $g = 1 + f_n(Y_m)h(Y_m) \in S$  and  $\sigma' \in E_r^1(A_g, sA_g)$  such that  $\sigma'(a_1, \dots, a_r) = (1, 0, \dots, 0)$ . Rest of the proof is similar to ([5], Proposition 3.5). Hence we only give a sketch.

Let  $C = R[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_{n-1}(l_{n-1})^{-1}]$  be a ring of type  $R[d, m, n-1]$ . Consider the fiber product diagram

$$\begin{array}{ccc} C & \longrightarrow & A = C_{f_n(Y_m)} \\ \downarrow & & \downarrow \\ C_{g(Y_m)} & \longrightarrow & A_{g(Y_m)} = C_{g(Y_m)f_n(Y_m)}. \end{array}$$

By ([5], Lemma 3.2), there exist  $\sigma_1 \in E_r^1(C_{f_n}, s)$  and  $\sigma_2 \in \text{SL}_r^1(C_g, s)$  such that  $\sigma'$  has a splitting  $\sigma' = (\sigma_2)_{f_n} \circ (\sigma_1)_g$ . Patching unimodular elements  $\sigma_1(a_1, \dots, a_r) \in \text{Um}_r^1(C_{f_n}, s)$  and  $(\sigma_2)^{-1}(1, 0, \dots, 0) \in \text{Um}_r^1(C_g, s)$ , we get  $(c_1, \dots, c_r) \in \text{Um}_r^1(C, s)$ . By induction on  $n$ , there exist  $\phi \in E_r^1(C, s)$  such that  $\phi(c_1, \dots, c_r) = (1, 0, \dots, 0)$ . Taking projection of  $\phi$  in  $A$ , we get  $\Phi \in E_r^1(A, s)$  such that  $\Phi\sigma_1(a_1, \dots, a_r) = (1, 0, \dots, 0)$ . This completes the proof.  $\blacksquare$

As a consequence of (3.1), we get the following:

**Proposition 3.2** *Let  $A = R[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$  be a ring of type  $R[d, m, n]$ . Then*

- (i) *the canonical map  $\Phi_r : \text{GL}_r(A)/E_r(A) \rightarrow K_1(A)$  is surjective for  $r \geq \max\{2, d + 1\}$ .*
- (ii) *Further assume  $f_i(T) \in R[T]$  is monic polynomial,  $n \leq m$  and  $l_i \in k[Y_1, \dots, Y_i]$  with  $a_{ii} \neq 0$  (see 1.1). Then for  $r \geq \max\{3, d + 2\}$ , any stably elementary matrix in  $\text{GL}_r(A)$  is in  $E_r(A)$ . In particular,  $\Phi_{d+2}$  is an isomorphism.*

**Proof** The proof of (i) is same as ([5], Theorem 3.8). For (ii), let  $M \in \text{GL}_r(A)$  be a stably elementary matrix. In case  $n = 0$  or each  $l_i = Y_i$ , the proof follows from ([5], Theorem 3.8). Assume  $n > 0$  and use induction on  $n$ . Recall that  $l_n = a_{n1}Y_1 + \dots + a_{nn}Y_n - b_n$  with  $a_{nn} \neq 0$ . Changing  $Y_n \mapsto a_{nn}^{-1}(Y_n - a_{n1}Y_1 - \dots - a_{n-1,n-1}Y_{n-1}) + b_n$ , we may assume that  $l_n = Y_n$ . Let  $S = 1 + f_n(Y_n)R[Y_n]$  and  $B = R[Y_n]_{f_n S}$ . Then  $A_S = B[Y_1, \dots, Y_{n-1}, Y_{n+1}, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_{n-1}(l_{n-1})^{-1}]$  is a ring of type  $B[d, m-1, n-1]$  with  $l_i \in k[Y_1, \dots, Y_i]$  and  $a_{ii} \neq 0$ . By induction on  $n$ ,  $M_S \in E_r(A_S)$ . Hence we can choose  $g \in S$  such that  $M_g \in E_r(A_g)$ . The remaining proof is same as ([5], Theorem 3.8), hence we omit it.  $\blacksquare$

In the following result, (1) will follow from (2.3, 2.6) and (2) will follow from ([5], Lemma 3.10).

**Lemma 3.3** *Let  $A = R[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$  be a ring of the type  $R[d, m, n]$  and  $P$  a projective  $A$ -module of rank  $r$ . Then there exist an  $s \in R$ ,  $p_1, \dots, p_r \in P$  and  $\phi_1, \dots, \phi_r \in \text{hom}(P, A)$  such that*

(1)  $P_s$  is free;  $(\phi_i(p_j)) = \text{diagonal}(s, \dots, s)$ ;  $sP \subset p_1A + \dots + p_rA$ ; the image of  $s$  in  $R_{\text{red}}$  is a non-zero-divisor; and  $(0 : sR) = (0 : s^2R)$ .

(2) Let  $(a, p) \in \text{Um}(A \oplus P, sA)$  with  $p = c_1p_1 + \dots + c_r p_r$ , where  $c_i \in sA$  for all  $i$ . Assume there exist  $\phi \in E_{r+1}^1(A, s)$  such that  $\phi(a, c_1, \dots, c_r) = (1, 0, \dots, 0)$ . Then there exist  $\Phi \in E(A \oplus P)$  such that  $\Phi(a, p) = (1, 0)$ .

Following is the main result of this section which generalizes ([5], Theorem 3.12).

**Theorem 3.4** *Let  $A = R[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$  be a ring of type  $R[d, m, n]$  and  $P$  a projective  $A$ -module of rank  $r \geq \max\{2, d+1\}$ . Then  $E(A \oplus P)$  acts transitively on  $\text{Um}(A \oplus P)$ . In particular,  $P$  is cancellative.*

**Proof** Using ([5], Lemma 3.1), we may assume that  $R$  is reduced. If  $d = 0$ , then  $R$  is a direct product of fields. Hence  $P$  is free by (2.3) and the result follows from (3.1) with  $s = 1$ . Assume  $d > 0$  and use induction on  $d$ .

By (3.3), there exist a non-zero-divisor  $s \in R$ ,  $p_1, \dots, p_r \in P$  and  $\phi_1, \dots, \phi_r \in P^*$  satisfying the properties of (3.3(1)). We may assume that  $s$  is not a unit, otherwise  $P$  is free and we are done by (3.1). Rest of the proof is similar to ([5], Theorem 3.12) with  $J = R$ , we only give a sketch.

Let  $(a, p) \in \text{Um}(A \oplus P)$ . Using (2.2) and induction on  $d$ , we may assume that  $(a, p) = (1, 0)$  modulo  $s^2A$ . By (3.3),  $p = a_1p_1 + \dots + a_r p_r$  with  $a_i \in sA$  and  $(a, a_1, \dots, a_r) \in \text{Um}_{r+1}(A, sA)$ . By (3.1), there exist  $\phi \in E^1(A, sA)$  such that  $\phi(a, a_1, \dots, a_r) = (1, 0, \dots, 0)$ . By (3.3(2)), we get  $\Psi \in E(A \oplus P)$  such that  $\Psi(a, p) = (1, 0)$ . This completes the proof.  $\blacksquare$

Following result generalizes (2.10).

**Proposition 3.5** *Let  $B$  be a reduced ring of dimension  $d$  containing a field  $k$  and  $R$  an overring of  $B[X]$  contained in its total quotient ring. Let  $A = R[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$  be a ring of type  $R[\dim R, m, n]^*$  with  $n \leq m$ ,  $l_i \in k[Y_1, \dots, Y_i]$  and  $a_{ii} \neq 0$ . Then every projective  $A$ -module of rank  $r \geq d+1$  is cancellative.*

**Proof** If  $\dim R \leq d$  or  $r \geq d+2$ , then result follows from (3.4). Hence we assume  $\dim R = d+1$  and  $r = d+1$ .

**Step 1:** We first prove that  $A^{d+1}$  is cancellative. When  $n = 0$ , we are done by (2.10). Assume  $n > 0$  and use induction on  $n$ .

Recall that  $l_n = a_{n1}Y_1 + \dots + a_{nn}Y_n - b_n$  with  $a_{nn} \neq 0$ . Changing  $Y_n \mapsto a_{nn}^{-1}(Y_n - a_{n1}Y_1 - \dots - a_{n,n-1}Y_{n-1}) + b_n$ , we can assume that  $l_n = Y_n$ . Let  $P$  be a stably free  $A$ -module of rank  $d+1$ . If  $S = 1 + f_n(Y_n)k[Y_n]$ , then  $\dim B[Y_n]_{f_n(Y_n)S} = d$ . If  $R' = R[Y_n]_{f_n S}$ , then  $A_S = R'[Y_1, \dots, Y_{n-1}, Y_{n+1}, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_{n-1}(l_{n-1})^{-1}]$  is a ring of type  $R'[d+1, m-1, n-1]^*$  with  $l_i \in k[Y_1, \dots, Y_i]$  and  $a_{ii} \neq 0$ . By induction on  $n$ ,  $P_S$  is free. Hence we can find  $g \in k[Y_n]$  such that  $P_{1+f_n g}$  is free. If  $C' = R'[Y_1, \dots, Y_{n-1}, Y_{n+1}, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_{n-1}(l_{n-1})^{-1}]$  and  $C = C'[Y_n]$ , then we have following fiber product diagram

$$\begin{array}{ccc} C & \longrightarrow & A = C_{f_n} \\ \downarrow & & \downarrow \\ C_{1+f_n g} & \longrightarrow & A_{1+f_n g} = C_{f_n(1+f_n g)}. \end{array}$$

Since  $P_{1+f_n g}$  is free, by (2.7),  $P$  is extended from  $C$ , say  $P'_{f_n} = P$  for some projective  $C$ -module  $P'$ . Since  $P \oplus A \xrightarrow{\sim} A^{d+2}$ , we get  $(P' \oplus C)_{f_n} \xrightarrow{\sim} C_{f_n}^{d+2}$ . Since  $f_n \in C'[Y_n]$  is a monic polynomial, using Suslin's monic inversion theorem ([18], Theorem 1), we get  $P' \oplus C \xrightarrow{\sim} C^{d+2}$ . But  $C$  is a ring of type  $R[d+1, m, n-1]^*$  with  $l_i \in k[Y_1, \dots, Y_i]$  and  $a_{ii} \neq 0$ . Hence by induction on  $n$ ,  $C^{d+1}$  is cancellative. Therefore,  $P'$  is free and so  $P$  is free. This proves that  $A^r$  is cancellative.

**Step 2:** We will prove the general case. Let  $P$  be a projective  $A$ -module of rank  $d+1$ . If  $d = 0$ , then we may assume that  $B$  is a field. It is easy to see that  $R = B[X, f(X)^{-1}]$  for some  $f(X) \in B[X]$ . Hence  $A$  is a ring of type  $B[0, m+1, n+1]$ , so  $P$  is free, by (2.3). Assume  $d \geq 1$ .

If  $S$  is the set of non-zerodivisors of  $B$ , then as above, projective modules over  $S^{-1}A$  are free. Hence we can choose  $s \in S$  such that  $P_s$  is free and (3.3 (1)) holds. Note that if  $B' = B[T]/(T^2 - s^2T)$ ,  $R' = R[T]/(T^2 - s^2T)$  and  $A' = R'[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$ , then  $(A')^{d+1}$  is cancellative, by step 1. By (2.9), every element of  $\text{Um}^1(A \oplus P, s^2A)$  can be taken to  $(1, 0)$  by some element of  $\text{Aut}(A \oplus P)$ . To complete the proof, it is enough to show that if  $(a, p) \in \text{Um}(A \oplus P)$ , then there exist  $\sigma \in \text{Aut}(A \oplus P)$  such that  $\sigma(a, p) \in \text{Um}^1(A \oplus P, s^2A)$ .

Let “bar” denote reduction modulo  $s^2A$ . Then  $\bar{A} = \bar{R}[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$  and  $\dim \bar{R} \leq d$ . By (3.4), there exist  $\bar{\sigma} \in E(\bar{A} \oplus \bar{P})$  such that  $\bar{\sigma}(\bar{a}, \bar{p}) = (1, 0)$ . Lifting  $\bar{\sigma}$  to an element  $\sigma \in E(A \oplus P)$ , we get  $\sigma(a, p) \in \text{Um}^1(A \oplus P, s^2A)$ . This completes the proof.  $\blacksquare$

## 4 Quillen's question and Bhatwadekar-Rao's results

In this section we will generalize some results from [4] regarding Quillen's question mentioned in the introduction. We begin with the following:

**Lemma 4.1** *Let  $R$  be a UFD of dimension 1 and  $A = R[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$  a ring of type  $R[1, m, n]$ . Then every projective  $A$ -module is free.*

**Proof** If  $n = 0$ , we are done by ([4], Proposition 3.1). Assume  $n > 0$  and use induction on  $n$ . Let  $P$  be a projective  $A$ -module of rank  $r$ . Using same arguments as in the proof of (3.1), after changing variables  $(Y_1, \dots, Y_m)$  by  $\theta(Y_1, \dots, Y_m)$  for some  $\theta \in E_m(k)$ , we may assume that  $l_n = Y_m$ .

Let  $S = 1 + f_n(Y_m)R[Y_m]$  and  $R' = R[Y_m]_{f_n S}$ . Then  $R'$  is a UFD of dimension 1 and  $A_S = R'[Y_1, \dots, Y_{m-1}, f_1(l_1)^{-1}, \dots, f_{n-1}(l_{n-1})^{-1}]$  is a ring of type  $R'[1, m-1, n-1]$ , where  $l_i = a_{i1}Y_1 + \dots + a_{i,m-1}Y_{m-1} - \tilde{b}_i$  with  $\tilde{b}_i = b_i - a_{im}Y_m \in R'$  for  $i = 1, \dots, n-1$ . By induction on  $n$ , every projective  $A_S$ -module is free. In particular,  $P_S$  is free. Find  $1 + f_n g \in S$  such that  $P_{1+f_n g}$  is free. The ring  $C = R[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_{n-1}(l_{n-1})^{-1}]$  is of type  $R[1, m, n-1]$ . Hence by induction on  $n$ , projective  $C$ -modules are free. Consider the following fiber product diagram

$$\begin{array}{ccc} C & \longrightarrow & A = C_{f_n} \\ \downarrow & & \downarrow \\ C_{1+g f_n} & \longrightarrow & A_{1+f_n g} = C_{f_n(1+g f_n)}. \end{array}$$

Since  $P_{1+f_n g}$  is free, patching projective modules  $P$  and  $(C_{1+f_n g})^r$  over  $C_{f_n(1+g f_n)}$ , we get that  $P$  is extended from  $C$  and hence  $P$  is free.  $\blacksquare$

#### 4.1 Infinite residue-field case

The following result generalizes Bhatwadekar-Rao's Laurent polynomial case ([4], Theorem 3.2).

**Proposition 4.2** *Let  $R$  be a regular  $k$ -spot of dimension  $d$  with infinite residue field,  $f$  a regular parameter of  $R$  and  $A = R[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$  a ring of type  $R[d, m, n]^*$ . Then every projective  $A_f$ -module is free.*

**Proof** Let  $P$  be a projective  $A_f$ -module. If  $T = R - \{0\}$ , then  $T^{-1}P$  is free, by (2.3). Find  $g \in T$  such that  $P_g$  is free. We may assume that  $(g, f)$  is a regular sequence in  $R$ . By (2.8), there exist an infinite field  $K/k$ , a regular  $K$ -spot  $R' = K[Z_1, \dots, Z_d]_{(\phi(Z_1, Z_2, \dots, Z_d))}$  such that  $R' \subset R$  is an analytic isomorphism along  $h \in gR \cap R'$  and  $f = Z_d$ . Therefore,  $A' = R'[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$  is a ring of type  $R'[d, m, n]^*$  and  $A' \subset A$  is an analytic isomorphism along  $h$ . Since  $P_h$  is free, by (2.7),  $P$  is extended from  $A'_{Z_d}$ .

Enough to show that projective  $A'_{Z_d}$ -modules are free. Replace  $R'$  by  $R$  and  $A'$  by  $A$ . If  $d \leq 2$ , then  $R_{Z_d}$  is a UFD of dimension  $\leq 1$ . Hence  $P$  is free, by (4.1, 2.3). Assume  $d > 2$  and use induction on  $d$ . The proof is similar to ([4], Theorem 3.2), hence we only give a sketch.

Let  $S$  be multiplicative set of all non-zero homogeneous polynomials in  $C = k[Z_2, \dots, Z_d]$ . Then  $R_{Z_d S}$  is a localization of  $C_S[Z_1]$ . We can find  $h \in C_S[Z_1]$  such that  $P_S$  is defined over the



ring  $D = C_S[Z_1, h(Z_1)^{-1}, Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$ . Note that  $C_S$  is a UFD of dimension  $\leq 1$ , by ([10], Proposition 1.11). Since  $D$  is of type  $C_S[1, m+1, n+1]$ , by (4.1),  $P_S$  is free. Choose  $F \in S$  such that  $P_F$  is free. Since  $K$  is infinite, by linear change of variables, we can assume that  $F$  is homogeneous and monic polynomial in  $Z_2$  with coefficients in  $k[Z_3, \dots, Z_d]$ .

If  $\tilde{R} = k[Z_1, Z_3, \dots, Z_d]_{(\phi(Z_1, Z_3, \dots, Z_d))}$ , then  $\tilde{R}[Z_2] \subset R$  is an analytic isomorphism along  $F$  ([4], page 803). If  $\tilde{A} = \tilde{R}[Z_2, Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$ , then  $\tilde{A}_{Z_d} \subset A_{Z_d}$  is an analytic isomorphism along  $F$ . Since  $P_F$  is free,  $P$  is extended from  $\tilde{A}_{Z_d}$ , by (2.7). Observe that  $\tilde{A}_{Z_d}$  is a ring of type  $\tilde{R}_{Z_d}[d-2, m+1, n]$ . Hence by induction on  $d$ , projective  $\tilde{A}_{Z_d}$ -modules are free. In particular,  $P$  is free. ■

Recall that  $R(T)$  denote the ring  $S^{-1}R[T]$ , where  $S$  is the multiplicative set consisting of all monic polynomials of  $R[T]$ .

**Corollary 4.3** *Let  $(R, \mathfrak{m})$  be a regular  $k$ -spot of dimension  $d$  with infinite residue field. If  $A = R[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$  is a ring of type  $R[d, m, n]^*$ , then projective modules over  $A$  and  $A \otimes_R R(T)$  are free.*

**Proof** (i) Assume  $P$  is a projective  $A \otimes_R R(T)$ -module. By ([4], Corollary 3.5),  $R(T) = R[X]_{(\mathfrak{m}, X)}[1/X]$  with  $X = T^{-1}$ . Since  $f = X \in R[X]_{(\mathfrak{m}, X)}$  is a regular parameter, we are done by (4.2).

(ii) Assume  $P$  is a projective  $A$ -module. Then, we are done by (i), using Suslin's monic inversion ([18], Theorem 1). ■

The laurent polynomial case of the following result is due to Popescu [14].

**Theorem 4.4** *Let  $(R, \mathfrak{m}, K)$  be a regular local ring of dimension  $d$  containing a field  $k$  such that either  $\text{char } k = 0$  or  $\text{char } k = p$  and  $\text{tr-deg } K/\mathbb{F}_p \geq 1$ . Let  $f$  be a regular parameter of  $R$  and  $A = R[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$  a ring of type  $R[d, m, n]^*$ . Then projective modules over  $A, A_f$  and  $A \otimes_R R(T)$  are free.*

**Proof** (i) Assume  $P$  is a projective  $A_f$ -module. By (2.4),  $R$  is a filtered inductive limit of some regular spots  $(R_i)_{i \in I}$  over  $\mathbb{Z}$ , in particular over the prime subfield of  $R$ . Further, we may assume that  $f$  is an extension of  $f' \in R_j$  for some  $j$  and that  $f'$  is a regular parameter of  $R_j$  (see [14]).

Choosing possibly a bigger index  $j \in I$ , we may assume that  $P$  is extended from  $A'_{f'}$ , where  $A' = R_j[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$  is a ring of type  $R_j[d, m, n]^*$ . Since  $\text{tr-deg } K/k \geq 1$ , we can assume that the residue field of  $R_j$  is infinite. By (4.2),  $P'$  and hence  $P$  is free.

(ii) Following the proof of (4.3), projective modules over  $A$  and  $A \otimes_R R(T)$  are free. ■

## 4.2 Finite residue-field case

The following result is an analogue of (4.2) in case residue field of  $R$  is finite and generalizes Bhatwadekar-Rao's ([4], Theorem 3.8).

**Theorem 4.5** *Let  $R$  be a regular  $\mathbb{F}_q$ -spot of dimension  $d$ ,  $f$  a regular parameter of  $R$  and  $A = R[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$  a ring of type  $R[d, m, n]^*$ . Then every projective  $A_f$ -module of rank  $\geq d - 1$  is free.*

**Proof** As in (4.2), using (2.8), we can assume  $R = K[Z_1, \dots, Z_d]_{(\phi(Z_1), Z_2, \dots, Z_d)}$  and  $f = Z_d$ , where  $K \supseteq \mathbb{F}_q$  may be a finite field. Let  $P$  be a projective  $A_f$ -module of rank  $r \geq d - 1$ . Note that projective  $A_f$ -modules are stably free and  $\dim R_f = d - 1$ . Hence if  $r \geq d$ , then  $P$  is free by (2.3, 3.4). Therefore, we need to prove the result in case  $r = d - 1$ . We use induction on  $d$ .

If  $d \leq 2$ , then  $R_f$  is a UFD of dimension 1 and we are done by (4.1). Assume  $d > 2$ . If  $\tilde{R} = K[Z_1, \dots, Z_{d-1}]_{(\phi(Z_1), Z_2, \dots, Z_{d-1})}$ , then  $\tilde{R}_{Z_{d-1}}$  is of dimension  $d - 2$ . Since  $R_{Z_d Z_{d-1}}$  is a localization of  $\tilde{R}_{Z_{d-1}}[Z_d]$ , we can find  $h(Z_d) \in \tilde{R}_{Z_{d-1}}[Z_d]$  such that  $P_{Z_{d-1}}$  is defined over  $C = \tilde{R}_{Z_{d-1}}[Z_d, h(Z_d)^{-1}, Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$ . Since  $C$  is of type  $\tilde{R}_{Z_{d-1}}[d - 2, m + 1, n + 1]$  by (3.4),  $P_{Z_{d-1}}$  being stably free is free.

If  $R' = K[Z_1, \dots, Z_{d-2}, Z_d]_{(\phi(Z_1), Z_2, \dots, Z_{d-2}, Z_d)}$ , then  $R'_{Z_d}[Z_{d-1}] \subset R_{Z_d}$  is an analytic isomorphism along  $Z_{d-1}$  (see [4], page 803). If  $A' = R'_{Z_d}[Z_{d-1}, Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$  then  $A'_{Z_d} \subset A_{Z_d}$  is also an analytic isomorphism along  $Z_{d-1}$ . Using  $P_{Z_{d-1}}$  is free,  $P$  is extended from  $D = R'_{Z_d}[Z_{d-1}, Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$ . By induction on  $d$ , projective  $D$ -modules of rank  $\geq d - 1$  are free. Hence  $P$  is free. ■

The following result is an analogue of (4.3) in case residue field of  $R$  is finite and follows from (4.5) by following the proof of (4.3).

**Corollary 4.6** *Let  $R$  be a regular  $\mathbb{F}_q$ -spot of dimension  $d$ , and  $A$  a ring of type  $R[d, m, n]^*$ . Then projective modules of rank  $\geq d$  over  $A$  and  $A \otimes_R R(T)$  are free.*

The proof of following result is exactly same as ([4], Proposition 4.1, Theorem 4.2) using (2.3). Hence we omit the proof.

**Theorem 4.7** *Let  $R = \mathbb{F}_p[[Z_1, \dots, Z_d]]$  and  $f$  be a regular parameter of  $R$ . If  $A$  is a ring of type  $R[d, m, n]^*$ , then projective modules over  $A, A_f, A \otimes_R R(T)$  are free.*

## 5 Generalization of Rao's results

In this section, we will generalize some results from [17]. We begin with the following result. It's proof is exactly same as ([17], Theorem 2.1) by using (3.4) instead of Swan's result, hence we omit it. The case  $t \leq 1$  is (4.7).

**Proposition 5.1** *Let  $(R, \mathfrak{m})$  be a formal (or convergent) power series ring of dimension  $d$  over a field  $k$ . Let  $g_1, \dots, g_t, 0 \leq t \leq d$  be regular parameters of  $R$  which are linearly independent modulo  $\mathfrak{m}^2$ . If  $A$  is a ring of type  $R[d, m, n]^*$ , then every projective  $A_{g_1 \dots g_t}$ -module of rank  $\geq t - 1$  is free.*

**Lemma 5.2** *Let  $(R, \mathfrak{m})$  be a regular  $k$ -spot of dimension  $d$  and  $S$  a multiplicative closed subset of  $R$  which contains a regular parameter of  $R$ . Let  $A = R[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$  be a ring of type  $R[d, m, n]^*$ . Then every projective  $S^{-1}A$ -module of rank  $\geq d - 1$  is free.*

**Proof** Since  $\dim S^{-1}R \leq d - 1$ , if  $\text{rank } P > d - 1$ , then we are done by (3.4). Assume that  $\text{rank } P = d - 1$ . We will follow the notation and proof of ([17], Proposition 2.3). If we show that every stably free module  $P$  of rank  $d - 1$  over  $R'_{Z_1s}[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$  is free, then remaining proof is exactly same as in [17].

Recall that  $R' = K[Z_1, \dots, Z_d]_{(Z_1, \dots, Z_{d-1}, \phi(Z_d))}$ . If  $\tilde{R} = K[Z_1, \dots, Z_{d-2}, Z_d]_{(Z_1, \dots, Z_{d-2}, \phi(Z_d))}$ , then  $R'_{Z_1s}$  is a localization of  $\tilde{R}_{Z_1s}[Z_{d-1}]$ . We can find  $f(Z_{d-1}) \in \tilde{R}_{Z_1s}[Z_{d-1}]$  such that  $P$  is defined over  $C = \tilde{R}_{sZ_1}[Z_{d-1}, f^{-1}, Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$ . Since  $C$  is a ring of type  $\tilde{R}_{Z_1}[d - 2, m + 1, n + 1]$  and  $P$  is stably free of rank  $d - 1$ , by (3.4),  $P$  is free. This completes the proof.  $\blacksquare$

The following result is immediate from (5.2).

**Corollary 5.3** *Let  $(R, \mathfrak{m})$  be a regular  $k$ -spot of dimension 3 and  $f, g, h$  regular parameters of  $R$  which are linearly independent modulo  $\mathfrak{m}^2$ . Let  $A$  be a ring of type  $R[3, m, n]^*$ . Then projective modules over  $A, A_f, A_{fg}$  and  $A_{fgh}$  are free.*

**Lemma 5.4** *Let  $k$  be an infinite field,  $B = k[Z_1, \dots, Z_d]$ ,  $\mathfrak{m} = (Z_1, \dots, Z_{d-1}, \phi(Z_d))$  a maximal ideal and  $R = B_{\mathfrak{m}}$ . Let  $A = R[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$  be a ring of type  $R[d, m, n]^*$  and  $h \in k[Z_1, \dots, Z_t]$ . Then every projective  $A_h$ -module of rank  $\geq t$  is free.*

**Proof** Assume  $t = d$ . If  $h \in \mathfrak{m}$ , then  $\dim R_h = d - 1$  and the result follows from (3.4). If  $h \notin \mathfrak{m}$ , then  $R_h = R$  and we are done by (4.3). The proof in case  $t < d$  is similar to ([17], Proposition 2.7), hence we only give a sketch.

We can find  $f \in B - \mathfrak{m}$  such that  $P$  is defined over  $B[(fh)^{-1}, Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$ . If  $S = k[Z_{t+1}, \dots, Z_d] - (0)$ , then  $P_S$  is defined over  $\tilde{R}[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$ , where  $\tilde{R} = K[Z_1, \dots, Z_t]_{\mathfrak{m}_1}[(fh)^{-1}]$  with  $K = k(Z_{t+1}, \dots, Z_d)$  and  $\mathfrak{m}_1 = (Z_1, \dots, Z_t)$ . Since  $\text{rank } P \geq t$  and  $\dim \tilde{R} \leq t$ ,  $P_S$  is free ( $t = d$  case).

Proceed as in [17], we get that if  $B' = k[Z_1, \dots, Z_{d-1}]_{(Z_1, \dots, Z_{d-1})}$ , then  $P$  is extended from  $C_h$ , where  $C = B'[Z_d, Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$ . Since  $C_h$  is of type  $B'_h[d', m + 1, n]^*$ , where  $d' \leq d - 1$ , by induction on  $d$ ,  $P$  is free.  $\blacksquare$

**Lemma 5.5** *Let  $K$  be an infinite field and  $R = K[Z_1, \dots, Z_d]_{\mathfrak{m}}$ , where  $\mathfrak{m} = (Z_1, \dots, Z_{d-1}, \phi(Z_d))$  is a maximal ideal. Fix  $q > 0$  an integer such that  $d \geq 2q - 1$ . Let  $B = R_{hg_1 \dots g_k}$ , where  $h \in K[Z_1, \dots, Z_p]$  with  $1 \leq p < q$  and  $g_1, \dots, g_k$  are regular parameters of  $\mathfrak{m}$  with  $Z_1, \dots, Z_p, g_1, \dots, g_k$  linearly independent modulo  $\mathfrak{m}^2$ . Let  $A = B[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$  be a ring of type  $B[d - 1, m, n]^*$  and  $P$  a projective  $A$ -module of rank  $\geq d - q$ . Then there exist  $g \in k[Z_1, \dots, Z_p]$  such that  $P_g$  is free.*

**Proof** We follow the proof and notations of ([17], Proposition 2.8) and indicate the necessary changes. If  $k = 0$ , then  $B = R_h$  with  $h \in K[Z_1, \dots, Z_p]$ . In this case, using (5.4),  $P$  itself is free. Assume  $k > 0$  and use induction on  $k$ . Proceed as in [17] using (5.2). Let  $S = K[Z_1, \dots, Z_q] - (0)$ . We only need to show that  $S^{-1}P$  is free. Remaining arguments are same as in [17].

Recall that  $g_1 = Z_{p+1}, \dots, g_{q-p} = Z_q$ . Write  $\tilde{R} = K(Z_1, \dots, Z_q)[Z_{q+1}, \dots, Z_d]_{\mathbf{m}'}$ , where  $\mathbf{m}' = (Z_{q+1}, \dots, Z_{d-1}, \phi(Z_d))$ . Then  $S^{-1}P$  is defined over  $C = \tilde{R}_{g_{q-p+1} \dots g_k}[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$ .

Assume  $k < q - p + 1$ . Then  $C = \tilde{R}[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$  and  $S^{-1}P$  is free, by (4.3). If  $k \geq q - p + 1$ , use (5.2) to conclude that  $S^{-1}P$  is free.  $\blacksquare$

**Theorem 5.6** *Let  $(R, \mathbf{m})$  be a regular  $k$ -spot of dimension  $d$  with infinite residue field. Let  $A = R_{g_1 \dots g_t}[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$  be a ring of type  $R_{g_1 \dots g_t}[d-1, m, n]^*$ , where  $g_1, \dots, g_t \in R$  are regular parameters which are linearly independent modulo  $\mathbf{m}^2$ . Then every projective  $A$ -module  $P$  of rank  $r \geq \min\{t, [d/2]\}$  is free.*

**Proof** We will follow the proof and notations of ([17], Theorem 2.9). If we show that  $S^{-1}P$  is free, then rest of the argument is same as in [17]. Note  $R' = k[Z_1, \dots, Z_d]_{(Z_1, \dots, Z_{d-1}, \phi(Z_d))}$  and  $P$  is defined over  $R'_{Z_1 \dots Z_t}[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$ . Write  $S = k[Z_1, \dots, Z_q] - (0)$  and  $\tilde{R} = K(Z_1, \dots, Z_q)[Z_{q+1}, \dots, Z_d]_{(Z_{q+1}, \dots, Z_{d-1}, \phi(Z_d))}$ . Then  $R'$  is a localization of  $\tilde{R}$ . We can find  $h_1 \in K[Z_1, \dots, Z_d]$  such that  $S^{-1}P$  is defined over  $C = \tilde{R}_{h_1 Z_{q+1} \dots Z_t}[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$ . Since  $\dim \tilde{R} = d - q$  which is  $q$  if  $d$  is even and  $q + 1$  when  $d$  is odd,  $S^{-1}P$  is free, by (5.2).  $\blacksquare$

The following result is an analog of (5.1) for regular  $k$ -spots in the geometric case. Recall that a local ring  $(R, \mathbf{m})$  is said to have a coefficient field if  $R$  contains a subfield  $K$  isomorphic to  $R/\mathbf{m}$ . The proof is exactly same as of ([17], Theorem 2.12) using above results. Hence we omit the proof.

**Theorem 5.7** *Let  $(R, \mathbf{m})$  be a regular  $k$ -spot with infinite residue field. Let  $g_1, \dots, g_t$  be regular parameters of  $R$  which are linearly independent modulo  $\mathbf{m}^2$ . Assume that  $R/(g_1)$  contains a coefficient field. If  $A = R_{g_1 \dots g_t}[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$  is of type  $R_{g_1 \dots g_t}[d-1, m, n]^*$ , then every projective  $A$ -module  $P$  of rank  $\geq t - 1$  is free.*

The following result generalizes Popescu's result [14]. For  $t \leq 1$ , this follows from (4.4).

**Theorem 5.8** *Let  $(R, \mathbf{m}, K)$  be a regular local ring of dimension  $d$  containing a field  $k$  such that either  $\text{char } k = 0$  or  $\text{char } k = p$  and  $\text{tr-deg } K/\mathbb{F}_p \geq 1$ . Let  $g_1, \dots, g_t$  be regular parameters of  $R$  which are linearly independent modulo  $\mathbf{m}^2$ . Let  $A = R_{g_1 \dots g_t}[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$  be a ring of type  $R_{g_1 \dots g_t}[d-1, m, n]^*$ . Then every projective  $A$ -module of rank  $\geq t$  is free.*

**Proof** We follow the proof of (4.4) and use same notations. As in [14], if  $g = g_1 \dots g_t$ , then  $g$  is an extension of  $g' \in R_j$  for some  $j$ . Further,  $g'$  is a product of regular parameters  $g'_1, \dots, g'_t$  of  $(R_j, \mathbf{m}_j)$  which are linearly independent modulo  $\mathbf{m}_j^2$ . If  $P$  is a projective  $A_f$ -module, then by choosing possibly a bigger index  $j \in I$ , we may assume that  $P$  is an extension of a projective

module  $P'$  over  $A'$ , where  $A' = (R_j)_{g'}[Y_1, \dots, Y_m, f_1(l_1)^{-1}, \dots, f_n(l_n)^{-1}]$ . If  $\dim R' = d'$ , then  $A'$  is a ring of type  $(R_j)_{g'}[d' - 1, m, n]^*$ . Now  $R_j$  is a regular  $k$ -spot. Since  $\text{tr-deg } K/k \geq 1$ , we can assume that the residue field of  $R_j$  is infinite. By (5.6),  $P'$  and hence  $P$  is free. ■

The following result is immediate from (5.8).

**Corollary 5.9** *Let  $(R, \mathfrak{m}, K)$  be a regular local ring of dimension  $d$  containing a field  $k$  such that either  $\text{char } k = 0$  or  $\text{char } k = p$  and  $\text{tr-deg } K/\mathbb{F}_p \geq 1$ . Let  $f, g$  be regular parameters of  $R$  which are linearly independent modulo  $\mathfrak{m}^2$ . If  $A$  is a ring of type  $R[d, m, n]^*$ , then every projective module over  $A, A_f$  and  $A_{fg}$  are free.*

**Acknowledgements:** We would like to thank Professor D. Popescu for sending us a copy of [14] and Mrinal K. Das for bringing Gabber's result to our notice.

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