

# PICARD GROUPS OF SOME QUOT SCHEMES

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ABSTRACT. Let  $C$  be a smooth projective curve over the field of complex numbers  $\mathbb{C}$  of genus  $g(C) > 0$ . Let  $E$  be a locally free sheaf on  $C$  of rank  $r$  and degree  $e$ . Let  $\mathcal{Q} := \text{Quot}_{C/\mathbb{C}}(E, k, d)$  denote the Quot scheme of quotients of  $E$  of rank  $k$  and degree  $d$ . For  $k > 0$  and  $d \gg 0$  we compute the Picard group of  $\mathcal{Q}$ .

## 1. INTRODUCTION

Let  $C$  be a smooth projective curve over the field of complex numbers  $\mathbb{C}$ . We shall denote the genus of  $C$  by  $g(C)$ . Throughout this article we shall assume that  $g(C) \geq 1$ . Let  $E$  be a locally free sheaf on  $C$  of rank  $r$  and degree  $e$ . Throughout this article

$$(1.1) \quad \mathcal{Q} := \text{Quot}_{C/\mathbb{C}}(E, k, d)$$

will denote the Quot scheme of quotients of  $E$  of rank  $k$  and degree  $d$ .

Stromme proved that  $\mathcal{Q}_{\mathbb{P}^1/\mathbb{C}}(\mathcal{O}_{\mathbb{P}^1}^{\oplus n}, k, d)$  is a smooth projective variety and computed its Picard group and nef cone. In [Jow12], the author computes the effective cone of  $\mathcal{Q}_{\mathbb{P}^1/\mathbb{C}}(\mathcal{O}_{\mathbb{P}^1}^{\oplus n}, k, d)$ . In [Ito17], the author studies the birational geometry of  $\mathcal{Q}_{\mathbb{P}^1/\mathbb{C}}(\mathcal{O}_{\mathbb{P}^1}^{\oplus n}, k, d)$ . When  $E$  is trivial and  $g(C) \geq 1$ , the space  $\mathcal{Q}$  is studied in [BDW96] and it is proved that when  $d \gg 0$  it is irreducible and generically smooth. For  $g(C) \geq 1$  and  $E$  trivial, the divisor class group of  $\mathcal{Q}$  was computed in [HO10] under the assumption  $d \gg 0$ .

When  $g(C) \geq 1$ , it was proved in [PR03] that  $\mathcal{Q}$  is irreducible and generically smooth when  $d \gg 0$ . See also [Gol19], [CCH21], [CCH22] for similar results on other variations of this Quot scheme. We use this as a starting point to further investigate the space  $\mathcal{Q}$  when  $d \gg 0$  and compute its Picard group. In the case when  $k = r - 1$  we have that  $\mathcal{Q}$  is a projective bundle over the Jacobian of  $C$  for  $d \gg 0$  (Theorem 3.3) and as a result its Picard group can be computed easily (Corollary 3.5). In Theorem 6.3 we show that if  $d \gg 0$  then  $\mathcal{Q}$  is an integral variety which is normal, a local complete intersection and locally factorial. We compute the Picard group of  $\mathcal{Q}$  in the following cases.

**Theorem 1.2** (Theorem 7.17). *Let  $k \leq r - 2$ . Assume one of the following two holds*

- $k \geq 2$  and  $g(C) \geq 3$ , or
- $k \geq 3$  and  $g(C) = 2$ .

*Then for  $d \gg 0$  we have*

$$\text{Pic}(\mathcal{Q}) \cong \text{Pic}(\text{Pic}^0(C)) \times \mathbb{Z} \times \mathbb{Z}.$$

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Note that we have a natural determinant map

$$\det : \mathcal{Q} \longrightarrow \mathrm{Pic}^d(C)$$

which sends a quotient  $[E \longrightarrow F] \mapsto \det(F)$ . In Theorem 6.3 we show that  $\det$  is a flat map when  $d \gg 0$ . For  $[L] \in \mathrm{Pic}^d(C)$  let  $\mathcal{Q}_L$  be the scheme theoretic fiber of  $\det$  over  $[L]$ . We prove the following analogous results for  $\mathcal{Q}_L$ .

**Theorem 1.3** (Theorem 8.7). *Let  $k \geq 2, g(C) \geq 2$ . Let  $d \gg 0$ . Then  $\mathcal{Q}_L$  is a local complete intersection, integral, normal and locally factorial scheme.*

**Theorem 1.4** (Theorem 8.9). *Let  $k \leq r - 2$ . Assume one of the following two holds*

- $k \geq 2$  and  $g(C) \geq 3$ , or
- $k \geq 3$  and  $g(C) = 2$ .

*Let  $d \gg 0$ . Then  $\mathrm{Pic}(\mathcal{Q}_L) \cong \mathbb{Z} \times \mathbb{Z}$ .*

When  $k = 1$  the above results can be improved to the case  $g(C) \geq 1$ . In Theorem 9.1 we show that if  $d \gg 0$  then  $\mathrm{Pic}(\mathcal{Q}) \cong \mathrm{Pic}(\mathrm{Pic}^0(C)) \times \mathbb{Z} \times \mathbb{Z}$  and  $\mathrm{Pic}(\mathcal{Q}_L) \cong \mathbb{Z} \times \mathbb{Z}$ .

We say a few words about how the above results are proved. By a very large open subset we mean an open set whose complement has codimension  $\geq 2$ . When  $d \gg 0$  the Quot scheme  $\mathcal{Q}$  is a local complete intersection. This follows easily using [HL10, Proposition 2.2.8] and is the content of Lemma 6.1. Using dimension bounds from [PR03] we show that the locus of singular points in  $\mathcal{Q}$  has large codimension. These are used to prove Theorem 6.3. To compute the Picard group, we first show that the locus of quotients  $[E \longrightarrow F]$  with  $F$  stable is a very large open subset. Let  $\mathcal{Q}^s$  denote this locus. We consider a map  $\mathcal{Q}^s \longrightarrow M^s$ , to a moduli space of stable bundles of rank  $k$  and suitable degree, see (7.10). After base change by a principal  $\mathrm{PGL}(N)$ -bundle, the domain becomes a very large open subset of a projective bundle associated to a vector bundle. From this we compute the Picard group of  $\mathcal{Q}^s$  in terms of the Picard group of  $M^s$ . The assertions about  $\mathcal{Q}_L$  follow in a similar manner using the assertions about  $\mathcal{Q}$  and the flat map  $\det : \mathcal{Q} \longrightarrow \mathrm{Pic}^d(C)$ .

## 2. PRELIMINARIES

For a locally closed subset  $Z \subset X$  we shall refer to  $\dim(X) - \dim(Z)$  as the codimension of  $Z$  in  $X$ . For a morphism  $f : X \longrightarrow Y$  and a closed point  $y \in Y$  we denote by  $X_y$  the fiber over  $y$ .

**Lemma 2.1.** *Let  $f : X \longrightarrow Y$  be a dominant morphism of integral schemes of finite type over a field  $k$ . Let  $U \subset X$  be an open subset such that nonempty fibers of  $f|_U$  have constant dimension. Let  $Z := X \setminus U$ .*

- (1) *If  $\dim(X) - \dim(Z) > \dim(Y)$  then the dimension of nonempty fibers of  $f$  is constant.*
- (2) *Let  $t_0 \geq 0$  be an integer and assume  $\dim(X) - \dim(Z) > \dim(Y) + t_0$ . Let  $y \in Y$  be a closed point such that  $Z_y$  is nonempty. Then  $\dim(X_y) - \dim(Z_y) > t_0$ .*

*Proof.* Let  $y \in Y$  be a closed point such that  $X_y$  is nonempty. Note that  $X_y = U_y \sqcup Z_y$ . If  $U_y$  is empty then

$$\dim(Z) \geq \dim(Z_y) = \dim(X_y) \geq \dim(X) - \dim(Y).$$

This contradicts the hypothesis that  $\dim(X) - \dim(Z) > \dim(Y)$ . Thus,  $U_y$  is nonempty. Since  $f|_U$  has constant fiber dimension, it follows that  $\dim(U_y) = \dim(U) - \dim(Y)$ , see [Har77, Chapter 2, Exercise 3.22(b), (c)]. Since  $X$  is integral, it follows that  $\dim(U_y) = \dim(X) - \dim(Y)$ . As  $\dim(X) - \dim(Z_y) \geq \dim(X) - \dim(Z) > \dim(Y)$  it follows that  $\dim(Z_y) < \dim(X) - \dim(Y)$ . It follows that

$$\dim(X_y) = \max\{\dim(U_y), \dim(Z_y)\} = \dim(X) - \dim(Y).$$

This proves (1).

Let  $y \in Y$  be a closed point such that  $Z_y$  is nonempty. Then  $X_y$  is nonempty and so by the previous part we get that  $\dim(X_y) = \dim(X) - \dim(Y)$ . As  $\dim(X) - \dim(Z_y) \geq \dim(X) - \dim(Z) > \dim(Y) + t_0$  it follows that  $\dim(Z_y) < \dim(X) - \dim(Y) - t_0 = \dim(X_y) - t_0$ . This proves (2) and completes the proof of the Lemma.  $\square$

**Lemma 2.2.** *Let  $f : X \rightarrow Y$  be a morphism of irreducible schemes of finite type over a field  $k$  which is surjective on closed points. Let  $Y' \subset Y$  be a closed subset. Then  $\dim(X) - \dim(f^{-1}(Y')) \leq \dim(Y) - \dim(Y')$ .*

*Proof.* Since it suffices to consider reduced schemes, we look at the map  $f_{\text{red}} : X_{\text{red}} \rightarrow Y_{\text{red}}$ . Thus, we may assume that  $X$  and  $Y$  are integral schemes. Let  $Y'' \subset Y'$  be an irreducible component such that  $\dim(Y'') = \dim(Y')$ . Let  $Z''$  be an irreducible component of  $f^{-1}(Y'')$  which surjects onto  $Y''$ . By [Har77, Chapter 2, Exercise 3.22(a)] we have  $\dim(X) - \dim(Z'') \leq \dim(Y) - \dim(Y'')$ . As  $Z'' \subset f^{-1}(Y')$  it follows that

$$\dim(X) - \dim(f^{-1}(Y')) \leq \dim(X) - \dim(Z'') \leq \dim(Y) - \dim(Y'') = \dim(Y) - \dim(Y').$$

This completes the proof of the Lemma.  $\square$

Recall the space  $\mathcal{Q}$  from (1.1). Let

$$(2.3) \quad p_1 : C \times \mathcal{Q} \rightarrow C \quad p_2 : C \times \mathcal{Q} \rightarrow \mathcal{Q}$$

denote the projections. Let

$$(2.4) \quad 0 \rightarrow \mathcal{K} \rightarrow p_1^* E \rightarrow \mathcal{F} \rightarrow 0$$

denote the universal quotient on  $C \times \mathcal{Q}$ . The sheaf  $\mathcal{K}$  is locally free and so  $p_1^* \det(E) \otimes (\wedge^{r-k} \mathcal{K})^{-1}$  is a line bundle on  $C \times \mathcal{Q}$  which is flat over  $\mathcal{Q}$ . Using this we define the determinant map as

$$(2.5) \quad \det : \mathcal{Q} \rightarrow \text{Pic}^d C,$$

which has the following pointwise description. Let  $[q : E \rightarrow F] \in \mathcal{Q}$  be a closed point. We denote the kernel of  $q$  by  $K$ , so that there is a short exact sequence

$$(2.6) \quad 0 \rightarrow K \rightarrow E \xrightarrow{q} F \rightarrow 0.$$

Then

$$\det(q) := \det(E) \otimes \det(K)^{-1} = \det(F).$$

Next we describe the differential of this map  $\det$ .

**Lemma 2.7.** *The differential of the map  $\det$  (2.5) at the point  $q$  is the composite*

$$\mathrm{Hom}(K, F) \xrightarrow{-\delta} \mathrm{Ext}^1(F, F) \xrightarrow{tr} H^1(C, \mathcal{O}_C),$$

where the first map is obtained by applying  $\mathrm{Hom}(-, F)$  to (2.6) and the second map is the trace.

*Proof.* Let  $p_C : C \times \mathrm{Spec}(\mathbb{C}[\epsilon]/(\epsilon^2)) \rightarrow C$  denote the projection. Let  $\iota : C \hookrightarrow C \times \mathrm{Spec}(\mathbb{C}[\epsilon]/(\epsilon^2))$  denote the reduced subscheme.

Given a vector  $v \in \mathrm{Hom}(K, F)$  it corresponds to an element in the Zariski tangent space at  $q \in \mathcal{Q}$ , and so it corresponds to a short exact sequence on  $C \times \mathrm{Spec}(\mathbb{C}[\epsilon]/(\epsilon^2))$

$$0 \rightarrow \tilde{K} \rightarrow p_C^* E \rightarrow \tilde{F} \rightarrow 0,$$

whose restriction to  $C$  gives the sequence (2.6). Moreover,  $\tilde{F}$  is flat over  $\mathrm{Spec}(\mathbb{C}[\epsilon]/(\epsilon^2))$ . Consider the line bundle  $\det(\tilde{F})$  on  $C \times \mathrm{Spec}(\mathbb{C}[\epsilon]/(\epsilon^2))$ . Tensoring this line bundle with the short exact sequence

$$(2.8) \quad 0 \rightarrow (\epsilon) \rightarrow \mathbb{C}[\epsilon]/(\epsilon^2) \rightarrow \mathbb{C} \rightarrow 0$$

gives the short exact sequence of sheaves on  $C \times \mathrm{Spec}(\mathbb{C}[\epsilon]/(\epsilon^2))$

$$(2.9) \quad 0 \rightarrow \iota_* \det(F) \rightarrow \det(\tilde{F}) \rightarrow \iota_* \det(F) \rightarrow 0.$$

Using the definition of the differential of the map  $\det$  it is clear that

$$(2.10) \quad d\det_q(v) = \text{extension class of } (2.9) \in H^1(C, \mathcal{O}_C).$$

Tensoring (2.8) with  $\tilde{F}$  gives a short exact sequence

$$(2.11) \quad 0 \rightarrow \iota_* F \rightarrow \tilde{F} \rightarrow \iota_* F \rightarrow 0.$$

One checks easily, using the discussion before [HL10, Lemma 2.2.6], that the above extension, and in particular the sheaf  $\tilde{F}$ , is obtained by taking the pushout of the sequence (2.6) along the map  $-v$ . That is, the extension class of (2.11) in  $\mathrm{Ext}^1(F, F)$  is precisely  $-\delta(v)$ .

For a coherent sheaf  $G$ , consider the trace map  $tr : \mathrm{Ext}^1(G, G) \rightarrow H^1(C, \mathcal{O}_C)$ . An element  $v \in \mathrm{Ext}^1(G, G)$  corresponds to a short exact sequence

$$0 \rightarrow G \rightarrow \tilde{G} \rightarrow G \rightarrow 0,$$

on  $C \times \mathrm{Spec}(\mathbb{C}[\epsilon]/(\epsilon^2))$  such that  $\tilde{G}$  is flat over  $\mathrm{Spec}(\mathbb{C}[\epsilon]/(\epsilon^2))$ . The image  $tr(v)$  in  $H^1(C, \mathcal{O}_C)$  corresponds to the extension class obtained by tensoring (2.8) with the line bundle  $\det(\tilde{G})$  on  $C \times \mathrm{Spec}(\mathbb{C}[\epsilon]/(\epsilon^2))$ . When  $G$  is locally free this can be seen using a Čech description, for example, see [Nit09]. The general case reduces to the locally free case using the discussion in [HL10, §10.1.2]. In particular, we can apply this discussion by taking  $G = F$ . We get that  $tr(-\delta(v))$  is the extension class obtained by tensoring  $\det(\tilde{F})$  in (2.11) with (2.8). But note that we obtained (2.9) also by tensoring  $\det(\tilde{F})$  with (2.8). This proves that

$$d\det_q(v) = tr(-\delta(v))$$

and completes the proof of the Lemma. We also refer the reader to [HL10, Theorem 4.5.3], where a similar result is proved for the moduli of stable bundles.  $\square$

3. QUOT SCHEMES  $\text{Quot}_{C/\mathbb{C}}(E, r-1, d)$ 

Recall that for a sheaf  $G$  on  $C$  we define  $\mu_{\min}(G)$  as

$$\min\{\mu(F) \mid F \text{ is a quotient of } G \text{ of positive rank}\}.$$

In this section we describe the Quot scheme  $\text{Quot}_{C/\mathbb{C}}(E, r-1, d)$  which parametrizes quotients of  $E$  of rank  $(r-1)$  and degree  $d > 2g-2+e-\mu_{\min}(E)$ . Let

$$(3.1) \quad \rho_1 : C \times \text{Pic}^{e-d}(C) \longrightarrow C, \quad \rho_2 : C \times \text{Pic}^{e-d}(C) \longrightarrow \text{Pic}^{e-d}(C)$$

be the projections. Let  $\mathcal{L}$  be a Poincare bundle on  $C \times \text{Pic}^{e-d}(C)$ . Define

$$\mathcal{E} := \rho_{2*}[\rho_1^* E \otimes \mathcal{L}^\vee].$$

**Lemma 3.2.** *Assume  $d > 2g-2+e-\mu_{\min}(E)$ . Then  $\mathcal{E}$  is a vector bundle on  $\text{Pic}^{e-d}(C)$  of rank  $rd - (r-1)e - r(g-1)$ .*

*Proof.* Let  $K_C$  denote the canonical bundle of  $C$ . For any  $L \in \text{Pic}^{e-d}(C)$ , we claim

$$H^1(C, E \otimes L^\vee) = H^0(C, E^\vee \otimes L \otimes K_C)^\vee = 0.$$

This is because a nonzero section of  $H^0(C, E^\vee \otimes L \otimes K_C)$  corresponds to a nonzero map  $E \rightarrow L \otimes K_C$  which cannot exist since by assumption  $\mu_{\min}(E) > \deg(L \otimes K_C) = e-d+2g-2$ . Therefore by Grauert's theorem  $\mathcal{E}$  is a vector bundle of rank  $h^0(C, E \otimes L^\vee)$  which by Riemann-Roch is  $rd - (r-1)e - r(g-1)$ .  $\square$

Let  $\pi : \mathbb{P}(\mathcal{E}^\vee) \rightarrow \text{Pic}^{e-d}(C)$  be the projective bundle associated to  $\mathcal{E}^\vee$ . Here we use the notation in [Har77], that is, for a vector space  $V$ ,  $\mathbb{P}(V)$  denotes the space of hyperplanes in  $V$ . Thus,  $\mathbb{P}(V^\vee)$  denotes the space of lines in  $V$ . Recall that we have the map

$$\mathcal{Q}_{C/\mathbb{C}}(E, r-1, d) \rightarrow \text{Pic}^{e-d}(C)$$

which sends a quotient  $[E \rightarrow F \rightarrow 0]$  to its kernel.

**Theorem 3.3.** *Assume  $d > 2g-2+e-\mu_{\min}(E)$ . We have an isomorphism of schemes over  $\text{Pic}^{e-d}(C)$*

$$\mathbb{P}(\mathcal{E}^\vee) \xrightarrow{\sim} \mathcal{Q}_{C/\mathbb{C}}(E, r-1, d).$$

*In particular, under the above assumption on  $d$ , the space  $\mathcal{Q}_{C/\mathbb{C}}(E, r-1, d)$  is smooth.*

*Proof.* Let

$$\sigma_1 : C \times \mathbb{P}(\mathcal{E}^\vee) \rightarrow C, \quad \sigma_2 : C \times \mathbb{P}(\mathcal{E}^\vee) \rightarrow \mathbb{P}(\mathcal{E}^\vee)$$

be the projections. We define the map  $\mathbb{P}(\mathcal{E}^\vee) \rightarrow \mathcal{Q}_{C/\mathbb{C}}(E, r-1, d)$  by producing a quotient on  $C \times \mathbb{P}(\mathcal{E}^\vee)$ .

Recall the maps  $\rho_i$  from (3.1). By adjunction we have a natural map on  $C \times \text{Pic}^{e-d}(C)$

$$\rho_2^* \mathcal{E} \otimes \mathcal{L} \rightarrow \rho_1^* E.$$

Pulling this morphism back to  $C \times \mathbb{P}(\mathcal{E}^\vee)$  we get a map

$$(\text{Id}_C \times \pi)^*[\rho_2^* \mathcal{E} \otimes \mathcal{L}] = (\pi \circ \sigma_2)^* \mathcal{E} \otimes (\text{Id}_C \times \pi)^* \mathcal{L} \rightarrow \sigma_1^* E.$$

We also have the morphism of sheaves on  $\mathbb{P}(\mathcal{E}^\vee)$

$$\mathcal{O}(-1) \hookrightarrow \pi^* \mathcal{E}.$$

Pulling this back to  $C \times \mathbb{P}(\mathcal{E}^\vee)$  we get a composed map of sheaves on  $C \times \mathbb{P}(\mathcal{E}^\vee)$

$$(3.4) \quad \sigma_2^* \mathcal{O}(-1) \otimes (\text{Id}_C \times \pi)^* \mathcal{L} \longrightarrow (\pi \circ \sigma_2)^* \mathcal{E} \otimes (\text{Id}_C \times \pi)^* \mathcal{L} \longrightarrow \sigma_1^* E.$$

As  $\sigma_2^* \mathcal{O}(-1) \otimes (\text{Id}_C \times \pi)^* \mathcal{L}$  is a line bundle and  $C \times \mathbb{P}(\mathcal{E}^\vee)$  is smooth, it easily follows that (3.4) is an inclusion as it is nonzero. By the previous lemma, a point  $x \in \mathbb{P}(\mathcal{E}^\vee)$  corresponds to a pair  $(L, \phi : L \longrightarrow E)$  where  $L$  is a line bundle of degree  $e-d$  and  $\phi$  is a nonzero homomorphism of sheaves, up to scalar multiplication. The inclusion (3.4) restricted to  $C \times x$  is nothing but the nonzero homomorphism  $\phi$ . Therefore we get that the cokernel of (3.4), which we denote  $\mathcal{F}$ , is flat over  $\mathbb{P}(\mathcal{E}^\vee)$ , and the restriction  $\mathcal{F}|_{C \times x}$  has rank  $r-1$  and degree  $d$ . Thus,  $\mathcal{F}$  defines a map  $\phi : \mathbb{P}(\mathcal{E}^\vee) \longrightarrow \mathcal{Q}_{C/\mathbb{C}}(E, r-1, d)$ . It is easily checked that this map is bijective on closed points.

Let point  $x = [E \longrightarrow F \longrightarrow 0]$  be a point in  $\mathcal{Q}_{C/\mathbb{C}}(E, r-1, d)$ . Let  $L$  be the kernel. Then we have an exact sequence

$$\text{Ext}^1(L, L) \longrightarrow \text{Ext}^1(L, E) \longrightarrow \text{Ext}^1(L, F) \longrightarrow 0.$$

From the proof of Lemma 3.2 it follows that  $\text{Ext}^1(L, E) = 0$ . Hence  $\text{Ext}^1(L, F) = 0$ . Therefore  $\mathcal{Q}_{C/\mathbb{C}}(E, r-1, d)$  is smooth at  $x$  [HL10, Proposition 2.2.8]. As  $\phi$  is bijective on closed points, it follows it is an isomorphism.  $\square$

**Corollary 3.5.** *Assume  $d > 2g - 2 + e - \mu_{\min}(E)$ . Then  $\mathcal{Q}_{C/\mathbb{C}}(E, r-1, d)$  is a smooth projective variety and  $\text{Pic}(\mathcal{Q}_{C/\mathbb{C}}(E, r-1, d)) \cong \text{Pic}(\text{Pic}^0(C)) \times \mathbb{Z}$ .*

When  $E$  is the trivial bundle, Theorem 3.3 is proved in [BDW96, Corollary 4.23].

#### 4. THE GOOD LOCUS FOR TORSION FREE QUOTIENTS

The following Lemma is an easy consequence of [PR03, Lemma 6.1].

**Lemma 4.1.** *Let  $k$  be an integer. There is a number  $\mu_0(E, k)$ , which depends only on  $E$  and  $k$ , such that for all torsion free sheaves  $F$  with  $\text{rk}(F) \leq k$  and  $\mu_{\min}(F) \geq \mu_0(E, k)$  we have  $H^1(E^\vee \otimes F) = 0$ .*

*Proof.* When  $F$  is stable and  $\text{rk}(F) \leq k$ , it follows using [PR03, Lemma 6.1], that there is  $\mu_0(E, k)$  such that if  $\text{rk}(F) \leq k$  and  $\mu(F) \geq \mu_0(E, k)$  then  $H^1(E^\vee \otimes F) = 0$ .

Next let  $F$  be semistable (see Remark following [PR03, Lemma 6.1]). Take a Jordan-Holder filtration for  $F$  and let  $G$  be a graded piece of this filtration. As  $\text{rk}(G) \leq k$  and  $\mu(G) = \mu(F) \geq \mu_0(E, k)$  it follows from the stable case that  $H^1(E^\vee \otimes G) = 0$ . From this it easily follows that if  $F$  is semistable,  $\text{rk}(F) \leq k$  and  $\mu(F) \geq \mu_0(E, k)$  then  $H^1(E^\vee \otimes F) = 0$ .

Now let  $F$  be a locally free sheaf with  $\text{rk}(F) \leq k$  and let

$$0 = F_0 \subsetneq F_1 \subsetneq \dots \subsetneq F_l = F$$

be its Harder-Narasimhan filtration. Each graded piece is semistable with slope

$$\mu(F_i/F_{i-1}) \geq \mu(F_l/F_{l-1}) = \mu_{\min}(F).$$

Thus, if  $\mu_{\min}(F) \geq \mu_0(E, k)$  then  $\mu_{\min}(F_i/F_{i-1}) \geq \mu_0(E, k)$  and so from the semistable case it follows that  $H^1(E^\vee \otimes (F_i/F_{i-1})) = 0$ . Again it follows that  $H^1(E^\vee \otimes F) = 0$ . This proves the lemma.  $\square$

Let  $G$  be a locally free sheaf on  $C$  and let  $k$  be an integer. Define

$$(4.2) \quad d_k(G) := \min\{d \mid \exists \text{ quotient } G \longrightarrow F \text{ with } \deg(F)=d, \text{rk}(F) = k\}.$$

*Remark 4.3.* We recall some results from [PR03] (see [PR03, Lemma 6.1, Proposition 6.1, Theorem 6.4] and the remarks following these). There is an integer  $\alpha(E, k)$  such that when  $d \geq \alpha(E, k)$ , the following three assertions hold:

- (1) If  $F$  is a stable bundle of rank  $k$  and degree  $d$ , then  $E^\vee \otimes F$  is globally generated.
- (2)  $\mathcal{Q}$  is irreducible and generically smooth of dimension  $rd - ek - k(r - k)(g - 1)$ .
- (3) For the general quotient  $E \longrightarrow F$ , with  $F$  having rank  $k$  and degree  $d$ , we have the sheaf  $F$  is torsion free and stable.  $\square$

**Definition 4.4.** Let  $a, b$  be integers. Let  $\text{Quot}_{C/\mathbb{C}}(E, a, b)$  be the Quot scheme parametrizing quotients of  $E$  of rank  $a$  and degree  $b$ . For a locally closed subset  $A \subset \text{Quot}_{C/\mathbb{C}}(E, a, b)$  define the following locally closed subsets of  $A$ .

$$(4.5) \quad \begin{aligned} A_g &:= \{[E \longrightarrow F] \in A \mid H^1(E^\vee \otimes F) = 0\} \\ A_b &:= A \setminus A_g \\ A^{\text{tf}} &:= \{[E \longrightarrow F] \in A \mid F \text{ is torsion free}\} \\ A_g^{\text{tf}} &:= A^{\text{tf}} \cap A_g \\ A_b^{\text{tf}} &:= A^{\text{tf}} \cap A_b \end{aligned}$$

In particular, we get subsets  $\mathcal{Q}_g^{\text{tf}}, \mathcal{Q}_b^{\text{tf}}$ .

For integers  $0 < k'' < k < r$  define constants

$$(4.6) \quad \begin{aligned} C_1(E, k, k'') &:= k''(r - k'') - d_{k''}(E)r + (k - k'')(r - k) - d_k(E)(r - k'') \\ C_2(E, k, k'') &:= -ek - k(r - k)(g - 1) - C_1(E, k, k'') \\ C_3(E, k) &:= \min_{k'' < k} \{C_2(E, k, k'')\}. \end{aligned}$$

Let  $t_0$  be a positive integer. Define

$$(4.7) \quad \beta(E, k, t_0) := \max\{(r - 1)\mu_0(E, r - 1), r^2\mu_0(E, r - 1) + t_0 - C_3(E, k), \alpha(E, k), 1\}.$$

*Remark 4.8.* From the definition it is clear that  $\beta(E, k, t_0) \geq \alpha(E, k)$  for all integers  $t_0 \geq 1$ , if  $t_1 \geq t_0 \geq 1$  then  $\beta(E, k, t_1) \geq \beta(E, k, t_0)$  and  $\beta(E, k, t_0) \geq 1$  for all positive integers  $t_0$ . To define the constants  $C_1, C_2, C_3$  we need that  $r \geq 3$ . Note that if  $r = 2$ , then the only possible value for  $k$  is 1, which equals  $r - 1$ . This case has been dealt with in the previous section. Thus, from now on we may assume that  $r \geq 3$ . These constants will play a role while computing dimensions of some subsets of  $\mathcal{Q}_{C/\mathbb{C}}(E, k, d)$ . We emphasize that these constants are independent of  $d$ .

**Lemma 4.9.** Fix positive integers  $t_0$  and  $k$  such that  $k < r$ . Let  $d \geq \beta(E, k, t_0)$ . Let  $S$  be an irreducible component of  $\mathcal{Q}_b^{\text{tf}}$ . Then  $\dim(\mathcal{Q}) - \dim(S) > t_0$  and so also  $\dim(\mathcal{Q}) - \dim(\mathcal{Q}_b^{\text{tf}}) > t_0$ .

*Proof.* We give  $S$  the reduced subscheme structure so that  $S$  is an integral scheme. Let  $q \in S$  be a closed point corresponding to a quotient  $E \rightarrow F$ . If  $F$  is semistable, then using  $d \geq \beta(E, k, t_0) \geq (r-1)\mu_0(E, r-1)$  (note that as  $\beta(E, k, t_0) > 0$  we have  $d > 0$ ) we get

$$\mu(F) = \mu_{\min}(F) = \frac{d}{k} \geq \frac{d}{r-1} \geq \mu_0(E, r-1).$$

It follows from Lemma 4.1 that  $q \in \mathcal{Q}_g^{\text{tf}}$ , which is a contradiction as  $q \in \mathcal{Q}_b^{\text{tf}}$ . Thus,  $F$  is not semistable.

Let  $p_1 : C \times S \rightarrow C$  denote the projection. Consider the pullback of the universal quotient from  $C \times \mathcal{Q}$  to  $C \times S$  and denote it

$$p_1^*E \rightarrow \mathcal{F}.$$

From [HL10, Theorem 2.3.2] (existence of relative Harder-Narasimhan filtration) it follows that there is a dense open subset  $U \subset S$  and a filtration

$$0 = \mathcal{F}_0 \subsetneq \mathcal{F}_1 \subsetneq \dots \subsetneq \mathcal{F}_l = \mathcal{F}$$

such that  $\mathcal{F}_i/\mathcal{F}_{i-1}$  is flat over  $U$  and for each closed point  $u \in U$ , the sheaf  $\mathcal{F}_{i,u}/\mathcal{F}_{i-1,u}$  is semistable. Consider the quotient  $p_1^*E \rightarrow \mathcal{F}_l \rightarrow \mathcal{F}_l/\mathcal{F}_{l-1}$ . Denote the kernel by  $\mathcal{S}$  so that we have an exact sequence

$$0 \rightarrow \mathcal{S} \rightarrow p_1^*E \rightarrow \mathcal{F}_l/\mathcal{F}_{l-1} \rightarrow 0$$

on  $C \times U$ . Let us denote

$$\mathcal{F}'' := \mathcal{F}_l/\mathcal{F}_{l-1}, \quad \mathcal{F}' := \mathcal{F}_{l-1}.$$

With this notation we have a commutative diagram of short exact sequences on  $C \times U$

$$(4.10) \quad \begin{array}{ccccccc} 0 & \longrightarrow & \mathcal{S} & \longrightarrow & p_1^*E & \longrightarrow & \mathcal{F}'' \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \parallel \\ 0 & \longrightarrow & \mathcal{F}' & \longrightarrow & \mathcal{F} & \longrightarrow & \mathcal{F}'' \longrightarrow 0. \end{array}$$

In particular, we observe that the map  $E \rightarrow \mathcal{F}_u$  can be obtained as the pushout of the short exact sequence  $0 \rightarrow \mathcal{S}_u \rightarrow E \rightarrow \mathcal{F}_u'' \rightarrow 0$  along the map  $\mathcal{S}_u \rightarrow \mathcal{F}_u'$ .

For a closed point  $u \in U$  define

$$k'' := \text{rk}(\mathcal{F}_u''), \quad d'' := \deg(\mathcal{F}_u'').$$

Then

$$\text{rk}(\mathcal{F}_u') = k - k'', \quad \deg(\mathcal{F}_u') = d - d''.$$

The top row of (4.10) defines a map

$$\theta : U \rightarrow \text{Quot}_{C/\mathbb{C}}(E, k'', d'').$$

For ease of notation let us denote  $A := \text{Quot}_{C/\mathbb{C}}(E, k'', d'')$ . Let  $\mathcal{S}_1$  denote the universal kernel bundle on  $C \times A$ . Then  $(\text{Id}_C \times \theta)^*\mathcal{S}_1 = \mathcal{S}$ . The left vertical arrow of (4.10) defines a

map to the relative Quot scheme

$$\begin{array}{ccc} U & \xrightarrow{\tilde{\theta}} & \text{Quot}_{C \times A/A}(\mathcal{S}_1, k - k'', d - d'') \\ & \searrow \theta & \downarrow \pi \\ & & A \end{array}$$

We claim that the map  $\tilde{\theta}$  is injective on closed points. Let  $u_1, u_2 \in U$  be such that  $\tilde{\theta}(u_1) = \tilde{\theta}(u_2)$ . Then  $\theta(u_1) = \theta(u_2)$ . It follows that the quotients  $E \rightarrow \mathcal{F}_{u_1}''$  and  $E \rightarrow \mathcal{F}_{u_2}''$  are the same, that is,  $\mathcal{S}_{u_1} = \mathcal{S}_{u_2}$ . Since  $\tilde{\theta}(u_1) = \tilde{\theta}(u_2)$  it follows that the quotients  $\mathcal{S}_{u_1} \rightarrow \mathcal{F}_{u_1}'$  and  $\mathcal{S}_{u_2} \rightarrow \mathcal{F}_{u_2}'$  are the same. We observed after (4.10), that the quotient  $E \rightarrow \mathcal{F}_{u_i}$  is obtained as the pushout of the short exact sequence  $0 \rightarrow \mathcal{S}_{u_i} \rightarrow E \rightarrow \mathcal{F}_{u_i}'' \rightarrow 0$  along the map  $\mathcal{S}_{u_i} \rightarrow \mathcal{F}_{u_i}'$ . From this it follows that the quotients  $E \rightarrow \mathcal{F}_{u_i}$  are the same. Thus, the map  $\tilde{\theta}$  is injective on closed points.

Let us compute the dimension of  $\text{Quot}_{C \times A/A}(\mathcal{S}_1, k - k'', d - d'')$ . Consider a quotient  $[E \rightarrow F'']$  which corresponds to a closed point in  $A$ . Let  $S_{F''}$  denote the kernel. It has rank  $r - k''$ . The fiber of  $\pi$  over  $[E \rightarrow F'']$  is the Quot scheme  $\text{Quot}_{C/\mathbb{C}}(S_{F''}, k - k'', d - d'')$ . Recall from (4.2) the integer  $d_{k-k''}(S_{F''})$ , which is the smallest possible degree among all quotients of  $S_{F''}$  of rank  $k - k''$ . Thus, if the fiber is nonempty then we have that

$$d - d'' \geq d_{k-k''}(S_{F''}).$$

By [PR03, Theorem 4.1] it follows that, if the fiber is nonempty then

$$(4.11) \quad \dim(\text{Quot}_{C/\mathbb{C}}(S_{F''}, k - k'', d - d'')) \leq (k - k'')(r - k) + (d - d'' - d_{k-k''}(S_{F''}))(r - k'').$$

We will find a lower bound for  $d_{k-k''}(S_{F''})$ . Let  $S_{F''} \rightarrow G$  be a quotient such that  $\deg(G) = d_{k-k''}(S_{F''})$ . Then we can form the pushout  $\tilde{G}$  which sits in the following commutative diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & S_{F''} & \longrightarrow & E & \longrightarrow & F'' \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \parallel \\ 0 & \longrightarrow & G & \longrightarrow & \tilde{G} & \longrightarrow & F'' \longrightarrow 0. \end{array}$$

Since  $\tilde{G}$  is a quotient of  $E$  of rank  $k$ , it follows that

$$\deg(\tilde{G}) = d'' + d_{k-k''}(S_{F''}) \geq d_k(E).$$

This shows that  $d_{k-k''}(S_{F''}) \geq d_k(E) - d''$ . Combining this with (4.11) yields

$$(4.12) \quad \dim(\text{Quot}_{C/\mathbb{C}}(S_{F''}, k - k'', d - d'')) \leq (k - k'')(r - k) + (d - d_k(E))(r - k'').$$

Again using [PR03, Theorem 4.1] it follows that

$$(4.13) \quad \dim(A) = \dim(\text{Quot}_{C/\mathbb{C}}(E, k'', d'')) \leq k''(r - k'') + (d'' - d_{k''}(E))r.$$

Combining (4.12) and (4.13), using (4.6) and injectivity of  $\tilde{\theta}$  we get that

$$\begin{aligned} \dim(U) &\leq \text{Quot}_{C \times A/A}(\mathcal{S}_1, k - k'', d - d'') \\ &\leq k''(r - k'') + (d'' - d_{k''}(E))r + (k - k'')(r - k) + (d - d_k(E))(r - k'') \\ &= C_1(E, k, k'') + d(r - k'') + d''r \end{aligned}$$

From this, Remark 4.3(2) and (4.6) it follows that

$$(4.14) \quad \dim(\mathcal{Q}) - \dim(U) \geq C_2(E, k, k'') + dk'' - d''r.$$

We claim that  $C_2(E, k, k'') + dk'' - d''r > t_0$ . If not, then we have

$$\frac{C_2(E, k, k'') + dk'' - t_0}{r} \leq d''.$$

But this yields

$$(4.15) \quad \frac{C_3(E, k) + d - t_0}{r^2} \leq \frac{C_2(E, k, k'') + d - t_0}{r^2} < \frac{C_2(E, k, k'') + dk'' - t_0}{rk''} \leq \frac{d''}{k''}.$$

Let  $u \in U$  be a closed point. Then  $\mu_{\min}(\mathcal{F}_u) = d''/k''$ . By the assumption on  $d$  we have that

$$d \geq \beta(E, k, t_0) \geq r^2 \mu_0(E, r - 1) + t_0 - C_3(E, k).$$

Using this and (4.15) gives

$$\mu_0(E, r - 1) \leq \frac{C_3(E, k) + d - t_0}{r^2} < \frac{d''}{k''} = \mu_{\min}(\mathcal{F}_u).$$

It follows from Lemma 4.1 that  $H^1(E^\vee \otimes \mathcal{F}_u) = 0$ , that is,  $u \in \mathcal{Q}_g^{\text{tf}}$ . But this is a contradiction as  $U \subset \mathcal{Q}_b^{\text{tf}}$ . Thus, it follows from (4.14) that

$$\dim(\mathcal{Q}) - \dim(S) \geq C_2(E, k, k'') + dk'' - d''r > t_0.$$

This completes the proof of the Lemma.  $\square$

## 5. LOCUS OF QUOTIENTS WHICH ARE NOT TORSION FREE

For a sheaf  $F$ , denote the torsion subsheaf of  $F$  by  $\text{Tor}(F)$ . For an integer  $i \geq 1$  define the locally closed subset

$$(5.1) \quad Z_i := \{[q : E \longrightarrow F] \in \mathcal{Q} \mid \deg(\text{Tor}(F)) = i\}.$$

We now estimate the dimension of  $Z_i$  and  $(Z_i)_b$  (recall the definition of  $(Z_i)_b$  from (4.5)).

**Lemma 5.2.** *With notation as above we have*

- (1) *Assume that  $d - i \geq \alpha(E, k)$  (see Remark 4.3). Then  $Z_i$  is irreducible and  $\dim(Z_i) = \dim(\mathcal{Q}) - ki$ . Moreover,  $\bar{Z}_i \supset \bigcup_{j \geq i} Z_j$ .*
- (2) *Let  $t_1$  be a positive integer. If  $d - i \geq \beta(E, k, t_1)$  (see (4.7) for definition of  $\beta$ ) then  $\dim(Z_i) - \dim((Z_i)_b) > t_1$ .*
- (3) *If  $d - i \geq \beta(E, k, t_1)$  then  $\dim(\mathcal{Q}) - \dim((Z_i)_b) > t_1 + ki$ .*

*Proof.* Consider the Quot scheme  $\text{Quot}_{C/\mathbb{C}}(E, k, d-i)$ . For ease of notation we denote  $A = \text{Quot}_{C/\mathbb{C}}(E, k, d-i)$ . Let

$$0 \longrightarrow \mathcal{S} \longrightarrow p_1^*E \longrightarrow \mathcal{F} \longrightarrow 0$$

be the universal quotient on  $C \times A$ . Consider the relative Quot scheme

$$(5.3) \quad \text{Quot}_{C \times A/A}(\mathcal{S}, 0, i) \xrightarrow{\pi} A.$$

There is a map

$$(5.4) \quad \text{Quot}_{C \times A/A}(\mathcal{S}, 0, i) \xrightarrow{\pi'} \mathcal{Q}$$

whose image consists of precisely those quotients  $[E \longrightarrow F]$  for which  $\deg(\text{Tor}(F)) \geq i$ . Recall the locus  $A^{\text{tf}}$  from (4.5). One checks easily that

$$(5.5) \quad \pi'^{-1}(Z_i) = \pi^{-1}(A^{\text{tf}}).$$

In fact, one easily checks that  $\pi' : \pi^{-1}(A^{\text{tf}}) \longrightarrow Z_i$  is a bijection on points and so they have the same dimension. As  $d-i \geq \alpha(E, k)$ , by Remark 4.3(2), it follows that  $A$  is irreducible of dimension

$$\dim(A) = r(d-i) - ek - k(r-k)(g-1).$$

By Remark 4.3(3), it follows that  $A^{\text{tf}}$  is a dense open subset of  $A$ . If  $[E \longrightarrow F] \in A$  is a quotient, let  $S_F$  denote the kernel. The fiber of  $\pi$  over this point is the Quot scheme  $\text{Quot}_{C/\mathbb{C}}(S_F, 0, i)$ , which is irreducible and has dimension  $(r-k)i$ . From this it follows that  $\text{Quot}_{C \times A/A}(\mathcal{S}, 0, i)$  is irreducible of dimension  $\dim(\mathcal{Q}) - ki$ . Thus, the open set  $\pi^{-1}(A^{\text{tf}})$  also has the same dimension and is irreducible. As this open subset dominates  $Z_i$ , the claim about the irreducibility and dimension of  $Z_i$  follows. We have already observed that the image of  $\pi'$  is the locus  $\bigcup_{j \geq i} Z_j$ . As  $\pi^{-1}(A^{\text{tf}})$  is dense in  $\text{Quot}_{C \times A/A}(\mathcal{S}, 0, i)$ , the proof of (1) is complete.

To prove the second assertion, note that

$$H^1(E^\vee \otimes F) = H^1(E^\vee \otimes (F/\text{Tor}(F))).$$

One checks easily that

$$(5.6) \quad \pi'^{-1}((Z_i)_b) = \pi^{-1}(A_b^{\text{tf}}).$$

As  $\pi$  has constant fiber dimension, we see

$$\dim(A^{\text{tf}}) - \dim(A_b^{\text{tf}}) = \dim(\pi^{-1}(A^{\text{tf}})) - \dim(\pi^{-1}(A_b^{\text{tf}})).$$

By applying Lemma 2.2 to the map  $\pi'$ , and using (5.5) and (5.6), we get

$$\begin{aligned} \dim(A^{\text{tf}}) - \dim(A_b^{\text{tf}}) &= \dim(\pi^{-1}(A^{\text{tf}})) - \dim(\pi^{-1}(A_b^{\text{tf}})) \\ &= \dim(\pi'^{-1}(Z_i)) - \dim(\pi'^{-1}((Z_i)_b)) \leq \dim(Z_i) - \dim((Z_i)_b). \end{aligned}$$

As  $d-i \geq \beta(E, k, t_1) \geq \alpha(E, k)$  it follows from Remark 4.3(2) and (3) that  $\text{Quot}_{C/\mathbb{C}}(E, k, d-i)$  is irreducible and so  $\dim(\text{Quot}_{C/\mathbb{C}}(E, k, d-i)) = \dim(\text{Quot}_{C/\mathbb{C}}(E, k, d-i)^{\text{tf}})$ . By Lemma 4.9 it follows that

$$\dim(A^{\text{tf}}) - \dim(A_b^{\text{tf}}) = \dim(\text{Quot}_{C/\mathbb{C}}(E, k, d-i)^{\text{tf}}) - \dim(\text{Quot}_{C/\mathbb{C}}(E, k, d-i)_b^{\text{tf}}) > t_1.$$

This proves that  $\dim(Z_i) - \dim((Z_i)_b) > t_1$ . This proves (2).

Assertion (3) of the Lemma follows easily using the first two.  $\square$

## 6. FLATNESS OF DET

We begin by showing that when  $d \gg 0$ ,  $\mathcal{Q}$  is a local complete intersection. This result seems well known to experts (see [BDW96, Theorem 1.6] and the paragraph following it); however, we include it as we could not find a precise reference.

**Lemma 6.1.** *Let  $d \geq \alpha(E, k)$ . Then  $\mathcal{Q}$  is a local complete intersection scheme. In particular, it is Cohen-Macaulay.*

*Proof.* By Remark 4.3(2),  $\mathcal{Q}$  is irreducible and so  $\dim_q(\mathcal{Q})$  is independent of the closed point  $q \in \mathcal{Q}$ . Let  $\mathcal{F}$  denote the universal quotient and let  $\mathcal{K}$  denote the universal kernel on  $C \times \mathcal{Q}$ . For a closed point  $q \in \mathcal{Q}$  we shall denote the restrictions of these sheaves to  $C \times q$  by  $\mathcal{K}_q$  and  $\mathcal{F}_q$ . The sheaf  $\mathcal{K}$  is locally free on  $C \times \mathcal{Q}$ . It follows that  $\mathcal{K}^\vee \otimes \mathcal{F}$  is flat over  $\mathcal{Q}$ , and so the Euler characteristic of  $\mathcal{K}_q^\vee \otimes \mathcal{F}_q$  is constant, call it  $\chi$ . As  $\mathcal{Q}_g^{\text{tf}}$  is nonempty, let  $q \in \mathcal{Q}_g^{\text{tf}}$  be a closed point. As  $h^1(\mathcal{K}_q^\vee \otimes \mathcal{F}_q) = 0$ , it follows from [HL10, Proposition 2.2.8] that

$$\dim_q(\mathcal{Q}) = h^0(\mathcal{K}_q^\vee \otimes \mathcal{F}_q) = h^0(\mathcal{K}_q^\vee \otimes \mathcal{F}_q) - h^1(\mathcal{K}_q^\vee \otimes \mathcal{F}_q) = \chi.$$

Let  $t \in \mathcal{Q}$  be a closed point. We already observed that  $\dim_t(\mathcal{Q})$  is independent of the closed point  $t \in \mathcal{Q}$  and so is equal to  $\chi$ . It follows that for all closed points  $t \in \mathcal{Q}$  we have

$$\dim_t(\mathcal{Q}) = \chi = h^0(\mathcal{K}_t^\vee \otimes \mathcal{F}_t) - h^1(\mathcal{K}_t^\vee \otimes \mathcal{F}_t).$$

By [HL10, Proposition 2.2.8] it follows that the space  $\mathcal{Q}$  is a local complete intersection at any closed point and so is also Cohen-Macaulay.  $\square$

**Lemma 6.2.** *Fix a positive integer  $t_0$ . Let  $i_0$  be the smallest integer such that  $ki_0 > g(C) + t_0$ . If  $d \geq \beta(E, k, g(C) + t_0) + i_0$  then  $\dim(\mathcal{Q}) - \dim(\mathcal{Q}_b) > g(C) + t_0$ .*

*Proof.* First observe that we can write

$$\mathcal{Q} = \mathcal{Q}^{\text{tf}} \sqcup \bigsqcup_{i \geq 1} Z_i.$$

Only finitely many indices  $i$  appear. In fact,  $i$  can be at most  $d - d_k(E)$ , see (4.2). In view of this we get

$$\mathcal{Q}_b = \mathcal{Q}_b^{\text{tf}} \sqcup \bigsqcup_{i \geq 1} (Z_i)_b.$$

By Lemma 4.9, since  $d \geq \beta(E, k, g(C) + t_0)$  we have

$$\dim(\mathcal{Q}) - \dim(\mathcal{Q}_b^{\text{tf}}) > g(C) + t_0.$$

If  $1 \leq i \leq i_0$  then  $d - i \geq d - i_0 \geq \beta(E, k, g(C) + t_0)$ , and so by Lemma 5.2(3) we get

$$\dim(\mathcal{Q}) - \dim((Z_i)_b) > g(C) + t_0 + ki.$$

By Lemma 5.2(1) we also get that  $\bar{Z}_{i_0} \supset \bigcup_{j \geq i_0} Z_j$ . For  $j \geq i_0$ ,

$$\dim((Z_j)_b) \leq \dim(Z_j) \leq \dim(Z_{i_0}) = \dim(\mathcal{Q}) - ki_0.$$

This shows that for  $j \geq i_0$  we have

$$\dim(\mathcal{Q}) - \dim((Z_j)_b) \geq ki_0 > g(C) + t_0.$$

Combining these shows that  $\dim(\mathcal{Q}) - \dim(\mathcal{Q}_b) > g(C) + t_0$ . This completes the proof of the Lemma.  $\square$

**Theorem 6.3.** *Recall the map  $\det$  defined in (2.5).*

- (1) *Let  $n_0$  be the smallest integer such that  $kn_0 > g(C) + 1$ . Let  $d \geq \beta(E, k, g(C) + 1) + n_0$ . Then  $\det : \mathcal{Q} \rightarrow \text{Pic}^d(C)$  is a flat map. Further,  $\mathcal{Q}$  is an integral and normal variety.*
- (2) *Let  $n_1$  be the smallest integer such that  $kn_1 > g(C) + 3$ . Let  $d \geq \beta(E, k, g(C) + 3) + n_1$ . Then  $\mathcal{Q}$  is locally factorial.*

*Proof.* Let  $q \in \mathcal{Q}$  be a closed point and let  $K$  denote the kernel of the quotient  $q$ . Then we have a short exact sequence

$$0 \longrightarrow K \longrightarrow E \longrightarrow F \longrightarrow 0.$$

Applying  $\text{Hom}(-, F)$  and using Lemma 2.7 we get the following diagram, in which the top row is exact.

$$(6.4) \quad \begin{array}{ccccccc} \text{Hom}(K, F) & \longrightarrow & \text{Ext}^1(F, F) & \longrightarrow & \text{Ext}^1(E, F) & \longrightarrow & \text{Ext}^1(K, F) \longrightarrow 0 \\ & \searrow d(\det)_q & \downarrow tr & & & & \\ & & H^1(C, \mathcal{O}_C) & & & & \end{array}$$

If  $H^1(E^\vee \otimes F) = 0$  then we make the following two observations. First observe that it follows that  $H^1(K^\vee \otimes F) = 0$ , which shows that  $\mathcal{Q}_g$  is contained in the smooth locus of  $\mathcal{Q}$ , by [HL10, Proposition 2.2.8]. Second observe that the map  $\text{Hom}(K, F) \rightarrow \text{Ext}^1(F, F)$  will be surjective. As  $\text{Ext}^1(F, F) \rightarrow H^1(C, \mathcal{O}_C)$  is surjective, it follows that if  $H^1(E^\vee \otimes F) = 0$  then the diagonal map in the above diagram is surjective. However, the diagonal map is precisely the differential of  $\det$  at the point  $q$ . As  $\mathcal{Q}_g$  and  $\text{Pic}^d(C)$  are smooth, it follows that the restriction of  $\det$  to  $\mathcal{Q}_g$  is a smooth morphism and so also flat and dominant.

Assume  $d \geq \beta(E, k, g(C) + 1) + n_0$ . Applying Lemma 6.2 we get

$$\dim(\mathcal{Q}) - \dim(\mathcal{Q}_b) > g(C) + 1.$$

We observed in Lemma 6.1 that  $\mathcal{Q}$  is a Cohen-Macaulay scheme and so it satisfies Serre's condition  $S_2$ . The open subset  $\mathcal{Q}_g$  is smooth. As  $\mathcal{Q}_b = \mathcal{Q} \setminus \mathcal{Q}_g$ , it follows that  $\mathcal{Q}$  satisfies Serre's condition  $R_1$ . Thus,  $\mathcal{Q}$  is an integral and normal variety.

In view of Lemma 6.1 and [Mat86, Theorem 23.1] or [Stk, Tag 00R4], to prove the first assertion of the theorem, it suffices to show that the fibers of  $\det$  have constant dimension. Applying Lemma 2.1(1), by taking  $U$  to be the open subset  $\mathcal{Q}_g$ , we get that  $\det$  is flat. This proves (1).

Now we prove (2). Assume  $d \geq \beta(E, k, g(C) + 3) + n_1$ . Applying Lemma 6.2 we get

$$\dim(\mathcal{Q}) - \dim(\mathcal{Q}_b) > g(C) + 3.$$

This implies that the singular locus has codimension 4 or more. Now we use a result of Grothendieck which states that if  $R$  is a local ring that is a complete intersection in which the singular locus has codimension 4 or more, then  $R$  is a UFD. We refer the reader to [Gro05], [Cal94], [AH20, Theorem 1.4]. This implies that  $\mathcal{Q}$  is locally factorial. The proof of the theorem is now complete.  $\square$

7. LOCUS OF STABLE QUOTIENTS AND PICARD GROUP OF  $\mathcal{Q}$ 

**7.1.** In this section we will be using two Quot schemes. Thus, it is worth recalling that  $\mathcal{Q}$  denotes the Quot scheme  $\text{Quot}_{C/\mathbb{C}}(E, k, d)$ . We begin by explaining a result from [Bho99] that we need. Assume one of the following two holds

- $k \geq 2$  and  $g(C) \geq 3$ , or
- $k \geq 3$  and  $g(C) = 2$ .

Let  $d \geq \alpha(E, k)$ . Fix a closed point  $P \in C$ . For a closed point  $q \in \mathcal{Q}$ , let  $[E \xrightarrow{q} \mathcal{F}_q]$  denote the quotient corresponding to this closed point. We may choose  $n \gg 0$  such that for all  $q \in \mathcal{Q}_g^{\text{tf}}$  we have  $H^1(C, \mathcal{F}_q(nP)) = 0$  and  $\mathcal{F}_q(nP)$  is globally generated. As  $d \geq \alpha(E, k)$ , by Remark 4.3, it follows that  $\mathcal{Q}_g^{\text{tf}}$  is irreducible, and so  $h^0(C, \mathcal{F}_q(nP))$  is independent of  $q$ . Let

$$(7.2) \quad N := h^0(C, \mathcal{F}_q(nP))$$

and consider the Quot scheme  $\text{Quot}_{C/\mathbb{C}}(\mathcal{O}_C^{\oplus N}, k, d + kn)$ . Let  $\mathcal{G}'$  denote the universal quotient on  $C \times \text{Quot}_{C/\mathbb{C}}(\mathcal{O}_C^{\oplus N}, k, d + kn)$ . Let  $R \subset \text{Quot}_{C/\mathbb{C}}(\mathcal{O}_C^{\oplus N}, k, d + kn)$  be the open subset containing closed points  $[x : \mathcal{O}_C^{\oplus N} \rightarrow \mathcal{G}'_x]$  such that  $\mathcal{G}'_x$  is torsion free,  $H^1(C, \mathcal{G}'_x) = 0$  and the quotient map  $\mathcal{O}_C^{\oplus N} \rightarrow \mathcal{G}'_x$  induces an isomorphism  $\mathbb{C}^N \xrightarrow{\sim} H^0(C, \mathcal{G}'_x)$ . This is the space  $R$  in [Bho99, page 246, Proposition 1.2], see [Bho99, page 246, Notation 1.1]. The space  $R$  is a smooth equidimensional scheme. Let  $R^s$  (respectively,  $R^{ss}$ ) denote the open subset of  $R$  consisting of closed points  $x$  for which  $\mathcal{G}'_x$  is stable (respectively, semistable). In [Bho99, page 246, Proposition 1.2] it is proved that  $\dim(R) - \dim(R \setminus R^s) \geq 2$ .

Let

$$\begin{aligned} p_1 : C \times \text{Quot}_{C/\mathbb{C}}(\mathcal{O}_C^{\oplus N}, k, d + kn) &\longrightarrow C \\ p_2 : C \times \text{Quot}_{C/\mathbb{C}}(\mathcal{O}_C^{\oplus N}, k, d + kn) &\longrightarrow \text{Quot}_{C/\mathbb{C}}(\mathcal{O}_C^{\oplus N}, k, d + kn) \end{aligned}$$

denote the projections. Let

$$\mathcal{G} := \mathcal{G}' \otimes p_1^*(\mathcal{O}_C(-nP)).$$

Let  $R' \subset R$  be the open subset containing closed points  $x$  for which  $H^1(C, E^\vee \otimes \mathcal{G}_x) = 0$ . By Cohomology and Base change theorem it follows that  $p_{2*}(p_1^* E^\vee \otimes \mathcal{G})$  is locally free on  $R'$ . The fiber over a point  $x \in R'$  is isomorphic to the vector space  $\text{Hom}(E, \mathcal{G}_x)$ . Consider the projective bundle

$$(7.3) \quad \mathbb{P}(p_{2*}(p_1^* E^\vee \otimes \mathcal{G})^\vee) \xrightarrow{\Theta} R'.$$

The fiber of  $\Theta$  over a point  $x \in R'$  is the space of lines in the vector space  $\text{Hom}(E, \mathcal{G}_x)$ . For ease of notation we denote  $\mathbb{P}(p_{2*}(p_1^* E^\vee \otimes \mathcal{G})^\vee)$  by  $\mathbb{P}$ . Denote the projection maps from  $C \times \mathbb{P}$  by

$$p'_1 : C \times \mathbb{P} \longrightarrow C, \quad p'_2 : C \times \mathbb{P} \longrightarrow \mathbb{P}.$$

Consider the following Cartesian square

$$\begin{array}{ccc} C \times \mathbb{P} & \xrightarrow{\tilde{\Theta}} & C \times R' \\ p'_2 \downarrow & & \downarrow p_2 \\ \mathbb{P} & \xrightarrow{\Theta} & R' \end{array}$$

Let  $\mathcal{O}(1)$  denote the tautological line bundle on  $\mathbb{P}$ . Then we have a map of sheaves on  $C \times \mathbb{P}$

$$(7.4) \quad p_1'^* E \longrightarrow \tilde{\Theta}^* \mathcal{G} \otimes p_2'^* \mathcal{O}(1).$$

A closed point  $v \in \mathbb{P}$  corresponds to the closed point  $\Theta(v) \in R'$  and a line spanned by some  $w_v \in \text{Hom}(E, \mathcal{G}_{\Theta(v)})$ . The restriction of (7.4) to  $C \times v$  gives the map  $w_v : E \longrightarrow \mathcal{G}_{\Theta(v)}$ . Let  $\mathbb{U} \subset \mathbb{P}$  denote the open subset parametrizing points  $v$  such that  $w_v$  is surjective. On  $C \times \mathbb{U}$  we have a surjection

$$(7.5) \quad p_1'^* E \longrightarrow \tilde{\Theta}^* \mathcal{G} \otimes p_2'^* \mathcal{O}(1).$$

This defines a morphism

$$(7.6) \quad \Psi : \mathbb{U} \longrightarrow \mathcal{Q}_g^{\text{tf}}.$$

**Lemma 7.7.**  *$\Psi$  is surjective on closed points.*

*Proof.* Let  $[q : E \longrightarrow \mathcal{F}_q] \in \mathcal{Q}_g^{\text{tf}}$  be a closed point. By our choice of  $n$  and  $N$  (see (7.2)), we have that  $\mathcal{F}_q(nP)$  is globally generated and  $N = h^0(C, \mathcal{F}_q(nP))$ . Therefore, by choosing a basis for  $H^0(C, \mathcal{F}_q(nP))$  we get a surjection  $[\mathcal{O}_C^N \longrightarrow \mathcal{F}_q(nP)]$ . Now it follows easily that  $\Psi$  is surjective on closed points.  $\square$

Now further assume  $d \geq \max\{\alpha(E, k), k\mu_0(E, k)\}$ . By Lemma 4.1 we have  $H^1(C, E^\vee \otimes \mathcal{G}_x) = 0$  for  $x \in R^s$ . Thus, we have inclusions of open sets  $R^s \subset R' \subset R$ . Let  $\mathbb{P}^s \subset \mathbb{P}$  denote the inverse image of  $R^s$  under the map  $\Theta$ . Similarly, let  $\mathbb{U}^s \subset \mathbb{U}$  denote the inverse image of  $R^s$  under the restriction of  $\Theta$  to  $\mathbb{U}$ . Let

$$(7.8) \quad \mathcal{Q}^s := \{[E \longrightarrow F] \in \mathcal{Q} \mid F \text{ is stable}\}.$$

As  $d \geq k\mu_0(E, k)$ , by Lemma 4.1 we have  $H^1(C, E^\vee \otimes F) = 0$  for  $[E \longrightarrow F] \in \mathcal{Q}^s$ . It follows that  $\mathcal{Q}^s \subset \mathcal{Q}_g^{\text{tf}}$ . It is easily checked that

$$(7.9) \quad \Psi^{-1}(\mathcal{Q}^s) = \mathbb{U}^s.$$

The group  $\text{PGL}(N)$  acts freely on  $\mathbb{P}^s$  and leaves the open subset  $\mathbb{U}^s$  invariant. Consider the trivial action of  $\text{PGL}(N)$  on  $\mathcal{Q}^s$ . Then the restriction  $\Psi : \mathbb{U}^s \longrightarrow \mathcal{Q}^s$  is  $\text{PGL}(N)$ -equivariant. It is clear that the restriction of the map  $\Theta : \mathbb{P}^s \longrightarrow R^s$  is also  $\text{PGL}(N)$ -equivariant. Let  $M_{k,d+kn}^s$  (respectively,  $M_{k,d+kn}$ ) denote the moduli space of stable (respectively, semistable) bundles of rank  $k$  and degree  $d + kn$ . Then  $M_{k,d+kn}^s$  is the GIT quotient

$$\psi : R^s \longrightarrow R^s // \text{PGL}(N) = M_{k,d+kn}^s.$$

Let  $p_C : C \times \mathcal{Q} \longrightarrow C$  denote the projection and let  $p_C^* E \longrightarrow \mathcal{F}$  denote the universal quotient on  $C \times \mathcal{Q}$ . The sheaf  $p_C^* \mathcal{O}_C(nP) \otimes \mathcal{F}$  on  $C \times \mathcal{Q}^s$  defines a morphism  $\mathcal{Q}^s \xrightarrow{\theta} M_{k,d+kn}^s$ . One easily checks that we have the following commutative diagram, in which all arrows are surjective on closed points

$$(7.10) \quad \begin{array}{ccc} \mathbb{U}^s & \xrightarrow{\Psi} & \mathcal{Q}^s \\ \Theta_{\mathbb{U}^s} \downarrow & & \downarrow \theta \\ R^s & \xrightarrow{\psi} & M_{k,d+kn}^s \end{array}$$

The map  $\psi$  is a principal  $\mathrm{PGL}(N)$ -bundle. For a closed point  $x \in R^s$ , the points in the fiber  $\Theta_{\mathbb{U}^s}^{-1}(x)$  are in bijection with the points in the fiber  $\theta^{-1}(\psi(x))$ . Here we use the stability of the quotient sheaf to assert that no two distinct points in the fiber  $\Theta_{\mathbb{U}^s}^{-1}(x)$  map to the same point in the fiber  $\theta^{-1}(\psi(x))$ . The natural map from  $\mathbb{U}^s$  to the Cartesian product of  $\psi$  and  $\theta$  is a bijective map of smooth varieties and hence an isomorphism. This shows that the above diagram is Cartesian.

In this section we shall compute the Picard group of  $\mathcal{Q}$  when  $d \gg 0$ . As we saw in Theorem 6.3,  $\mathcal{Q}$  is locally factorial and so the Picard group is isomorphic to the divisor class group. Let  $CH^1(\mathcal{Q})$  denote the divisor class group of  $\mathcal{Q}$ . We shall first show that  $CH^1(\mathcal{Q}) \xrightarrow{\sim} CH^1(\mathcal{Q}^s)$  and then use the diagram (7.10) to compute  $CH^1(\mathcal{Q}^s)$ .

In the following Lemma we shall use the fact that  $\mathbb{U}$  is irreducible. This is easily seen as follows. The moduli space  $M_{k,k+dn}^s$  is an integral scheme. It easily follows that  $R^s$  is irreducible as  $M_{k,k+dn}^s$  is the GIT quotient  $R^s // \mathrm{PGL}(N)$ . By [Bho99, Proposition 1.2] we have that  $\dim(R) - \dim(R \setminus R^s) \geq 2$ . As  $R$  is equidimensional, it follows that  $R$  is irreducible. As  $R$  is smooth it follows that  $R$  is an integral scheme and so is  $R'$ . It follows that  $\mathbb{U}$  is integral.

**Lemma 7.11.** *Assume one of the following two holds*

- $k \geq 2$  and  $g(C) \geq 3$ , or
- $k \geq 3$  and  $g(C) = 2$ .

*Also assume  $d \geq \max\{\alpha(E, k) + 1, k\mu_0(E, k), \beta(E, k, 1)\}$ . Then the map  $CH^1(\mathcal{Q}) \rightarrow CH^1(\mathcal{Q}^s)$  is an isomorphism.*

*Proof.* Recall the definition of  $Z_1$  from (5.1) and observe that  $\mathcal{Q}^{\mathrm{tf}} = \mathcal{Q} \setminus \bar{Z}_1$ . Taking  $i = 1$  in Lemma 5.2(1) we get  $\dim(\mathcal{Q}) - \dim(\bar{Z}_1) \geq k$ . Since  $k \geq 2$ , it follows that  $CH^1(\mathcal{Q}) = CH^1(\mathcal{Q}^{\mathrm{tf}})$ .

By Lemma 4.9 it follows that

$$\dim(\mathcal{Q}^{\mathrm{tf}}) - \dim(\mathcal{Q}_b^{\mathrm{tf}}) = \dim(\mathcal{Q}) - \dim(\mathcal{Q}_b^{\mathrm{tf}}) > 1.$$

Observe that  $\mathcal{Q}_g^{\mathrm{tf}} = \mathcal{Q}^{\mathrm{tf}} \setminus \mathcal{Q}_b^{\mathrm{tf}}$ . It follows that  $CH^1(\mathcal{Q}^{\mathrm{tf}}) = CH^1(\mathcal{Q}_g^{\mathrm{tf}})$ .

We had observed earlier that  $\mathcal{Q}^s \subset \mathcal{Q}_g^{\mathrm{tf}}$ . To prove the Lemma it suffices to show that

$$\dim(\mathcal{Q}_g^{\mathrm{tf}}) - \dim(\mathcal{Q}_g^{\mathrm{tf}} \setminus \mathcal{Q}^s) > 1.$$

We will now show this.

As  $d \geq k\mu_0(E, k)$ , by Lemma 4.1 we have  $H^1(C, E^\vee \otimes \mathcal{G}_x) = 0$  for  $x \in R^s$ . We have already checked above, see (7.9), that  $\Psi^{-1}(\mathcal{Q}^s) = \mathbb{U}^s$ .

As the map  $\Theta$  is flat and  $\mathbb{U}$  is integral, it follows using Lemma 2.2 (applied to the map  $\Psi : \mathbb{U} \rightarrow \mathcal{Q}_g^{\mathrm{tf}}$ ) that

$$2 \leq \dim(R') - \dim(R' \setminus R^s) = \dim(\mathbb{U}) - \dim(\mathbb{U} \setminus \mathbb{U}^s) \leq \dim(\mathcal{Q}_g^{\mathrm{tf}}) - \dim(\mathcal{Q}_g^{\mathrm{tf}} \setminus \mathcal{Q}^s).$$

This completes the proof of the Lemma.  $\square$

**Lemma 7.12.** *Let  $r - k \geq 2$ . Let  $d \geq \max\{\alpha(E, k), k\mu_0(E, k) + k\}$ . The natural map  $CH^1(\mathbb{P}^s) \rightarrow CH^1(\mathbb{U}^s)$  is an isomorphism.*

*Proof.* It suffices to show that  $\dim(\mathbb{P}^s) - \dim(\mathbb{P}^s \setminus \mathbb{U}^s) \geq 2$ . Let  $[x : \mathcal{O}_C^{\oplus N} \rightarrow F]$  be a quotient corresponding to a closed point  $x \in R^s$ . It suffices to show that  $\dim(\Theta^{-1}(x)) - \dim(\Theta^{-1}(x) \setminus \mathbb{U}^s) \geq 2$  for every closed point  $x \in R^s$ . We now show this.

The space  $\Theta^{-1}(x)$  is the space  $\mathbb{P}(\mathrm{Hom}(E, F)^\vee)$  parametrizing lines in the vector space  $\mathrm{Hom}(E, F)$ . Let  $c \in C$  be a closed point. As  $F$  is stable, note  $\mu_{\min}(F(-c)) = \mu(F) - 1$ . As  $d \geq k\mu_0(E, k) + k$ , it follows that

$$\mu_{\min}(F(-c)) = \mu(F) - 1 = \frac{d - k}{k} \geq \mu_0(E, k).$$

Let  $p_i$  denote the projections from  $C \times C$ . Let  $\Delta$  denote the diagonal in  $C \times C$ . Consider the short exact sequence of sheaves on  $C \times C$  given by

$$0 \longrightarrow p_1^*(E^\vee \otimes F)(-\Delta) \longrightarrow p_1^*(E^\vee \otimes F) \longrightarrow \Delta_*(E^\vee \otimes F) \longrightarrow 0.$$

By Lemma 4.1 we have  $H^1(E^\vee \otimes F(-c)) = 0$ . Applying  $p_{2*}$  to the above, we get that the sheaf

$$\mathcal{V} := p_{2*}(p_1^*(E^\vee \otimes F)(-\Delta)),$$

which is locally free on  $C$ , sits in a short exact sequence

$$0 \longrightarrow \mathcal{V} \longrightarrow \mathrm{Hom}(E, F) \otimes \mathcal{O}_C \longrightarrow E^\vee \otimes F \longrightarrow 0.$$

The restriction of the above sequence to a closed point  $c \in C$  gives the short exact sequence of vector spaces

$$(7.13) \quad 0 \longrightarrow \mathrm{Hom}(E, F(-c)) \longrightarrow \mathrm{Hom}(E, F) \longrightarrow \mathrm{Hom}(E|_c, F|_c) \longrightarrow 0.$$

Consider the closed subset  $\mathbb{P}(\mathcal{V}^\vee) \subset \mathbb{P}(\mathrm{Hom}(E, F)^\vee) \times C$ . Let  $T \subset \mathbb{P}(\mathrm{Hom}(E, F)^\vee)$  denote the image of  $\mathbb{P}(\mathcal{V}^\vee)$  under the projection map

$$\mathbb{P}(\mathrm{Hom}(E, F)^\vee) \times C \longrightarrow \mathbb{P}(\mathrm{Hom}(E, F)^\vee).$$

Then  $T$  is a closed subset and set theoretically it is the union

$$T = \bigcup_{c \in C} \mathbb{P}(\mathrm{Hom}(E, F(-c))^\vee).$$

As  $r - k \geq 2$  we have  $rk \geq (k + 2)k > 2$ . Therefore,

$$(7.14) \quad \dim(\mathbb{P}(\mathrm{Hom}(E, F)^\vee)) - \dim(T) \geq \dim(\mathbb{P}(\mathrm{Hom}(E, F)^\vee)) - \dim(\mathbb{P}(\mathcal{V}^\vee)) = rk - 1 \geq 2.$$

Let  $V$  denote the open set  $\mathbb{P}(\mathrm{Hom}(E, F)^\vee) \setminus T$ . Let  $\mathcal{O}(1)$  denote the restriction of the tautological bundle on  $\mathbb{P}(\mathrm{Hom}(E, F)^\vee)$  to  $V$ . Let  $p_C$  denote the projection from  $C \times V$  to  $C$  and let  $p_V$  denote the projection to  $V$ . Consider the canonical map of sheaves on  $C \times V$

$$(7.15) \quad p_C^*(E \otimes F^\vee) \longrightarrow \mathrm{Hom}(E, F)^\vee \otimes \mathcal{O}_{C \times V} \longrightarrow p_V^*\mathcal{O}(1).$$

Let  $\varphi \neq 0$  be an element in  $\mathrm{Hom}(E, F)$  such that the line  $[\varphi]$  it defines is in  $V$ . The dual of equation (7.15) restricted to  $C \times [\varphi]$  is described as follows. This restriction maps

$$\mathbb{C} \longrightarrow \mathbb{C}[\varphi] \otimes \mathcal{O}_C \longrightarrow E^\vee \otimes F.$$

The second map is precisely the global section corresponding to the map  $\varphi$ . For a point  $c \in C$ , the map (7.15) restricted to  $(c, [\varphi])$  is adjoint to the map  $E|_c \xrightarrow{\varphi|_c} F|_c$ . As  $[\varphi] \in V$ , it follows that the map  $E|_c \xrightarrow{\varphi|_c} F|_c$  is nonzero, and so it follows that the restriction of (7.15) to  $(c, [\varphi])$  is nonzero, that is,  $E|_c \otimes F|_c^\vee \longrightarrow \mathbb{C}$  is nonzero and hence surjective. This proves

that the map (7.15) is surjective. This defines a map  $C \times V \xrightarrow{\kappa} \mathbb{P}(E \otimes F^\vee)$  which sits in a commutative diagram

$$\begin{array}{ccc} C \times V & \xrightarrow{\kappa} & \mathbb{P}(E \otimes F^\vee) \\ & \searrow & \downarrow \pi \\ & & C \end{array}$$

The restriction of the map  $\kappa$  over a point  $c \in C$  is the composite map below, where the second arrow is obtained using (7.13)

$$V \longrightarrow \mathbb{P}(\mathrm{Hom}(E, F)^\vee) \setminus \mathbb{P}(\mathrm{Hom}(E, F(-c))^\vee) \longrightarrow \mathbb{P}(\mathrm{Hom}(E|_c, F|_c)^\vee).$$

The second arrow is a surjective flat map and the first arrow is an open immersion. It follows that the composite is a flat map and hence has constant fiber dimension. It follows that the map  $\kappa$  has constant fiber dimension, and so using [Mat86, Theorem 23.1] or [Stk, Tag 00R4] we see that  $\kappa$  is a flat map. Consider the canonical map

$$\pi^* E \longrightarrow \pi^* F \otimes \mathcal{O}_{\mathbb{P}(E \otimes F^\vee)}(1)$$

on  $\mathbb{P}(E \otimes F^\vee)$  and let  $Z$  denote the support of the cokernel. The set  $Z \cap \pi^{-1}(c)$  is precisely the locus of non-surjective maps in  $\mathbb{P}(E|_c \otimes F|_c^\vee)$ . By [ACGH85, Chapter II, §2, page 67] we have that the codimension of  $Z \cap \pi^{-1}(c)$  in  $\mathbb{P}(E|_c \otimes F|_c^\vee)$  is  $r - k + 1$ . It follows that the codimension of  $Z$  in  $\mathbb{P}(E \otimes F^\vee)$  is  $r - k + 1$ . It follows that the codimension of  $\kappa^{-1}(Z)$  in  $C \times V$  is  $r - k + 1$  and the codimension of  $p_V(\kappa^{-1}(Z))$  in  $V$  is at least  $r - k \geq 2$ . The set  $V \setminus p_V(\kappa^{-1}(Z))$  is precisely the locus of points in  $\mathbb{P}(\mathrm{Hom}(E, F)^\vee)$  corresponding to maps which are surjective. The locus of points in  $\mathbb{P}(\mathrm{Hom}(E, F)^\vee)$  corresponding to non-surjective maps  $E \longrightarrow F$  is the set  $T \cup p_V(\kappa^{-1}(Z))$ , which has codimension at least 2. This proves that  $\dim(\Theta^{-1}(x)) - \dim(\Theta^{-1}(x) \setminus \mathbb{U}^s) \geq 2$ , which completes the proof of the Lemma.  $\square$

*Remark 7.16.* The proof of Lemma 7.12 also shows the following. Let  $k = 1$  and  $r \geq 3$  so that  $k \leq r - 2$ . Let  $d \geq \max\{\alpha(E, 1), \mu_0(E, 1) + 1\}$ . Let  $L$  be a line bundle on  $C$  of degree  $d$ . Then the closed subset in  $\mathbb{P}(\mathrm{Hom}(E, L)^\vee)$  consisting of non-surjective maps has codimension  $\geq 2$ .

**Theorem 7.17.** *Let  $r - k \geq 2$ . Assume one of the following two holds*

- $k \geq 2$  and  $g(C) \geq 3$ , or
- $k \geq 3$  and  $g(C) = 2$ .

*Let  $n_1$  be the smallest integer such that  $kn_1 > g(C) + 3$ . Assume*

$$d \geq \max\{\alpha(E, k) + 1, k\mu_0(E, k) + k, \beta(E, k, g(C) + 3) + n_1\}.$$

*Then*

$$\mathrm{Pic}(\mathcal{Q}) \cong \mathrm{Pic}(M_{k, d+kn}^s) \times \mathbb{Z} \cong \mathrm{Pic}(\mathrm{Pic}^0(C)) \times \mathbb{Z} \times \mathbb{Z}.$$

*Proof.* We saw in Theorem 6.3 that  $\mathcal{Q}$  is an integral variety which is normal and locally factorial. So the Picard group is isomorphic to the divisor class group. By Lemma 7.11 it is enough to show that

$$\mathrm{Pic}(\mathcal{Q}^s) \cong \mathrm{Pic}(M_{k, d+kn}^s) \times \mathbb{Z}.$$

Recall that we have the following diagram (7.10), which we checked is Cartesian:

$$\begin{array}{ccc} \mathbb{U}^s & \xrightarrow{\Psi} & \mathcal{Q}^s \\ \Theta_{\mathbb{U}^s} \downarrow & & \downarrow \theta \\ R^s & \xrightarrow{\psi} & M_{k,d+kn}^s. \end{array}$$

Recall from §7.1 that we had fixed a closed point  $P \in C$ . Note that for any  $[x : \mathcal{O}_C^N \rightarrow F(nP)] \in R^s$ , the fibre  $\Theta_{\mathbb{U}^s}^{-1}(x) \cong \theta^{-1}([F])$ . In the proof of Lemma 7.12 we proved that  $\dim(\Theta^{-1}(x)) - \dim(\Theta^{-1}(x) \setminus \mathbb{U}^s) \geq 2$  for every closed point  $x \in R^s$ . It follows that  $\Theta_{\mathbb{U}^s}^{-1}(x) = \Theta^{-1}(x) \cap \mathbb{U}^s$  is an open subset of projective space (that is,  $\Theta^{-1}(x)$ ) whose complement has codimension  $\geq 2$ . Thus,

$$\mathbb{Z} = \text{Pic}(\Theta^{-1}(x)) = \text{Pic}(\Theta_{\mathbb{U}^s}^{-1}(x)) = \text{Pic}(\theta^{-1}([F])).$$

Therefore we have the restriction map

$$\text{res} : \text{Pic}(\mathcal{Q}) \cong \text{Pic}(\mathcal{Q}^s) \longrightarrow \text{Pic}(\theta^{-1}([F])) \cong \mathbb{Z}.$$

We claim this map is nontrivial. Let  $\mathcal{L}$  be a very ample line bundle on  $\mathcal{Q}$ . If  $\text{res}(\mathcal{L})$  were trivial, it would follow that  $\text{res}(\mathcal{L})$  is trivial and very ample, which is a contradiction as  $\theta^{-1}([F]) \cong \Theta^{-1}(x)$  is an open subset of a projective space whose complement has codimension  $\geq 2$ . Thus, the image of  $\text{res}$  is isomorphic to a copy of  $\mathbb{Z}$ . We will show that the kernel of  $\text{res}$  is isomorphic to  $\text{Pic}(M_{k,d+kn}^s)$ .

Let  $L \in \text{Pic}(\mathcal{Q}^s)$  be such that  $\text{res}(L)$  is trivial. We need to show that  $L$  is isomorphic to the pullback of some line bundle on  $\text{Pic}(M_{k,d+kn}^s)$ . Consider the pullback  $\Psi^*L$ . Since  $\Psi$  is  $\text{PGL}(N)$ -invariant, this line bundle carries a  $\text{PGL}(N)$ -linearization. By Lemma 7.12, the complement of  $\mathbb{U}^s$  in  $\mathbb{P}^s$  has codimension  $\geq 2$ . Therefore, both  $L$  and this  $\text{PGL}(N)$ -linearization extend uniquely to  $\mathbb{P}^s$ . Let us denote this extension of  $\Psi^*L$  to  $\mathbb{P}^s$  by  $L'$  and the linearization on  $\text{PGL}(N) \times \mathbb{P}^s$  by  $\alpha' : m_{\mathbb{P}^s}^* L' \rightarrow p_{\mathbb{P}^s}^* L$ , where  $m_{\mathbb{P}^s} : \text{PGL}(N) \times \mathbb{P}^s \rightarrow \mathbb{P}^s$  is the multiplication map and  $p_{\mathbb{P}^s} : \text{PGL}(N) \times \mathbb{P}^s \rightarrow \mathbb{P}^s$  is the second projection. Since  $\Theta : \mathbb{P}^s \rightarrow R^s$  is a projective bundle,  $L' \cong \mathcal{O}(n) \otimes \Theta^* L''$  for some  $L'' \in \text{Pic}(R^s)$  and for some  $n$ . However, since the fibers of  $\Theta$  and  $\theta$  are isomorphic, the condition  $\text{res}(L)$  is trivial implies that  $n = 0$ , that is,  $L' \cong \Theta^* L''$ . Now note that since the map  $\mathbb{P}^s \rightarrow R^s$  is  $\text{PGL}(N)$ -equivariant we have a commutative diagram

$$\begin{array}{ccc} \text{PGL}(N) \times \mathbb{P}^s & \xrightarrow{m_{\mathbb{P}^s}} & \mathbb{P}^s \\ \downarrow \text{Id} \times \Theta & & \downarrow \Theta \\ \text{PGL}(N) \times R^s & \xrightarrow{m_{R^s}} & R^s \end{array}$$

From this diagram it follows that we have an isomorphism of sheaves

$$(\text{Id} \times \Theta)^* m_{R^s}^* L'' \cong m_{\mathbb{P}^s}^* \Theta^* L'' \xrightarrow{\sim} p_{\mathbb{P}^s}^* \Theta^* L'' \cong (\text{Id} \times \Theta)^* p_{R^s}^* L''.$$

where the middle isomorphism is given by the linearization  $\alpha'$ . Since  $\text{Id} \times \Theta$  is a projective bundle, applying  $(\text{Id} \times \Theta)_*$  to this composition of isomorphisms we get a linearization

$$\alpha'' : m_{R^s}^* L'' \xrightarrow{\sim} p_{R^s}^* L''$$

of  $L''$  such that  $(\text{Id} \times \Theta)^* \alpha'' = \alpha'$ . Now recall that the map  $\psi$  is a principal  $\text{PGL}(N)$ -bundle. By [HL10, Theorem 4.2.14] we get that there exists  $L''' \in \text{Pic}(M_{k,d+kn}^s)$  such that  $\psi^* L''' \cong L''$  and the induced  $\text{PGL}(N)$  linearization is  $\alpha''$ . Therefore we get that

$$\Psi^* \theta^* L''' \cong \Theta^* \psi^* L''' \cong \Theta^* L'' \cong L' \cong \Psi^* L$$

and also the induced  $\text{PGL}(N)$ -linearizations are also the same. Since the diagram (7.10) is Cartesian, the map  $\Psi$  is a principal  $\text{PGL}(N)$ -bundle. Hence by [HL10, Theorem 4.2.16] we get that  $\theta^* L''' \cong L$ . This completes the proof of the first equality in the statement of the Theorem. The second equality follows from [DN89, Theorem A, Theorem C] and from the fact that

$$\dim(M_{k,d+kn}) - \dim(M_{k,d+kn} \setminus M_{k,d+kn}^s) \geq 2.$$

One way to see this inequality is to apply [Bho99, Proposition 1.2 (3)] and Lemma 2.2 to the GIT quotient  $R^{ss} \rightarrow M_{k,d+kn}$ .  $\square$

## 8. FIBERS OF $\det$

Let  $L$  be a line bundle on  $C$  of degree  $d$  and let  $\mathcal{Q}_L$  denote the scheme theoretic fiber  $\det^{-1}(L)$ . As a corollary of Theorem 6.3 we have the following Proposition.

**Proposition 8.1.** *Let  $n_1$  be the smallest integer such that  $kn_1 > g(C) + 3$ . Let  $d \geq \beta(E, k, g(C) + 3) + n_1$ . Then  $\mathcal{Q}_L$  is a local complete intersection scheme which is equidimensional, normal and locally factorial.*

*Proof.* We use Theorem 6.3 and Lemma 6.1. As  $\mathcal{Q}$  is a local complete intersection scheme,  $\text{Pic}^d(C)$  is smooth and the map  $\det$  is flat, it follows using [Avr77, (1.9.2)] (see also [BH93, Remark 2.3.5] and [Stk, Tag 09Q2]) that  $\mathcal{Q}_L$  is a local complete intersection scheme and so also Cohen-Macaulay. As  $\mathcal{Q}$  is irreducible, flatness of  $\det$  also implies that  $\mathcal{Q}_L$  is equidimensional.

We observed in the proof of Theorem 6.3 that the restriction of  $\det$  to the open subset  $\mathcal{Q}_g$  is a smooth morphism. It follows that  $\mathcal{Q}_L \cap \mathcal{Q}_g$  is contained in the smooth locus of  $\mathcal{Q}_L$ . The singular locus of  $\mathcal{Q}_L$  is thus contained in  $\mathcal{Q}_L \cap \mathcal{Q}_b$ . As  $d \geq \beta(E, k, g(C) + 3) + n_1$ , applying Lemma 6.2 we get

$$\dim(\mathcal{Q}) - \dim(\mathcal{Q}_b) > g(C) + 3.$$

By Lemma 2.1(2) it follows that

$$(8.2) \quad \dim(\mathcal{Q}_L) - \dim(\mathcal{Q}_L \cap \mathcal{Q}_b) > 3.$$

It follows that the singular locus of  $\mathcal{Q}_L$  has codimension 4 or more. This proves that  $\mathcal{Q}_L$  is normal, that is, it is the disjoint union of finitely many normal varieties, all of the same dimension. Using Grothendieck's theorem (see [AH20, Theorem 1.4]) it follows that  $\mathcal{Q}_L$  is locally factorial.  $\square$

Next we want to find conditions under which  $\mathcal{Q}_L$  becomes irreducible. We use the notation used in Lemma 5.2. In the proof of the next Lemma we will use the following fact. Let  $X \rightarrow S$  be a projective morphism of schemes with relative ample line bundle  $\mathcal{O}(1)$ . Let  $\mathcal{S}$  be a coherent sheaf on  $X$ . Let  $P(n)$  denote the constant polynomial defined by  $P(n) = 1$  for all  $n$ . Then the relative Quot scheme  $\text{Quot}_{X/S}(\mathcal{S}, P)$  is isomorphic to  $\mathbb{P}(\mathcal{S}) \rightarrow X$ .

**Lemma 8.3.** *Let  $n_0$  be the smallest integer such that  $kn_0 > g(C) + 1$ . Let  $n_1$  be the smallest integer such that  $kn_1 > g(C) + 3$ . Let*

$$d \geq \max\{\beta(E, k, g(C) + 1) + n_0 + 1, \beta(E, k, g(C) + 3) + n_1\}.$$

*Then  $\mathcal{Q}_L^{\text{tf}}$  is dense in  $\mathcal{Q}_L$ .*

*Proof.* Recall the relative Quot scheme in equation (5.3). We are interested in the case  $i = 1$ , that is, the relative Quot scheme  $\text{Quot}_{C \times A/A}(\mathcal{S}, 0, 1)$ , where  $A$  is the Quot scheme  $\text{Quot}_{C/\mathbb{C}}(E, k, d - 1)$ . For ease of notation we denote by  $B$  the scheme  $\text{Quot}_{C \times A/A}(\mathcal{S}, 0, 1)$ . Recall the map  $\pi : B \rightarrow A$  from (5.3). On  $C \times B$  we have a quotient

$$(8.4) \quad (\text{Id}_C \times \pi)^* \mathcal{S} \rightarrow \mathcal{T},$$

such that  $\mathcal{T}$  is flat over  $B$ . Using  $\mathcal{T}$  we get the determinant map

$$\det_B : B \rightarrow \text{Pic}^1(C).$$

This map has the following pointwise description. A closed point  $b \in B$  gives rise to the closed point  $\pi(b) \in A$ , which corresponds to a short exact sequence on  $C$

$$0 \rightarrow S_F \rightarrow E \rightarrow F \rightarrow 0,$$

where  $F$  is of rank  $k$  and degree  $d - 1$  on  $C$ . The restriction of the universal quotient (8.4) to the point  $b$  is a torsion quotient on  $C$

$$S_F \rightarrow M,$$

such that  $\text{length}(M) = 1$ . Let  $c = \text{Supp}(M)$ . Then  $\det_B(b) = \mathcal{O}_C(c)$ . Consider the natural embedding (recall that  $g(C) > 0$ )  $\iota : C \hookrightarrow \text{Pic}^1(C)$  given by  $c \mapsto \mathcal{O}_C(c)$ . It is clear that the image of  $B$  is the image of  $\iota$ . Next we want to show that  $B$  is an integral scheme.

As  $d - 1 \geq \alpha(E, k)$ , it follows from Lemma 6.1 that  $A$  is a local complete intersection. By Theorem 6.3(1) it follows that  $A$  is integral. As  $i = 1$ , using the fact stated before this Lemma, it is easily checked that  $B$  is the projective bundle  $\mathbb{P}(\mathcal{S}) \rightarrow C \times A$ . It follows that  $B$  is integral and a local complete intersection and so Cohen-Macaulay. As  $B$  is integral, the map  $\det_B$  factors through the map  $\iota$ , that is, we have a commutative diagram

$$\begin{array}{ccc} B & \xrightarrow{\det_B} & \text{Pic}^1(C) \\ & \searrow \det_T & \nearrow \iota \\ & C & \end{array}$$

Let  $\det_A : A \rightarrow \text{Pic}^{d-1}(C)$  denote the determinant map for the Quot scheme  $A$ . This is flat due to Theorem 6.3(1). Consider the map

$$\begin{array}{ccc} B & \xrightarrow{\det_B} & \text{Pic}^d(C) \\ & \searrow (\det_T, \det_A \circ \pi) & \\ & C \times \text{Pic}^{d-1}(C) & \longrightarrow \text{Pic}^d(C). \end{array}$$

The second map is given by  $(c, M) \mapsto M \otimes \mathcal{O}_C(c)$ . It is easily checked that both maps have constant fiber dimension. In view of [Mat86, Theorem 23.1] it follows that both maps are

flat and so the composite  $\det_B$  is also flat. Recall the map  $\pi'$  from (5.4). It is clear that we have a commutative diagram

$$\begin{array}{ccc} B & \xrightarrow{\pi'} & \mathcal{Q} \\ & \searrow \det_B & \downarrow \det \\ & & \text{Pic}^d(C). \end{array}$$

Recall the definition of  $Z_1$ , see (5.1). We saw in the proof of Lemma 5.2 that  $\pi'(B) = \bar{Z}_1$ . Let

$$\bar{Z}_{1,L} := \{[q : E \longrightarrow F] \in \bar{Z}_1 \mid \det(F) = L\}.$$

Let  $B_L := \det_B^{-1}(L)$  denote the scheme theoretic fiber over  $L$ . Then it is clear that  $\pi'(B_L) = \bar{Z}_{1,L}$ . Thus, it follows that  $\dim(\bar{Z}_{1,L}) \leq \dim(B_L)$ . In the proof of Lemma 5.2 (after equation (5.5)) we had remarked that there is an open set  $U \subset B$  such that  $\pi'$  is injective on points of  $U$ . It is easily checked that this open set  $U$  meets all fibers  $B_L$ . Thus,  $\pi'$  is also injective on the subset  $U \cap B_L$ . Thus, it follows that  $\dim(\bar{Z}_{1,L}) \geq \dim(U \cap B_L)$ . Since  $\det_B$  is flat, the fibers are equidimensional and so it follows that every open set of  $B_L$  has the same dimension as  $B_L$ . Combining these we get

$$(8.5) \quad \dim(\bar{Z}_{1,L}) = \dim(B_L) = \dim(\mathcal{Q}) - k - g = \dim(\mathcal{Q}_L) - k.$$

As  $k \geq 1$ , and all irreducible components of  $\mathcal{Q}_L$  have the same dimension, it follows that  $\mathcal{Q}_L \setminus \bar{Z}_{1,L} = \mathcal{Q}_L^{\text{tf}}$  is dense in  $\mathcal{Q}_L$ .  $\square$

The above Lemma implies that irreducibility of  $\mathcal{Q}_L$  is equivalent to the irreducibility of the open subset  $\mathcal{Q}_L^{\text{tf}}$ . Let

$$\mathcal{Q}_{g,L}^{\text{tf}} := \mathcal{Q}_g^{\text{tf}} \cap \mathcal{Q}_L.$$

Combining Proposition 8.1 and Lemma 8.3 we get the following.

**Lemma 8.6.** *Let  $n_0$  be the smallest integer such that  $kn_0 > g(C) + 1$ . Let  $n_1$  be the smallest integer such that  $kn_1 > g(C) + 3$ . Let*

$$d \geq \max\{\beta(E, k, g(C) + 1) + n_0 + 1, \beta(E, k, g(C) + 3) + n_1\}.$$

*Then  $\mathcal{Q}_{g,L}^{\text{tf}}$  is dense in  $\mathcal{Q}_L^{\text{tf}}$ .*

*Proof.* As all components of  $\mathcal{Q}_L$  have the same dimension, the same holds for the open subset  $\mathcal{Q}_L^{\text{tf}}$ . Note that

$$\mathcal{Q}_L^{\text{tf}} \setminus \mathcal{Q}_{g,L}^{\text{tf}} = \mathcal{Q}_L^{\text{tf}} \cap \mathcal{Q}_b.$$

The Lemma follows using (8.2).  $\square$

Combining the above results we have the following.

**Theorem 8.7.** *Let  $k \geq 2, g(C) \geq 2$ . Let  $n_0$  be the smallest integer such that  $kn_0 > g(C) + 1$ . Let  $n_1$  be the smallest integer such that  $kn_1 > g(C) + 3$ . Let*

$$d \geq \max\{\beta(E, k, g(C) + 1) + n_0 + 1, \beta(E, k, g(C) + 3) + n_1\}.$$

*Then  $\mathcal{Q}_L$  is a local complete intersection scheme which is also integral, normal and locally factorial.*

*Proof.* The Theorem follows using Proposition 8.1 once we show that  $\mathcal{Q}_L$  is irreducible. In view of Lemma 8.3 and Lemma 8.6, it suffices to show that  $\mathcal{Q}_{g,L}^{\text{tf}}$  is irreducible.

Recall the notation from §7, in particular, the map  $\Psi$  from (7.6). This sits in the following commutative diagram whose maps we describe next.

$$(8.8) \quad \begin{array}{ccc} \mathbb{U} & \xrightarrow{\Psi} & \mathcal{Q}_g^{\text{tf}} \\ \downarrow & & \downarrow \\ R' & \longrightarrow & \text{Pic}^{d+kn}(C) \end{array}$$

The bottom horizontal map sends a closed point  $[x : \mathcal{O}_C^{\oplus N} \rightarrow F] \in R'$  to  $\det(F)$ . The right vertical map sends a closed point  $[q : E \rightarrow F] \in \mathcal{Q}_g^{\text{tf}}$  to  $\det(F) \otimes \mathcal{O}_C(knP)$ . Let  $L' := L \otimes \mathcal{O}_C(knP)$ .

The bottom horizontal map in (8.8) is a smooth morphism. This follows using Lemma 2.7 and the reason explained after (6.4) applied to the space  $R'$ . In particular, the morphism  $R' \rightarrow \text{Pic}^{d+kn}(C)$  is flat. Thus,  $R'_{L'}$  is a smooth equidimensional scheme. Using [Bho99, Corollary 1.3] we easily see that  $R'_{L'}$  is irreducible. Taking the “fiber” of (8.8) over the point  $[L'] \in \text{Pic}^{d+kn}(C)$  we get the following commutative diagram

$$\begin{array}{ccc} \mathbb{U}_{L'} & \xrightarrow{\Psi_{L'}} & \mathcal{Q}_{g,L}^{\text{tf}} \\ \Theta_{L'} \downarrow & & \downarrow \\ R'_{L'} & \longrightarrow & [L'] \end{array}$$

It follows that  $\mathbb{U}_{L'}$  is irreducible. By surjectivity of  $\Psi$  on closed points we get that  $\Psi_{L'}$  is also surjective on closed points. It follows that  $\mathcal{Q}_{g,L}^{\text{tf}}$  is irreducible. This completes the proof of the Theorem.  $\square$

Let  $M_{k,L}^s$  denote the moduli space of stable bundles of rank  $k$  and determinant  $L$ .

**Theorem 8.9.** *Let  $r - k \geq 2$ . Assume one of the following two holds*

- $k \geq 2$  and  $g(C) \geq 3$ , or
- $k \geq 3$  and  $g(C) = 2$ .

*Let  $n_0$  be the smallest integer such that  $kn_0 > g(C) + 1$ . Let  $n_1$  be the smallest integer such that  $kn_1 > g(C) + 3$ . Let*

$$d \geq \max\{k\mu_0(E, k) + k, \beta(E, k, g(C) + 1) + n_0 + 1, \beta(E, k, g(C) + 3) + n_1\}.$$

*We have isomorphisms*

$$\text{Pic}(\mathcal{Q}_L) \cong \text{Pic}(M_{k,L}^s) \times \mathbb{Z} \cong \mathbb{Z} \times \mathbb{Z}.$$

*Proof.* The proof is similar to Theorem 7.17 and so we only sketch it. From (8.2) and the fact that  $\mathcal{Q}_L^{\text{tf}} \setminus \mathcal{Q}_{g,L}^{\text{tf}} = \mathcal{Q}_L^{\text{tf}} \cap \mathcal{Q}_b$  it follows that

$$\dim(\mathcal{Q}_L) - \dim(\mathcal{Q}_L \setminus \mathcal{Q}_{g,L}^{\text{tf}}) \geq 2.$$

Now consider the diagram

$$\begin{array}{ccc} \mathbb{U}_{L'} & \xrightarrow{\Psi_{L'}} & \mathcal{Q}_{g,L}^{\text{tf}} \\ \Theta_{L'} \downarrow & & \downarrow \\ R'_{L'} & \longrightarrow & [L'] \end{array}$$

Just as in Lemma 7.11, using [Bho99, Corollary 1.3], and Lemma 2.2 we have

$$\dim(\mathcal{Q}_{g,L}^{\text{tf}}) - \dim(\mathcal{Q}_{g,L}^{\text{tf}} \setminus \mathcal{Q}_L^s) \geq 2.$$

Therefore we get that

$$\dim(\mathcal{Q}_L) - \dim(\mathcal{Q}_L \setminus \mathcal{Q}_L^s) \geq 2.$$

Since  $\mathcal{Q}_L$  is locally factorial we have

$$\text{Pic}(\mathcal{Q}_L) \cong \text{Pic}(\mathcal{Q}_L^s).$$

Now we have the cartesian diagram

$$(8.10) \quad \begin{array}{ccc} \mathbb{U}_L^s & \xrightarrow{\Psi} & \mathcal{Q}_L^s \\ \Theta_L \downarrow & & \downarrow \theta_L \\ R_L^s & \xrightarrow{\psi} & M_{k,L}^s. \end{array}$$

which we get by taking the fiber over  $[L]$  of the diagram (7.10). The rest of the proof is the same as the proof of Theorem 7.17, by considering this diagram instead of (7.10). The second equality follows from [DN89, Theorem B].  $\square$

## 9. QUOT SCHEMES $\text{Quot}_{C/\mathbb{C}}(E, 1, d)$

In this section we consider the case  $k = 1$ . We only sketch the proofs as they are similar to the earlier cases considered.

**Theorem 9.1.** *Let  $k = 1$ . Let  $d \geq \max\{\mu_0(E, 1) + 1, \beta(E, 1, g(C) + 3) + g(C) + 4\}$ . Then*

$$\text{Pic}(\mathcal{Q}) \cong \text{Pic}(\text{Pic}^d(C)) \times \mathbb{Z} \times \mathbb{Z}, \quad \text{Pic}(\mathcal{Q}_L) \cong \mathbb{Z} \times \mathbb{Z}.$$

*Proof.* We can apply Theorem 6.3 to conclude that  $\mathcal{Q}$  is integral, normal and locally factorial. We claim that  $\mathcal{Q}^{\text{tf}}$  is smooth. To see this, let

$$0 \longrightarrow S \longrightarrow E \longrightarrow L \longrightarrow 0$$

be a quotient. Applying  $\text{Hom}(-, L)$  we get a surjection  $\text{Ext}^1(E, L) \longrightarrow \text{Ext}^1(S, L) \longrightarrow 0$ . By Lemma 4.1 it follows that  $\text{Ext}^1(E, L) = 0$ . It easily follows that  $\mathcal{Q}^{\text{tf}}$  is smooth.

Let

$$(9.2) \quad \rho_1 : C \times \text{Pic}^d(C) \longrightarrow C, \quad \rho_2 : C \times \text{Pic}^d(C) \longrightarrow \text{Pic}^d(C)$$

be the projections. Let  $\mathcal{L}$  be a Poincare bundle on  $C \times \text{Pic}^d(C)$ . Define

$$\mathcal{E} := \rho_{2*}[\rho_1^* E^\vee \otimes \mathcal{L}].$$

Using Lemma 4.1 and cohomology and base change we easily conclude that  $\mathcal{E}$  is a locally free sheaf on  $\text{Pic}^d(C)$  such that the fibre over the point  $[L] \in \text{Pic}^d(C)$  is isomorphic to  $\text{Hom}(E, L)$ . Let  $\mathbb{W} \subset \mathbb{P}(\mathcal{E}^\vee)$  be the open subset consisting of points parametrizing surjective maps. Both

$\mathbb{W}$  and  $\mathcal{Q}^{\text{tf}}$  are smooth. There is a map  $\mathbb{W} \longrightarrow \mathcal{Q}^{\text{tf}}$  which is bijective on points (and hence an isomorphism as both are smooth) and sits in a commutative diagram

$$\begin{array}{ccc} \mathbb{W} & \xrightarrow{\sim} & \mathcal{Q}^{\text{tf}} \\ & \searrow & \downarrow \text{det} \\ & & \text{Pic}^d(C) \end{array}$$

Using Remark 7.16 it follows that  $\dim(\mathbb{P}(\mathcal{E}^\vee)) - \dim(\mathbb{P}(\mathcal{E}^\vee) \setminus \mathbb{W}) \geq 2$ . Thus, it follows that  $\text{Pic}(\mathcal{Q}^{\text{tf}}) \cong \text{Pic}(\mathbb{W}) \cong \text{Pic}(\mathbb{P}(\mathcal{E}^\vee)) \cong \text{Pic}(\text{Pic}^d(C)) \times \mathbb{Z}$ . By Lemma 5.2,  $\mathcal{Q} \setminus \mathcal{Q}^{\text{tf}} = \bar{Z}_1$  is irreducible of codimension 1 and so we have an exact sequence

$$0 \longrightarrow \mathbb{Z} \longrightarrow \text{Pic}(\mathcal{Q}) \longrightarrow \text{Pic}(\mathcal{Q}^{\text{tf}}) \longrightarrow 0.$$

It easily follows that we have an isomorphism

$$\text{Pic}(\mathcal{Q}) \cong \text{Pic}(\text{Pic}^d(C)) \times \mathbb{Z} \times \mathbb{Z}.$$

For  $\mathcal{Q}_L$ , we first show that  $\mathcal{Q}_L$  is integral, normal and locally factorial. This is easily done using Proposition 8.1, Lemma 8.3 and using the fact that  $\mathcal{Q}_L^{\text{tf}} \cong \mathbb{W}_L$ . The rest of the proof follows in the same way as that of  $\mathcal{Q}$ , once we use the irreducibility of  $\bar{Z}_{1,L}$  and the fact that it is of codimension 1, see (8.5). We remark that when  $k = 1$ , unlike in Theorem 8.7, we do not need to use [Bho99] and hence do not need the hypothesis that  $g(C) \geq 2$ .  $\square$

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