



# Shellability and homology of $q$ -complexes and $q$ -matroids

Sudhir R. Ghorpade<sup>1</sup> · Rakhi Pratihari<sup>2</sup> · Tovohery H. Randrianarisoa<sup>3</sup>

Received: 18 May 2021 / Accepted: 28 May 2022 / Published online: 9 July 2022

© The Author(s), under exclusive licence to Springer Science+Business Media, LLC, part of Springer Nature 2022

## Abstract

We consider a  $q$ -analogue of abstract simplicial complexes, called  $q$ -complexes, and discuss the notion of shellability for such complexes. It is shown that  $q$ -complexes formed by independent subspaces of a  $q$ -matroid are shellable. Further, we explicitly determine the homology of  $q$ -complexes corresponding to uniform  $q$ -matroids. We also outline some partial results concerning the determination of homology of arbitrary shellable  $q$ -complexes.

**Keywords** Shellability · Homology · Matroid ·  $Q$ -complex ·  $Q$ -matroid · Rank metric code

**Mathematics Subject Classification** 05B35 · 05A30 · 52B22 · 55N99

---

During the course of this work, Sudhir Ghorpade was partially supported by DST-RCN Grant INT/NOR/RCN/ICT/P-03/2018 from the Dept. of Science and Technology, Govt. of India, MATRICS grant MTR/2018/000369 from the Science and Engineering Research Board, and IRCC award Grant 12IRAWD009 from IIT Bombay.

During the course of this work, Rakhi Pratihari was supported by a doctoral fellowship at IIT Bombay from the University Grant Commission, Govt. of India (Sr. No. 2061641156). Currently, she is supported by Grant 280731 from the Research Council of Norway.

During the course of this work Tovohery Randrianarisoa was supported by a postdoc fellowship at IIT Bombay from the Swiss National Science Foundation Grant No. 181446.

---

✉ Sudhir R. Ghorpade  
srg@math.iitb.ac.in

Rakhi Pratihari  
pratihari.rakhi@gmail.com

Tovohery H. Randrianarisoa  
tovo@aims.ac.za

<sup>1</sup> Department of Mathematics, Indian Institute of Technology Bombay, Powai, Mumbai 400076, India

<sup>2</sup> Department of Mathematics and Statistics, UiT - The Arctic University of Norway, 9037 Tromsø, Norway

<sup>3</sup> Department of Mathematical Sciences, Florida Atlantic University, Boca Raton, FL 33431, USA

## 1 Introduction

Shellability is an important and useful notion in combinatorial topology and algebraic combinatorics. Recall that an (abstract) simplicial complex  $\Delta$  is said to be *shellable* if it is pure (i.e., all its facets have the same dimension) and there is a linear ordering  $F_1, \dots, F_t$  of its facets such that for each  $j = 2, \dots, t$ , the complex  $\langle F_j \rangle \cap \langle F_1, \dots, F_{j-1} \rangle$  is generated by a nonempty set of maximal proper subsets of  $F_j$ . Here, for  $i = 1, \dots, t$ , by  $\langle F_1, \dots, F_i \rangle$  we denote the complex generated by  $F_1, \dots, F_i$ , i.e., the smallest simplicial complex containing  $F_1, \dots, F_i$ .

From a topological point of view, a shellable simplicial complex is like a wedge of spheres. In particular, the reduced homology groups are well understood. Shellable simplicial complexes are of importance in commutative algebra partly because their Stanley–Reisner rings (over any field) are Cohen–Macaulay. Gröbner deformations of coordinate rings of several classes of algebraic varieties can be viewed as Stanley–Reisner rings of some simplicial complexes. Thus showing that these complexes are shellable becomes an effective way of establishing Cohen–Macaulayness of the corresponding coordinate rings. Important classes of simplicial complexes that are known to be shellable include boundary complex of a convex polytope, order complex of a “nice” poset (or more precisely, a bounded, locally upper semimodular poset), and matroid complexes, i.e., complexes formed by the independent subsets of matroids. For relevant background and proofs of these assertions, we refer to the books of Stanley [20], Bruns and Herzog [5], the collection [8] of lecture notes, and the survey article [4] of Björner.

We are interested in a  $q$ -analogue of some of these notions and results, wherein finite sets are replaced by finite-dimensional vector spaces over the finite field  $\mathbb{F}_q$ . One of our motivation comes from the recent work of Jurrius and Pellikaan [14] where the notion of a  $q$ -matroid is introduced and several of its properties are studied. (See also Crapo [7] and Terwilliger [22] where more general notions are studied.) Jurrius and Pellikaan [14] also outline the connections between rank metric codes, which has been a topic of much current interest (see, e.g., the recent survey by Gorla [10]), and  $q$ -matroids. The notion of a simplicial complex admits a straightforward  $q$ -analogue, and this goes back at least to Rota [18]. Alder [1] studied  $q$ -complexes in his thesis and defined when a  $q$ -complex is shellable. A natural question therefore is whether the  $q$ -complex of independent subspaces of a  $q$ -matroid is shellable. We will show in this paper that the answer is affirmative.

Next, we consider the question of determining the homology of shellable  $q$ -complexes. This appears to be much harder than the classical case, and we are able to make partial progress here by way of explicitly determining the homology of  $q$ -spheres as well as the more general class of  $q$ -complexes formed by independent subspaces of uniform  $q$ -matroids. We also describe the homology of a shellable  $q$ -complex provided it satisfies an additional hypothesis. A basic stumbling block (pointed out in [14] already) is that the notions of difference (of two sets) and complement (of a subset of a given set) do not have an obvious and unique analogue in the context of subspaces.

Our other motivation is from coding theory and the work of Johnsen and Verdure [12] where to a  $q$ -ary linear code (or more generally, to a matroid), one can associate a fine set of invariants, called its Betti numbers. These are obtained by looking at a

minimal graded free resolution of the Stanley–Reisner ring of a simplicial complex that corresponds to the vector matroid associated with the parity check matrix of the given linear code. The question that arises naturally is whether something like Betti numbers can be defined in the context of rank metric codes, or more generally, for  $q$ -matroids as in Jurrius and Pellikaan [14] or going even further, for the  $(q, m)$ -polymatroids studied in the works of Shiromoto [19], Britz, Mammoliti and Shiromoto [6], and Ghorpade and Johnsen [9], or the  $q$ -polymatroids studied by Gorla, Jurrius, Lopez and Ravagnani [11]. We were led to the study of shellability and homology of  $q$ -complexes, and especially, complexes associated with  $q$ -matroids with a view toward a possible topological approach to the above question. The question is still open, in general, but in the case of Gabidulin rank-metric codes, a plausible definition has been proposed very recently in [13] by associating a classical matroid to the  $q$ -matroid corresponding to the given Gabidulin rank-metric code, and then exploiting an isomorphism between the lattice of flats of this classical matroid and the lattice of  $q$ -flats of the  $q$ -matroid.

We remark that the only results we know thus far on the shellability of a  $q$ -complex are those of Alder [1, Ex. 1.5.2] who showed that  $q$ -spheres are shellable. Alder [1, §4.3.1] also studied certain  $q$ -complexes associated with symmetric groups and observed that several of them are not shellable. Thus, a general result obtained here on the shellability of an arbitrary  $q$ -matroid complex seems noteworthy. Moreover, questions on the homology of a shellable  $q$ -complex (and the partial results obtained here) could be of interest in themselves and also have the potential to be useful in the study of rank metric codes. We also remark that Alder [1, §5.2] has determined the so-called modular homology of a special class of  $q$ -complexes, namely,  $q$ -spheres. However, this modular homology depends on the “quantum characteristic”  $m = m(p, q)$  and, in turn, on a prime  $p$  that does not divide  $q$ . As such, it is different from singular homology considered in this paper. In fact, singular homology is readily available in the context of the well-developed theory of finite topological spaces (which is briefly reviewed in Section 5.1) and it seems more natural and more amenable for possible applications to  $q$ -matroids and rank metric codes. (See Remark 6.12 for more on this.) But computing singular homology groups of arbitrary  $q$ -complexes, and even those that are shellable, seems hard, and as indicated earlier, at present, we only have partial results about their explicit determination for some classes of shellable  $q$ -complexes.

This paper is organized as follows. In the next section, we collect some preliminaries and recall definitions of basic concepts such as  $q$ -complexes and  $q$ -matroids. In Sect. 3, we outline a procedure called “tower decomposition” that provides a useful way to order subspaces in a  $q$ -complex. The notion of shellability for  $q$ -complexes is reviewed in Sect. 4, and the shellability of  $q$ -matroid complexes is also established in this section. Next, we explicitly determine the homology of  $q$ -spheres, and more generally, the homology of the so-called uniform  $q$ -complexes in Sect. 5. Finally, our results on the homology of arbitrary shellable  $q$ -complexes are described in Sect. 6.

## 2 Preliminaries

Throughout this paper,  $q$  denotes a power of a prime number and  $\mathbb{F}_q$  the finite field with  $q$  elements. We fix a positive integer  $n$  and denote by  $E$  the  $n$ -dimensional vector space  $\mathbb{F}_q^n$  over  $\mathbb{F}_q$ . By  $\Sigma(E)$ , we denote the set of all subspaces of  $E$ . Given any  $y_1, \dots, y_r \in E$ , we denote by  $\langle y_1, \dots, y_r \rangle$  the  $\mathbb{F}_q$ -linear subspace of  $E$  generated by  $y_1, \dots, y_r$ . Also, for  $U, V, W \in \Sigma(E)$ , we often write  $U = V \oplus W$  to mean that  $U = V + W$  and  $V \cap W$  is the space  $\{0\}$  consisting of the zero vector in  $E$ . In other words, all direct sums considered in this paper are internal direct sums. For  $A \in \Sigma(E)$ , we usually write  $\dim A$  to mean  $\dim_{\mathbb{F}_q} A$ , i.e., the  $\mathbb{F}_q$ -vector space dimension of  $A$ . In other words, the subscript  $\mathbb{F}_q$  is often dropped. Occasionally, vector spaces over an extension  $\mathbb{F}_{q^m}$  of  $\mathbb{F}_q$  are considered and while considering the dimension of such spaces, the relevant subscript will always be used. We denote by  $\mathbb{N}$  the set of all nonnegative integers, and by  $\mathbb{N}^+$  the set of all positive integers.

Basic definitions and results concerning simplicial complexes and matroids will not be reviewed here. These are not formally needed, but they motivate the notions and results discussed below. If necessary, one can refer to [20] or [8] for simplicial complexes, shellability and related concepts, and to White [23] for basics (and more) about matroids.

**Definition 2.1** [18, Section 5] By a  $q$ -complex on  $E = \mathbb{F}_q^n$ , we mean a subset  $\Delta$  of  $\Sigma(E)$  satisfying the property that for every  $A \in \Delta$ , all subspaces of  $A$  are in  $\Delta$ .

Let  $\Delta$  be a  $q$ -complex. Elements of  $\Delta$  are called *faces* of  $\Delta$ . Faces of  $\Delta$  that are maximal (w.r.t. inclusion) are called the *facets* of  $\Delta$ . The *dimension* of  $\Delta$  is  $\max\{\dim A : A \in \Delta\}$ , and it is denoted by  $\dim \Delta$ . We say that  $\Delta$  is *pure* if all its facets have the same dimension.

### Example 2.2

- (i) Clearly,  $\Sigma(E)$  is a pure  $q$ -complex of dimension  $n$ . Also,  $\Delta := \{A \in \Sigma(E) : A \neq E\}$  is a pure  $q$ -complex of dimension  $n - 1$ ; we denote it by  $S_q^{n-1}$  and call it the  $q$ -sphere of dimension  $n - 1$ .
- (ii) If  $\mathcal{A}$  is any subset of  $\Sigma(E)$ , then  $\{B \in \Sigma(E) : B \subseteq A \text{ for some } A \in \mathcal{A}\}$  is a  $q$ -complex, called the  $q$ -complex generated by  $\mathcal{A}$ , and denoted by  $\langle \mathcal{A} \rangle$ . In case  $\mathcal{A} = \{A_1, \dots, A_r\}$ , we often write  $\langle \mathcal{A} \rangle$  as  $\langle A_1, \dots, A_r \rangle$ . By convention, if  $\mathcal{A}$  is the empty set, then  $\langle \mathcal{A} \rangle$  is defined to be the empty set.

We now recall the definition of a  $q$ -matroid, as given by Jurrius and Pellikaan [14].

**Definition 2.3** A  $q$ -matroid on  $E$  is a pair  $M = (E, \rho)$ , where  $\rho : \Sigma(E) \rightarrow \mathbb{N}$  is a function (called the *rank function* of  $M$ ) satisfying the following properties.

- (r1)  $0 \leq \rho(A) \leq \dim A$  for all  $A \in \Sigma(E)$ ,
- (r2) If  $A, B \in \Sigma(E)$  with  $A \subseteq B$ , then  $\rho(A) \leq \rho(B)$ ,
- (r3)  $\rho(A + B) + \rho(A \cap B) \leq \rho(A) + \rho(B)$  for all  $A, B \in \Sigma(E)$ .

**Definition 2.4** Let  $M = (E, \rho)$  be a  $q$ -matroid. We call  $\rho(E)$  the *rank* of  $M$ , and we sometimes denote it by  $\rho(M)$ . Let  $A \in \Sigma(E)$ . Then,  $A$  is said to be *independent* (in  $M$ ) if  $\rho(A) = \dim A$ ; otherwise, it is called *dependent*. Further,  $A$  is a *basis* (of  $M$ ) if  $A$  is independent and  $\rho(A) = \rho(M)$ .

**Example 2.5** Given a positive integer  $k \leq n$ , consider  $\rho : \Sigma(E) \rightarrow \mathbb{N}$  defined by

$$\rho(A) = \begin{cases} \dim A & \text{if } \dim A \leq k, \\ k & \text{if } \dim A > k. \end{cases}$$

Then, it is easily seen that  $(E, \rho)$  is a  $q$ -matroid of rank  $k$ ; this is called the *uniform  $q$ -matroid* on  $E$  of rank  $k$ , and it is denoted by  $U_q(k, n)$ .

Important properties of independent subspaces in a  $q$ -matroid (which, in fact, characterize a  $q$ -matroid) are proved in [14, Thm. 8] and recalled below.

**Proposition 2.6** *Let  $M = (E, \rho)$  be a  $q$ -matroid, and let  $\mathcal{I}$  be the family of independent subspaces in  $M$ . Then,  $\mathcal{I}$  satisfies the following four properties:*

- (i1)  $\mathcal{I} \neq \emptyset$ .
- (i2)  $A \in \Sigma(E)$  and  $B \in \mathcal{I}$  with  $A \subseteq B \Rightarrow A \in \mathcal{I}$ .
- (i3)  $A, B \in \mathcal{I}$  with  $\dim A > \dim B \Rightarrow$  there is  $\mathbf{x} \in A \setminus B$  such that  $B + \langle \mathbf{x} \rangle \in \mathcal{I}$ .
- (i4)  $A, B \in \Sigma(E)$  and  $I, J$  are maximal independent subspaces of  $A, B$ , respectively,  $\Rightarrow$  there is a maximal independent subspace  $K$  of  $A + B$  such that  $K \subseteq I + J$ .

It is shown in [14] that if  $\mathcal{I}$  is an arbitrary subset of  $\Sigma(E)$  satisfying (i1)–(i4), then there is a unique  $q$ -matroid  $M_{\mathcal{I}} = (E, \rho_{\mathcal{I}})$  whose rank function  $\rho_{\mathcal{I}}$  is given by

$$\rho_{\mathcal{I}}(A) = \max\{\dim B : B \in \mathcal{I}, B \subseteq A\} \quad \text{for } A \in \Sigma(E);$$

moreover,  $\mathcal{I}$  is precisely the family of independent subspaces in  $M_{\mathcal{I}}$ .

**Remark 2.7** From (r2) in Definition 2.3 and (i3) in Proposition 2.6, it is clear that bases in a matroid  $M$  are precisely the maximal independent subspaces in  $M$ .

We now recall some fundamental properties of bases of a  $q$ -matroid, which provide yet another characterization of  $q$ -matroids. For a proof, see [14, Thm. 37].

**Proposition 2.8** *The set  $\mathcal{B}$  of bases of a  $q$ -matroid on  $E$  satisfies the following.*

- (b1)  $\mathcal{B} \neq \emptyset$ .
- (b2) If  $B_1, B_2 \in \mathcal{B}$  are such that  $B_1 \subseteq B_2$ , then  $B_1 = B_2$ .
- (b3) If  $B_1, B_2 \in \mathcal{B}$  and  $C \in \Sigma(E)$  satisfy  $B_1 \cap B_2 \subseteq C \subseteq B_2$  and  $\dim B_1 = \dim C + 1$ , then there is  $x \in B_1$  such that  $C + \langle x \rangle \in \mathcal{B}$ .
- (b4) If  $A_1, A_2 \in \Sigma(E)$  and if  $I_j$  is a maximal element of  $\{B \cap A_j : B \in \mathcal{B}\}$  (with respect to inclusion) for  $j = 1, 2$ , then there is a maximal element  $J$  of  $\{B \cap (A_1 + A_2) : B \in \mathcal{B}\}$  such that  $J \subseteq I_1 + I_2$ .

The third property here is called the *basis exchange property*. It can be used together with (b1) and (b2) to deduce that any two bases of a  $q$ -matroid have the same dimension. See, for example, [14, Prop. 40].

As a consequence of Proposition 2.8, we shall derive the following *dual basis exchange property*, which will be useful to us in the sequel.

**Corollary 2.9** *Let  $M = (E, \rho)$  be a  $q$ -matroid. Let  $B_1, B_2$  be bases of  $M$  with  $B_1 \neq B_2$  and let  $y \in B_2 \setminus B_1$ . Then, there exist  $U \in \Sigma(E)$  and  $x \in B_1 \setminus B_2$  such that*

$$B_1 \cap B_2 \subseteq U, \quad B_1 = U \oplus \langle x \rangle, \quad \text{and} \quad U \oplus \langle y \rangle \text{ is a basis of } M. \quad (1)$$

**Proof** Let  $r := \rho(M)$  and  $s := r - \dim B_1 \cap B_2$ . Note that  $1 \leq s \leq r$ . We use (finite) induction on  $s$  to prove the existence of  $U \in \Sigma(E)$  and  $x \in B_1 \setminus B_2$  satisfying (1).

First, suppose  $s = 1$ . Then,  $\dim B_1 \cap B_2 = r - 1$  and so if we take  $U := B_1 \cap B_2$  and  $x$  an arbitrary element of  $B_1 \setminus B_2$ , then we readily see that (1) is satisfied.

Next, suppose  $s > 1$  and the result holds for smaller values of  $s$ . Since  $s \geq 2$ , we have  $\dim B_1 \cap B_2 \leq r - 2$ , and so we can find  $A \in \Sigma(E)$  and  $y' \in B_2 \setminus B_1$  such that

$$B_1 \cap B_2 \subseteq A \subseteq B_2 \quad \text{and} \quad B_2 = A \oplus \langle y \rangle \oplus \langle y' \rangle.$$

In particular,  $\dim A = r - 2$ . Consider  $C := A \oplus \langle y \rangle$ . Clearly,  $B_1 \cap B_2 \subseteq C \subseteq B_2$  and  $\dim B_1 = \dim C + 1$ . So by (b3) in Proposition 2.8, there is  $x' \in B_1$  such that  $C \oplus \langle x' \rangle$  is a basis of  $M$ . Note that  $x' \notin B_2$ , since  $C \subseteq B_2$ . Let  $B'_2 := C \oplus \langle x' \rangle$ . Since  $y \in C$  and  $y \in B_2 \setminus B_1$ , we see that  $y \in B'_2 \setminus B_1$ . Moreover,

$$x' \in B_1 \cap B'_2 \quad \text{and} \quad B_1 \cap B_2 \subseteq B_1 \cap A \subseteq B_1 \cap C \subseteq B_1 \cap B'_2.$$

It follows that  $B_1, B'_2$  are bases of  $M$  such that  $B_1 \neq B'_2$  and  $y \in B'_2 \setminus B_1$ , and moreover,  $\dim B_1 \cap B'_2 > \dim B_1 \cap B_2$ , i.e.,  $s' := r - \dim B_1 \cap B'_2 < s$ . Hence, by the induction hypothesis, there is  $U \in \Sigma(E)$  and  $x \in B_1 \setminus B'_2$  such that

$$B_1 \cap B'_2 \subseteq U, \quad B_1 = U \oplus \langle x \rangle, \quad \text{and} \quad U \oplus \langle y \rangle \text{ is a basis of } M.$$

Since  $B_1 \cap B_2 \subseteq B_1 \cap B'_2$ , we see that  $x \in B_1 \setminus B_2$  and (1) holds. This completes the proof.  $\square$

We end this section by noting that if  $M = (E, \rho)$  is a  $q$ -matroid on  $E = \mathbb{F}_q^n$  with  $\rho(M) = r$ , then it follows from Proposition 2.6 that  $M$  defines a  $q$ -complex  $\Delta_M$  whose faces are precisely the independent subspaces of  $M$ , i.e., those  $\mathbb{F}_q$ -linear subspaces  $F$  of  $\mathbb{F}_q^n$  such that  $\dim F = \rho(F)$ . Moreover, in view of Remark 2.7, the facets of  $\Delta_M$  are precisely the bases of  $M$ . We will refer to  $\Delta_M$  as the  $q$ -complex associated with  $M$ . Since any two bases of  $M$  have the same dimension  $r$ , it is clear that  $\Delta_M$  is pure of dimension  $r$ . By a  $q$ -matroid complex on  $E$ , we shall mean the  $q$ -complex associated with a  $q$ -matroid on  $E$ . Following Jurrius and Pellikaan [14], a nontrivial example of  $q$ -matroid complex is provided by the following.

**Example 2.10** Recall that a (vector) rank metric code of length  $n$  over an extension  $\mathbb{F}_{q^m}$  of  $\mathbb{F}_q$  is, by definition, an  $\mathbb{F}_{q^m}$ -linear subspace of  $\mathbb{F}_{q^m}^n$ . Let  $C$  be such a rank metric code. Suppose  $\dim_{\mathbb{F}_{q^m}} C = k$ . Let  $G$  be a generator matrix of  $C$ , i.e., an  $k \times n$  matrix with entries in  $\mathbb{F}_{q^m}$  whose rows form a basis of  $C$ . Given an  $\mathbb{F}_q$ -linear subspace  $A$  of  $E = \mathbb{F}_q^n$  with  $\dim A = r$ , let  $Y_A$  denote a generator matrix of  $A$ , i.e., an  $r \times n$  matrix with entries in  $\mathbb{F}_q$  whose rows form a basis of  $A$ , and let  $\rho_C(A) := \text{rank}(GY_A^T)$ , where

$Y_A^T$  denotes the transpose of  $Y_A$ . It is shown in [14, § 5] that  $(E, \rho_C)$  is a  $q$ -matroid of rank  $k$ . Hence,

$$\Delta_C := \{A \in \Sigma(E) : \text{rank}(GY_A^T) = \dim A\}$$

is a pure  $q$ -complex of dimension  $k = \dim_{\mathbb{F}_{q^m}} C$ .

### 3 Tower decompositions

Suppose  $\Delta$  is a pure  $q$ -complex on  $\mathbb{F}_q^n$  of dimension  $r$ . Then, its facets are certain  $r$ -dimensional subspaces of  $\mathbb{F}_q^n$  and *a priori* it is not clear how they can be linearly ordered. In this section, we consider a variant of row reduced echelon forms, called tower decompositions, which will allow us to put a total order on such subspaces.

Fix a positive integer  $r \leq n$  and let  $\mathbb{G}_r(E)$  denote the Grassmannian of  $r$ -planes in  $E = \mathbb{F}_q^n$  defined (as a set) by

$$\mathbb{G}_r(E) := \{U : U \text{ is a } r\text{-dimensional subspace of } E\}.$$

Given any  $U \in \mathbb{G}_r(E)$ , let  $\mathbf{M}_U$  be a generator matrix of  $U$  in row echelon form, i.e., let  $\mathbf{M}_U$  be an  $r \times n$  matrix in row echelon form whose row vectors form a basis of  $U$ . We denote by  $u_r, u_{r-1}, \dots, u_1$  the row vectors of  $\mathbf{M}_U$  so that

$$\mathbf{M}_U = \begin{bmatrix} u_r \\ \vdots \\ u_1 \end{bmatrix}.$$

We define subspaces  $U_1, \dots, U_r$  of  $E$  and subsets  $\overline{U}_1, \dots, \overline{U}_r$  of  $E \setminus \{\mathbf{0}\}$  by

$$U_i := \langle u_1, \dots, u_i \rangle \quad \text{and} \quad \overline{U}_i := U_i \setminus U_{i-1} \quad \text{for } i = 1, \dots, r, \quad (2)$$

where, by convention,  $U_0 := \{\mathbf{0}\}$ . Further, we define

$$\tau(U) := (U_1, U_2, \dots, U_r),$$

and we shall refer to this as the *tower decomposition* of  $U$ . Observe that although  $\mathbf{M}_U$  (or equivalently, the vectors  $u_1, \dots, u_r$ ) need not be uniquely determined by  $U$ , the subspaces  $U_i$  (and hence the subsets  $\overline{U}_i$ ) are uniquely determined by  $U$ . To see this, it suffices to note that there is a unique generator matrix of  $U$ , say  $\mathbf{M}_U^*$ , which is in reduced row echelon form, and it is easily seen that the corresponding subspace  $U_i^*$  is equal to  $U_i$  for each  $i = 1, \dots, r$ . Thus, the tower decomposition  $\tau(U)$  of  $U$  depends only on  $U$ . Moreover, it is obvious that  $\tau(U)$  determines  $U$ , since  $U = U_r$ . Note also

that the set  $U \setminus \{\mathbf{0}\}$  of nonzero elements of  $U$  has the disjoint union decomposition

$$U \setminus \{\mathbf{0}\} = \coprod_{i=1}^r \overline{U}_i. \quad (3)$$

**Definition 3.1** Given any nonzero vector  $u \in \mathbb{F}_q^n$ , the *leading index* of  $u$ , denoted  $p(u)$ , is defined to be the least positive integer  $i$  such that the  $i$ -th entry of  $u$  is nonzero. Further, given a subset  $S$  of  $\mathbb{F}_q^n$ , the *profile*  $p(S)$  of  $S$  is defined to be the set of the leading indices of all of its nonzero elements, i.e.,

$$p(S) = \{p(u) : u \in S \setminus \{\mathbf{0}\}\}.$$

Note that the profile of  $S$  can be the empty set if  $S$  contains no nonzero vector.

**Lemma 3.2** Let  $U \in \mathbb{G}_r(E)$ , and let  $u_r, \dots, u_1$  be the rows of a generator matrix  $\mathbf{M}_U$  of  $U$  in row echelon form. Then,  $p(u_1) > \dots > p(u_r)$ . Further, given any  $i \in \{1, \dots, r\}$ , if  $U_i, \overline{U}_i$  are as in (2), then  $p(\overline{U}_i) = \{p(u_i)\}$ , and for any  $u \in U \setminus \{\mathbf{0}\}$ ,

$$u \in \overline{U}_i \iff p(u) = p(u_i).$$

**Proof** Since  $\mathbf{M}_U$  has rank  $r$  and it is in row-echelon form, it is clear that  $u_1, \dots, u_r$  are nonzero and  $p(u_1) > \dots > p(u_r)$ . Now, fix  $i \in \{1, \dots, r\}$ . Then,  $p(u_i) < p(u_j)$  for  $1 \leq j < i$ . Consequently, if  $u = c_1 u_1 + \dots + c_i u_i$  for some  $c_1, \dots, c_i \in \mathbb{F}_q$  with  $c_i \neq \mathbf{0}$ , then  $p(u) = p(c_i u_i) = p(u_i)$ . This shows that  $p(\overline{U}_i) = \{p(u_i)\}$ . The last assertion follows from this together with (3).  $\square$

Fix an arbitrary total order  $<$  on  $\mathbb{F}_q$  such that  $0 < 1 < \alpha$  for all  $\alpha \in \mathbb{F}_q \setminus \{0, 1\}$ . This extends lexicographically to a total order on  $E$ , which we also denote by  $<$ . For  $v, w \in E = \mathbb{F}_q^n$ , we may write  $v \preceq w$  if  $v < w$  or  $v = w$ .

**Lemma 3.3** Let  $v, w$  be nonzero vectors in  $E = \mathbb{F}_q^n$ . If  $p(v) < p(w)$ , then  $w < v$ .

**Proof** Let  $i \in \{1, \dots, n\}$  be such that  $p(v) = i$ . Suppose  $p(w) > i$ . Then, the  $j$ th coordinate  $w_j$  of  $w$  is 0 for  $1 \leq j \leq i$ , whereas the  $i$ -th coordinate of  $v$  is nonzero. Hence, it is clear from the definition of  $<$  that  $w < v$ .  $\square$

In what follows, for any nonempty subset  $S$  of  $E = \mathbb{F}_q^n$ , we denote by  $\min S$  the least element of  $S$  with respect to the total order  $<$  on  $E$  defined above. Some simple observations concerning this notion are recorded below for ease of reference.

**Lemma 3.4** Let  $S$  be a nonempty subset of  $E = \mathbb{F}_q^n$ .

- (i) If  $S$  is closed with respect to multiplication by nonzero scalars (for example, if  $S = \overline{U}_i$  for some  $i$ , where  $\overline{U}_i$  are as in (2) for some subspace  $U \in \mathbb{G}_r(E)$ ), then the first nonzero entry of the vector  $\min S$  in  $\mathbb{F}_q^n$  is necessarily 1.
- (ii) If  $S = U \setminus \{\mathbf{0}\}$  for some subspace  $U \in \mathbb{G}_r(E)$ , then  $\min S = \min \overline{U}_1$ , where  $\overline{U}_1$  is as in (2).



**Proof** The assertion in (i) is clear since  $1 < \alpha$  for all nonzero  $\alpha \in \mathbb{F}_q$ . To prove (ii), let  $U \in \mathbb{G}_r(E)$  and let  $\overline{U}_i$ ,  $1 \leq i \leq r$ , be as in (2). Write  $u := \min \overline{U}_1$ . Then, for each  $v \in \overline{U}_2 \cup \dots \cup \overline{U}_r$ , by Lemma 3.2 we see that  $p(u) > p(v)$ , and hence,  $u < v$ , thanks to Lemma 3.3. Thus, from (3), we obtain  $u = \min(U \setminus \{0\})$ , as desired.  $\square$

We are now ready to define a nice total order on  $\mathbb{G}_r(E)$ .

**Definition 3.5** Let  $U, V \in \mathbb{G}_r(E)$  and let  $\tau(U) = (U_1, \dots, U_r)$  and  $\tau(V) = (V_1, \dots, V_r)$  be the tower decompositions of  $U$  and  $V$ , respectively. Define  $U \preceq V$  if either  $U = V$  or if there exists a positive integer  $e \leq r$  such that

$$U_j = V_j \text{ for } 1 \leq j < e, \quad U_e \neq V_e, \quad \text{and} \quad \min \overline{U}_e < \min \overline{V}_e.$$

**Lemma 3.6** The relation  $\preceq$  defined in Definition 3.5 is a total order on  $\mathbb{G}_r(E)$ .

**Proof** Clearly,  $\preceq$  is reflexive. Next, let  $U, V \in \mathbb{G}_r(E)$  and let  $\tau(U) = (U_1, \dots, U_r)$  and  $\tau(V) = (V_1, \dots, V_r)$  be their tower decompositions. If  $U \neq V$ , then there exists a unique positive integer  $e \leq r$  such that  $U_j = V_j$  for  $1 \leq j < e$  and  $U_e \neq V_e$ . Let  $u := \min \overline{U}_e$  and  $v := \min \overline{V}_e$ . Observe that  $U_e = U_{e-1} \oplus \langle u \rangle$  and  $V_e = V_{e-1} \oplus \langle v \rangle$ . Since  $U_{e-1} = V_{e-1}$  and  $U_e \neq V_e$ , it follows that  $u \neq v$ . Hence, either  $u < v$  or  $v < u$ , but not both. This shows that any two elements of  $\mathbb{G}_r(E)$  are comparable with respect to  $\preceq$ . In particular,  $\preceq$  is antisymmetric.

It remains to show the transitivity of  $\preceq$ . To this end, suppose  $U \preceq V$  and  $V \preceq W$  for some  $W \in \mathbb{G}_r(E)$ . Let  $\tau(W) = (W_1, \dots, W_r)$  be the tower decomposition of  $W$ . If  $U = V$  or  $V = W$ , then clearly  $U \preceq W$ . Suppose  $U \neq V$  and  $V \neq W$ . Then, there are unique integers  $e, d \in \{1, \dots, r\}$  such that

$$U_j = V_j \text{ for } 1 \leq j < e, \quad U_e \neq V_e, \quad \text{and} \quad \min \overline{U}_e < \min \overline{V}_e.$$

and

$$V_j = W_j \text{ for } 1 \leq j < d, \quad V_d \neq W_d, \quad \text{and} \quad \min \overline{V}_d < \min \overline{W}_d.$$

First, suppose  $e < d$ . Then, it is clear that

$$U_j = V_j = W_j \text{ for } 1 \leq j < e, \quad U_e \neq V_e = W_e, \quad \text{and} \quad \min \overline{U}_e < \min \overline{V}_e = \min \overline{W}_e.$$

Hence,  $U \preceq W$ . Likewise, if we suppose  $d < e$ , then

$$U_j = V_j = W_j \text{ for } 1 \leq j < d, \quad U_d = V_d \neq W_d, \quad \text{and} \quad \min \overline{U}_d = \min \overline{V}_d < \min \overline{W}_d.$$

So, we again obtain  $U \preceq W$ . Finally, if  $e = d$ , then the transitivity of  $<$  on  $E$  is readily seen to imply that  $U \preceq W$ . Thus,  $\preceq$  is a total order on  $\mathbb{G}_r(E)$ .  $\square$

## 4 Shellability of $q$ -matroid complexes

In this section, we begin with the definition of shellability of a  $q$ -complex and an equivalent formulation of it. Next, we shall use the results of the previous section to obtain a shelling of  $q$ -matroid complexes.

The following definition is a straightforward analogue of the notion of shellability for  $q$ -complexes recalled in the Introduction. A slightly different, but obviously equivalent, definition was given by Alder [1, Definition 1.5.1].

**Definition 4.1** Let  $\Delta$  be a  $q$ -complex on  $E = \mathbb{F}_q^n$ . A *shelling* of  $\Delta$  is a linear order  $F_1, \dots, F_t$  on the facets of  $\Delta$  such that for each  $j = 2, \dots, t$ , the  $q$ -complex  $\langle F_j \rangle \cap \langle F_1, \dots, F_{j-1} \rangle$  is generated by a nonempty set of maximal proper subspaces of  $F_j$ .

We say that a  $q$ -complex is *shellable* if it is pure and it admits a shelling.

**Example 4.2** (Alder [1, Example 1.5.2]) A  $q$ -sphere  $S_q^{n-1}$  is a shellable  $q$ -complex on  $E = \mathbb{F}_q^n$  of dimension  $n - 1$ . Indeed, its facets are the  $(n - 1)$ -dimensional subspaces of  $E$ , and if  $F_1, \dots, F_t$  is an arbitrary listing of these facets, then it is easily seen from the formula for the dimension of the sum of two subspaces, that  $\dim(F_i \cap F_j) = n - 2$  for  $1 \leq i < j \leq t$ . Hence, for any  $j = 2, \dots, t$ , we see that  $\{F_i \cap F_j : 1 \leq i < j\}$  is a nonempty set of maximal proper subspaces of  $F_j$ , which generates  $\langle F_j \rangle \cap \langle F_1, \dots, F_{j-1} \rangle$ . Thus,  $F_1, \dots, F_t$  is a shelling of  $S_q^{n-1}$ .

The following characterization is analogous to the corresponding result in the classical case (see, e.g., [8, p. 135]), and it will be useful to us in the sequel.

**Lemma 4.3** Let  $\Delta$  be a pure  $q$ -complex of dimension  $r$ , and let  $F_1, \dots, F_t$  be a listing of the facets of  $\Delta$ . Then,  $F_1, \dots, F_t$  is a shelling of  $\Delta$  if and only if for every  $i, j \in \mathbb{N}^+$  with  $i < j \leq t$ , there exists  $k \in \mathbb{N}^+$  with  $k < j$  such that

$$F_i \cap F_j \subseteq F_k \cap F_j \quad \text{and} \quad \dim(F_k \cap F_j) = r - 1. \quad (4)$$

**Proof** Suppose  $F_1, \dots, F_t$  is a shelling of  $\Delta$ . Let  $i, j \in \mathbb{N}^+$  with  $i < j \leq t$ . Then,  $F_i \cap F_j \subseteq G_j$ , where  $G_j \in \langle F_j \rangle \cap \langle F_1, \dots, F_{j-1} \rangle$  is a maximal proper subspace of  $F_j$ . Since  $G_j \in \langle F_1, \dots, F_{j-1} \rangle$ , there exists  $k \in \mathbb{N}^+$  with  $k < j$  such that  $G_j \subseteq F_k$ . Thus,  $G_j \subseteq F_k \cap F_j$  and moreover,  $\dim G_j = \dim F_j - 1 = r - 1$ . Now,  $F_k \neq F_j$ , since  $k < j$ . Also,  $\dim F_k = \dim F_j = r$ . It follows that  $\dim(F_k \cap F_j) \leq r - 1$ . This implies that  $G_j = F_k \cap F_j$ , and so both the conditions in (4) are satisfied.

Conversely, suppose for every  $i < j \leq t$ , there exists  $k < j$  such that (4) holds. Let  $j \in \{2, \dots, t\}$  and let  $F$  be a face of  $\langle F_j \rangle \cap \langle F_1, \dots, F_{j-1} \rangle$ . Then,  $F$  is a subspace of  $F_j$  as well as  $F_i$  for some  $i < j$ . For these  $i, j$ , there exists  $k \in \mathbb{N}^+$  with  $k < j$  such that (4) holds. Now,  $F \subseteq F_i \cap F_j \subseteq F_k \cap F_j$  and so  $F$  is a subspace of  $F_k \cap F_j$ . It follows that  $\{F_k \cap F_j : 1 \leq k < j \text{ and } \dim(F_k \cap F_j) = r - 1\}$  constitutes a nonempty set of maximal proper subspaces, which generates  $\langle F_j \rangle \cap \langle F_1, \dots, F_{j-1} \rangle$ .  $\square$

We are now ready to prove the main result of this section. Here, we make use of the total order  $\preccurlyeq$  given in Definition 3.5. As usual, for any  $U, V \in \Sigma(E)$  of the same dimension, we write  $U \prec V$  to mean that  $U \preccurlyeq V$  and  $U \neq V$ .

**Theorem 4.4** *Let  $M$  be a  $q$ -matroid on  $E = \mathbb{F}_q^n$  of rank  $r$ . Then, the  $q$ -complex  $\Delta_M$  associated with  $M$  is shellable. In fact, if  $F_1, \dots, F_t$  is an ordering of the facets of  $\Delta_M$  such that  $F_i \prec F_j$  for  $1 \leq i < j \leq t$ , then this defines a shelling of  $\Delta_M$ .*

**Proof** We have seen already  $\Delta_M$  is a pure  $q$ -complex of dimension  $r$ . Let  $F_1, \dots, F_t$  be an ordering of the facets of  $\Delta_M$  such that  $F_1 \prec \dots \prec F_t$ . Fix integers  $i, j$  with  $1 \leq i < j \leq t$ . We need to show that there is a positive integer  $k < j$  such that (4) holds. This will be done in several steps. First, let us denote the tower decompositions of  $F_i$  and  $F_j$  by

$$\tau(F_i) = (W_1, \dots, W_r) \quad \text{and} \quad \tau(F_j) = (V_1, \dots, V_r).$$

Since  $F_i \prec F_j$ , there is a unique positive integer  $e \leq r$  such that

$$W_1 = V_1, \dots, W_{e-1} = V_{e-1}, \quad W_e \neq V_e, \quad \text{and} \quad \min \overline{W}_e \prec \min \overline{V}_e.$$

Write  $w := \min \overline{W}_e$  and  $v := \min \overline{V}_e$ . We claim that  $w \in F_i \setminus F_j$ . Clearly,  $w \in F_i$  and  $w \neq 0$ . Suppose on the contrary that  $w \in F_j$ . Since  $w \prec v$ , by Lemma 3.3, we see that we cannot have  $p(v) > p(w)$ . Thus,  $p(v) \leq p(w)$ . Now, since  $w \in F_j \setminus \{0\}$ , it follows from Lemma 3.2 that if  $p(v) = p(w)$ , then  $w \in \overline{V}_e$ . But this contradicts the minimality of  $v$  in  $\overline{V}_e$ , since  $w \prec v$ . Thus,  $p(v) < p(w)$ . So  $w \in F_j \setminus \{0\}$  with  $p(w) > p(v)$  and  $p(\overline{V}_e) = \{p(v)\}$ . Hence, it follows from Lemma 3.2 that  $w \in \overline{V}_s$  for some positive integer  $s < e$ . But then  $w \in \overline{W}_s$  and so by Lemma 3.2,  $p(\overline{W}_s) = \{p(w)\} = p(\overline{V}_e)$ , which is a contradiction. This proves the claim.

Since  $w \in F_i \setminus F_j$ , we use the dual basis exchange property (Corollary 2.9) to obtain  $U \in \Sigma(E)$  and  $x \in F_j \setminus F_i$  such that

$$F_i \cap F_j \subseteq U, \quad F_j = U \oplus \langle x \rangle, \quad \text{and} \quad U \oplus \langle w \rangle \text{ is a basis of } M.$$

The last condition implies that  $U \oplus \langle w \rangle = F_k$  for a unique positive integer  $k \leq t$ . Note that  $k \neq j$  since  $w \notin F_j$ . Now, it is clear that  $F_i \cap F_j \subseteq U \subseteq F_k \cap F_j$ . Further,  $F_k \cap F_j$  is a proper subspace of  $F_k$ , since  $k \neq j$ , and hence,  $\dim F_k \cap F_j \leq r - 1$ . On the other hand, since  $\dim U = r - 1$  and  $U \subseteq F_k \cap F_j$ , we see that  $\dim F_k \cap F_j = r - 1$ .

It remains to prove that  $k < j$ . To this end, we consider the tower decompositions of  $U$  and  $F_k$ , say,

$$\tau(U) = (U_1, \dots, U_{r-1}) \quad \text{and} \quad \tau(F_k) = (Z_1, \dots, Z_r).$$

Recall that  $W_s = V_s$  for  $1 \leq s < e$ . We shall now show that  $U_s = V_s$  for  $1 \leq s < e$ . To see this, let  $d$  be the least positive integer such that  $U_d \neq V_d$ . Suppose, on the contrary that  $d < e$ . Let  $\alpha := \min \overline{U}_d$  and  $\beta := \min \overline{V}_d$ . Observe that  $\alpha \in U \setminus \{0\} \subseteq F_j \setminus \{0\}$ . Now, if  $p(\alpha) = p(\beta)$ , then from Lemma 3.2 we see that  $\alpha \in \overline{V}_d$ . Consequently,  $V_d = V_{d-1} \oplus \langle \alpha \rangle = U_{d-1} \oplus \langle \alpha \rangle = U_d$ , which is a contradiction. Also, if  $p(\alpha) > p(\beta)$ , then from Lemma 3.2 we see that  $\alpha \in \overline{V}_s$  for some positive integer  $s < d$ . But then  $\alpha \in V_s = U_s \subseteq U_{d-1}$ , which is a contradiction since  $\alpha \in \overline{U}_d = U_d \setminus U_{d-1}$ . It follows that  $p(\alpha) < p(\beta)$ . Consequently, from Lemma 3.2 we see that  $\beta \in \overline{U}_s$  for some

positive integer  $s < d$ . But then  $\beta \in U_s = V_s \subseteq V_{d-1}$ , which is a contradiction, since  $\beta \in \overline{V}_d = V_d \setminus V_{d-1}$ . This proves that  $d \geq e$  and therefore  $U_s = V_s$  for  $1 \leq s < e$ , as desired.

Now, let  $\ell$  be the least positive integer such that  $V_\ell \neq Z_\ell$ . We shall show that  $k < j$ , or equivalently,  $F_k < F_j$ , by considering separately the following two cases.

*Case 1*  $\ell < e$ .

Let  $v_\ell := \min \overline{V}_\ell$  and  $z_\ell := \min \overline{Z}_\ell$ . Note that if  $p(z_\ell) > p(v_\ell)$ , then by Lemma 3.3,  $z_\ell < v_\ell$ , and so  $F_k < F_j$ . Thus, to complete the proof in this case it suffices to show that  $p(z_\ell) \leq p(v_\ell)$  leads to a contradiction.

First suppose  $p(z_\ell) < p(v_\ell)$ . Since  $\ell < e \leq d$ , we see that  $v_\ell \in V_\ell = U_\ell \subseteq F_k$  and  $v_\ell \neq 0$ . Thus, from Lemma 3.2 it follows that  $v_\ell \in Z_s$  for some positive integer  $s < \ell$ . But then  $Z_s = V_s \subseteq V_{\ell-1}$  and so  $v_\ell \in V_{\ell-1}$ , which is a contradiction.

Next, suppose  $p(z_\ell) = p(v_\ell)$ . In this case, if  $z_\ell \in F_j$ , then we must have  $z_\ell \in V_\ell$ , thanks to Lemma 3.2. But then  $Z_\ell = Z_{\ell-1} \oplus \langle z_\ell \rangle = V_\ell$ , which is a contradiction. Thus,  $z_\ell \notin F_j$ . In particular, if  $y := z_\ell - v_\ell$ , then  $y \neq 0$ . Moreover, by part (i) of Lemma 3.4, the first nonzero entry in  $z_\ell$  as well as  $v_\ell$  is 1. Hence,  $p(y) > p(z_\ell) = p(v_\ell)$ . Also,  $y \in F_k$ , since  $z_\ell \in F_k$  and  $v_\ell \in V_\ell = U_\ell \subseteq F_k$ . Thus, from Lemma 3.2, we see that  $y \in Z_s$  for some positive integer  $s < \ell$ . But then  $y \in V_s$ , and so  $y \in F_j$ , which is a contradiction. This completes the proof in Case 1.

*Case 2*  $\ell \geq e$ .

Here,  $Z_s = V_s = W_s$  for  $1 \leq s < e$ . Also  $w < v$ , where  $w = \min \overline{W}_e$  and  $v = \min \overline{V}_e$ . So by Lemma 3.3,  $p(v) \leq p(w)$ . Now, pick any  $z \in \overline{Z}_e$  so that  $Z_e = Z_{e-1} \oplus \langle z \rangle = V_{e-1} \oplus \langle z \rangle$  and, by Lemma 3.2,  $p(Z_e) = \{p(z)\}$ . Now,  $w \in F_k \setminus \{0\}$  and so  $w \in Z_s$  for a unique positive integer  $s \leq r$ . Also, since  $w \in \overline{W}_e$ , we see that  $w \notin W_{e-1} = Z_{e-1}$ . Thus,  $s \geq e$  and so, in view of Lemma 3.2,  $p(v) \leq p(w) \leq p(z)$ . Now, if  $p(v) = p(z)$ , then  $p(w) = p(z)$ , and hence,  $w \in \overline{Z}_e$ . Consequently,

$$\min \overline{Z}_e \leq w < v = \min \overline{V}_e,$$

which implies that  $F_k < F_j$ . On the other hand, if  $p(v) < p(z)$ , then by Lemma 3.3,  $z < v$ , and hence,

$$\min \overline{Z}_e \leq z < v = \min \overline{V}_e,$$

which implies once again that  $F_k < F_j$ , as desired.  $\square$

We remark that the shellability of the  $q$ -sphere  $S_q^{n-1}$  is a trivial consequence of Theorem 4.4, because  $S_q^{n-1}$  is precisely the  $q$ -matroid complex corresponding to the uniform  $q$ -matroid  $U_q(n-1, n)$ .

## 5 Homology of $q$ -spheres and uniform $q$ -complexes

This section is divided into three subsections. In Sect. 5.1 below, we review some preliminaries concerning finite topological spaces and their homotopy. Next, we consider  $q$ -spheres and explicitly determine their reduced homology groups in Sect. 5.2.

These results are then generalized in Sect. 5.3 to  $q$ -complexes associated with arbitrary uniform  $q$ -matroids.

## 5.1 Topological preliminaries

Finite topological spaces, or in short, finite spaces, are simply topological spaces having only a finite number of points. In case they are  $T_1$ , the topology is necessarily discrete and not so interesting. Rather surprisingly, finite spaces that are  $T_0$  (but not  $T_1$ )<sup>1</sup> have a rich structure and a close connection with finite posets. The study of finite spaces goes back to Alexandroff [2], and it has had important contributions by Stong [21] and McCord [16]. Good expositions of the theory of finite spaces are given by May [15] and Barmak [3]. Still, the theory is not as widely known as it should, and so for the convenience of the reader, we provide here a quick review of the relevant notions and results.

Let  $X$  be a finite  $T_0$  space. Then, for each  $x \in X$ , the intersection, say  $U_x$ , of all open sets of  $X$  containing  $x$  is open. Clearly,  $\{U_x : x \in X\}$  is a basis for (the topology on)  $X$ . For  $x, y \in X$ , define  $x \leq y$  if  $x \in U_y$ . Then, this defines a partial order on  $X$  (since  $X$  is  $T_0$ ); moreover,  $U_y$  becomes the “basic down-set”  $\{x \in X : x \leq y\}$ .

On the other hand, suppose  $X$  is a finite poset (with the partial order denoted by  $\leq$ ). We call a subset  $U$  of  $X$  a *down-set* (resp. *up-set*) if whenever  $y \in U$  and  $x \in X$  satisfy  $x \leq y$  (resp.  $y \leq x$ ), we must have  $x \in U$ . We can define a topology on  $X$  by declaring that the open sets in  $X$  are precisely the down-sets in  $X$  (or equivalently, the closed sets in  $X$  are precisely the up-sets in  $X$ ). This is called the *order topology* on  $X$ , and it makes  $X$  a finite  $T_0$  space.

Let  $X, Y$  be finite posets, both regarded as finite topological spaces with the order topology. Then, it can be shown (cf. [3, Proposition 1.2.1]) that a function  $f : X \rightarrow Y$  is continuous if and only if it is order-preserving. Further, if we let  $Y^X$  denote the set of all continuous functions from  $X$  to  $Y$ , then  $Y^X$  is a poset with the pointwise partial order defined (for any  $f, g \in Y^X$ ) by  $f \leq g$  if  $f(x) \leq g(x)$  for every  $x \in X$ . Thus,  $Y^X$  can also be regarded as a finite topological space with the order topology. Moreover,  $f, g \in Y^X$  are *homotopic* (which means, as usual, that there is a continuous map  $h : X \times [0, 1] \rightarrow Y$  such that  $h(x, 0) = f(x)$  and  $h(x, 1) = g(x)$  for all  $x \in X$ ) if and only if there is a continuous map  $\alpha : [0, 1] \rightarrow Y^X$  such that  $\alpha(0) = f$  and  $\alpha(1) = g$ . We write  $f \simeq g$  if  $f, g \in Y^X$  are homotopic. Also,  $X$  and  $Y$  are said to be *homotopy equivalent* if there are  $f \in Y^X$  and  $g \in X^Y$  such that  $f \circ g \simeq \text{Id}_Y$  and  $g \circ f \simeq \text{Id}_X$ . Finally, recall that  $X$  is said to be *contractible* if it is homotopy equivalent to a point. Note that the homotopy groups as well as the reduced (singular) homology groups of contractible spaces are all trivial. Recall also that a topological space is *acyclic* if all of its reduced homology groups are trivial. A contractible space is acyclic, but the converse is not true, in general.

We now recall some known basic results for which a reference is given. These will be useful to us later. Unless mentioned otherwise, the topology on finite posets is assumed

<sup>1</sup> Recall that a topological space  $X$  is  $T_0$  (resp.  $T_1$ ) if given any two distinct points of  $X$ , at least one of them (resp. each of them) is contained in an open set that does not contain the other point.

to be the order topology and topological notions such as continuity, contractibility are considered with respect to this topology.

**Proposition 5.1** ([3, Corollary 1.2.6]). *Let  $X, Y$  be finite posets and let  $f, g \in Y^X$ . Then,  $f \simeq g$  if and only if there is a finite sequence  $f_0, f_1, \dots, f_t$  in  $Y^X$  such that  $f = f_0 \leq f_1 \geq f_2 \leq \dots \leq f_t = g$ .*

**Proposition 5.2** ([15, Corollary 2.3.4]). *Let  $X$  be a finite poset such that  $X$  has a unique maximal element or a unique minimal element. Then,  $X$  is contractible.*

A finer version of Proposition 5.2 for the posets that are of interest to us in this article is the following.

**Lemma 5.3** *Let  $\Delta$  be a nonempty collection of subspaces of  $E = \mathbb{F}_q^n$ . Call the elements of  $\Delta$  as the faces of  $\Delta$  and those faces of  $\Delta$  that are maximal with respect to inclusion as the facets of  $\Delta$ . Assume that any finite intersection of facets of  $\Delta$  that contains a fixed face of  $\Delta$  is necessarily a face of  $\Delta$ . Suppose there is  $A \in \Delta$  such that  $A \subseteq F$  for every facet  $F$  of  $\Delta$ . Then,  $\Delta$  is contractible.*

**Proof** Fix any  $B \in \Delta$ . Consider  $f: \Delta \rightarrow \{B\}$  and  $g: \{B\} \rightarrow \Delta$  defined by

$$f(U) := B \text{ for all } U \in \Delta \text{ and } g(B) := A.$$

Clearly,  $f$  and  $g$  are continuous and  $f \circ g = \text{Id}_{\{B\}}$ . We will show that  $g \circ f \simeq \text{Id}_{\Delta}$ . To this end, define, for any  $U \in \Delta$ , the set  $V_U$  to be the intersection of all facets of  $\Delta$  containing  $U$ . Let  $h: \Delta \rightarrow \Delta$  be defined by  $h(U) := V_U$  for  $U \in \Delta$ . Observe that if  $U_1, U_2 \in \Delta$  with  $U_1 \subseteq U_2$ , then any facet of  $\Delta$  containing  $U_2$  must contain  $U_1$ , and therefore,  $V_{U_1} \subseteq V_{U_2}$ . Thus,  $h$  is order-preserving, and hence, it is continuous. By our hypothesis,  $A \subseteq V_U$  for every  $U \in \Delta$ . Hence,  $g \circ f \leq h$ . Also, since  $U \subseteq V_U$  for any  $U \in \Delta$ , we obtain  $\text{Id}_{\Delta} \leq h$ . Thus, it follows from Proposition 5.1 that  $\Delta$  is homotopy equivalent to  $\{B\}$ . This proves that  $\Delta$  is contractible.  $\square$

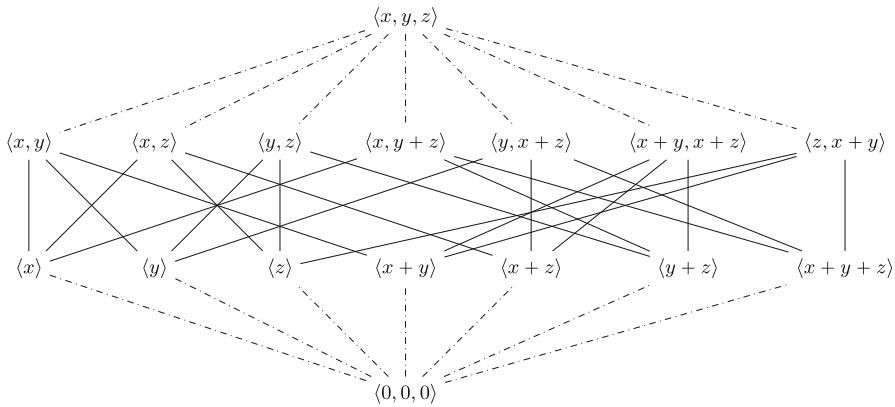
**Definition 5.4** A subset  $\Delta$  of  $\Sigma(E)$  satisfying the hypothesis in Lemma 5.3 is called a *cone* with *apex*  $A$ .

## 5.2 Homology of $q$ -spheres

If  $\Delta$  is a  $q$ -complex on  $E = \mathbb{F}_q^n$ , then  $\Delta$  is a finite topological space with the order topology corresponding to the partial order given by inclusion. As a topological space, it is contractible because it has a unique minimal element, namely, the zero space  $\{0\}$  and so Proposition 5.2 applies. Thus, the homology (as well as homotopy) groups of  $\Delta$  are trivial. With this in view, and as in the classical case, we will replace  $\Delta$  by the punctured  $q$ -complex

$$\mathring{\Delta} := \Delta \setminus \{\{0\}\}$$

obtained by removing the zero subspace from  $\Delta$ . Thus, when we speak of the homology of  $\Delta$ , we shall in fact mean the homology of  $\mathring{\Delta}$ . In this subsection, we will outline how the (reduced) homology of  $q$ -spheres can be computed explicitly.



**Fig. 1** Illustration of the punctured  $q$ -sphere  $\hat{S}_q^2$  when  $q = 2$

Recall that the  $q$ -sphere  $S_q^{n-1}$  is the  $q$ -complex formed by all the subspaces of  $E = \mathbb{F}_q^n$  other than  $E$  itself. So the punctured  $q$ -sphere  $\hat{S}_q^{n-1}$  consists of all the subspaces of  $E$  other than  $E$  and  $\{0\}$ . It is equipped with the order topology w.r.t. inclusion. In particular,  $\hat{S}_q^{n-1}$  is the empty set if  $n = 1$ . When  $n = 2$ , the punctured  $q$ -sphere  $\hat{S}_q^{n-1}$  consists of  $q + 1$  distinct one-dimensional subspaces of  $\mathbb{F}_q^2$ , which form connected components with respect to the order topology. Thus, the homology is rather easy to determine if  $n = 1$  or  $n = 2$ . But the poset structure and the homology becomes a little more difficult to determine when  $n \geq 3$ . For example, the poset structure of the punctured  $q$ -sphere  $\hat{S}_q^2$  when  $q = 2$  is depicted by (the solid lines in) Fig. 1, where we have let  $x, y, z$  denote linearly independent elements of  $\mathbb{F}_2^3$ . It is seen here that unlike in the case  $n = 2$ , the  $q$ -sphere is a connected space when  $n = 3$ .

The key to determine the homology of  $q$ -spheres is the following lemma. Here, and hereafter, for an  $\mathbb{F}_q$ -vector space  $F$ , we denote by  $\hat{\Sigma}(F)$  the set of all nonzero subspaces of  $F$ .

**Lemma 5.5** *Assume that  $n \geq 2$ . Then, there exists a shelling  $F_1, \dots, F_t$  of the  $q$ -sphere  $S_q^{n-1}$  and a positive integer  $\ell \leq t$  such that if for  $1 \leq i \leq t$ , we let  $\Delta_i := \langle F_1, \dots, F_i \rangle$ , then the punctured  $q$ -complex  $\hat{\Delta}_\ell$  is contractible and moreover,*

$$\hat{\Delta}_i \cap \hat{\Sigma}(F_{i+1}) = \hat{\Sigma}(F_{i+1}) \setminus \{F_{i+1}\} \quad \text{for } \ell \leq i < t, \quad (5)$$

*that is,  $\hat{\Delta}_i \cap \hat{\Sigma}(F_{i+1})$  is the punctured  $q$ -sphere  $\hat{S}_q^{n-2}$  for each  $i = \ell, \dots, t - 1$ .*

**Proof** We have seen in Example 4.2 that any ordering of the facets of  $S_q^{n-1}$  gives a shelling of  $S_q^{n-1}$ . To obtain a shelling with the additional two properties asserted in the lemma, we proceed as follows. Fix an arbitrary nonzero vector  $\mathbf{a}$  in  $\mathbb{F}_q^n$ . Suppose  $F_1, \dots, F_\ell$  are all the facets of  $S_q^{n-1}$  containing  $\mathbf{a}$ . In other words,  $\{F_1, \dots, F_\ell\}$  is the set of all  $(n - 1)$ -dimensional subspaces of  $\mathbb{F}_q^n$ , which contain  $\mathbf{a}$ . Also, let  $F_{\ell+1}, \dots, F_t$  denote all the facets of  $S_q^{n-1}$ , which do not contain  $\mathbf{a}$ . Write  $\Delta_i := \langle F_1, \dots, F_i \rangle$  for

$1 \leq i \leq t$ . Then,  $\langle \mathbf{a} \rangle$  is contained in every facet of  $\mathring{\Delta}_\ell$ , and hence by Lemma 5.3,  $\mathring{\Delta}_\ell$  is contractible.

To prove that  $F_1, \dots, F_t$  also satisfies (5), first suppose  $n = 2$ . Then, it is clear that  $\ell = 1$  and  $\mathring{\Delta}_\ell = \{\langle \mathbf{a} \rangle\}$ . Also,  $\mathring{\Sigma}(F_{i+1}) = \{F_{i+1}\}$  for  $1 \leq i < t$ . Thus, we readily see that the two sets on either sides of the equality in (5) are both empty. Now, suppose  $n \geq 3$ . Fix  $i \in \mathbb{N}$  such that  $\ell \leq i < t$ . Since  $F_{i+1} \notin \Delta_i$ , it is clear that  $\mathring{\Delta}_i \cap \mathring{\Sigma}(F_{i+1}) \subseteq \mathring{\Sigma}(F_{i+1}) \setminus \{F_{i+1}\}$ . To prove the other inclusion, it suffices to show that every facet of  $\Sigma(F_{i+1}) \setminus \{F_{i+1}\}$  is in  $\Delta_i$ . Let  $G$  be a facet of  $\Sigma(F_{i+1}) \setminus \{F_{i+1}\}$ . Since  $i \geq \ell$ , we see that  $\mathbf{a} \notin G$ . Hence,  $G \oplus \langle \mathbf{a} \rangle$  is a facet of  $S_q^{n-1}$  containing  $\mathbf{a}$ , and therefore,  $G \oplus \langle \mathbf{a} \rangle = F_k$  for some positive integer  $k \leq \ell$ . In particular,  $G \subseteq F_k$  and so  $G \in \Delta_k \subseteq \Delta_i$ .  $\square$

**Remark 5.6** It is possible to describe the positive integers  $t$  and  $\ell$  in Lemma 5.5 explicitly. Indeed,  $t$  is the number of subspaces of  $\mathbb{F}_q^n$  of dimension  $n - 1$ . Also, the proof of Lemma 5.5 shows that we can take  $\ell$  to be the number of subspaces of  $\mathbb{F}_q^n$  of dimension  $n - 1$  containing a fixed nonzero vector  $\mathbf{a}$ . Consequently, both  $t$  and  $\ell$  can be described in terms of Gaussian binomial coefficients as follows.

$$t = \left[ \begin{matrix} n \\ n-1 \end{matrix} \right]_q = \frac{q^n - 1}{q - 1} \quad \text{and} \quad \ell = \left[ \begin{matrix} n-1 \\ n-2 \end{matrix} \right]_q = \frac{q^{n-1} - 1}{q - 1}.$$

Observe that  $t - \ell = q^{n-1}$ .

Let us recall that as per standard conventions in topology, if  $X$  is the empty set, then its reduced homology group  $\widetilde{H}_p(X)$  is  $\mathbb{Z}$  if  $p = -1$  and 0 otherwise<sup>2</sup>. In general, the homology groups of (punctured)  $q$ -spheres are given by the following.

**Theorem 5.7** Let  $c_n := q^{n(n-1)/2}$ . Then, the reduced homology groups of the punctured  $q$ -sphere  $\mathring{S}_q^{n-1}$  are given by

$$\widetilde{H}_p(\mathring{S}_q^{n-1}) = \begin{cases} \mathbb{Z}^{c_n} & \text{if } p = n-2, \\ 0 & \text{otherwise.} \end{cases}$$

**Proof** We use induction on  $n$ . If  $n = 1$ , then the desired result follows from the standard conventions about the reduced homology of the empty set.

Now, suppose  $n \geq 2$  and the result holds for values of  $n$  smaller than the given one. Let  $F_1, \dots, F_t$  be a shelling of  $S_q^{n-1}$  as in Lemma 5.5, and let  $\ell$  be the positive integer as in Lemma 5.5 and Remark 5.6. Also, let  $\Delta_i$ , for  $1 \leq i \leq t$ , be as in Lemma 5.5. In the first step, we take

$$X_1 := \mathring{\Delta}_\ell \quad \text{and} \quad X_2 := \mathring{\Sigma}(F_{\ell+1}).$$

<sup>2</sup> Indeed, a  $p$ -simplex is the convex hull of  $p + 1$  points. So if  $p = -1$ , then this is the empty set, while the singular  $p$ -simplex in  $X$  consists precisely of the empty function, and the free abelian group  $C_p(X)$  generated by it is  $\mathbb{Z}$ . On the other hand, all other chain complexes are 0.



Note that both  $X_1$  and  $X_2$  are down-sets, and thus, they are open subsets of  $\mathring{S}_q^{n-1}$ . Moreover,  $X_1 \cup X_2 = \mathring{\Delta}_{\ell+1}$ , and by Lemma 5.5,  $X_1 \cap X_2$  can be identified with the punctured  $q$ -sphere  $\mathring{S}_q^{n-2}$ . Let us apply the Mayer–Vietoris sequence for reduced homology:

$$\widetilde{H}_p(X_1) \oplus \widetilde{H}_p(X_2) \longrightarrow \widetilde{H}_p(X_1 \cup X_2) \longrightarrow \widetilde{H}_{p-1}(X_1 \cap X_2) \longrightarrow \widetilde{H}_{p-1}(X_1) \oplus \widetilde{H}_{p-1}(X_2)$$

and observe that by Lemma 5.5,  $X_1$  is contractible, and since  $X_2$  has a unique maximal element (viz.,  $F_{\ell+1}$ ), by Proposition 5.2,  $X_2$  is also contractible. Thus, both the direct sums in the above exact sequence are 0, and we obtain

$$\widetilde{H}_p(\mathring{\Delta}_{\ell+1}) = \widetilde{H}_p(X_1 \cup X_2) \cong \widetilde{H}_{p-1}(X_1 \cap X_2) = \widetilde{H}_{p-1}(\mathring{S}_q^{n-2}).$$

So by the induction hypothesis,  $\widetilde{H}_p(\mathring{\Delta}_{\ell+1})$  is equal to  $\mathbb{Z}^{c_{n-1}}$  if  $p-1 = n-3$ , i.e.,  $p = n-2$ , and 0 otherwise. In the next step, we take

$$X_1 := \mathring{\Delta}_{\ell+1} \quad \text{and} \quad X_2 := \mathring{\Sigma}(F_{\ell+2}),$$

and note that  $X_1, X_2$  are open subsets of  $\mathring{S}_q^{n-1}$  such that  $X_1 \cup X_2 = \mathring{\Delta}_{\ell+2}$ , and by Lemma 5.5,  $X_1 \cap X_2$  can be identified with the punctured  $q$ -sphere  $\mathring{S}_q^{n-2}$ . Let us apply (a slightly longer) Mayer–Vietoris sequence for reduced homology:

$$\begin{array}{c} \widetilde{H}_p(X_1 \cap X_2) \rightarrow \widetilde{H}_p(X_1) \oplus \widetilde{H}_p(X_2) \rightarrow \widetilde{H}_p(X_1 \cup X_2) \\ \downarrow \\ \widetilde{H}_{p-1}(X_1 \cap X_2) \rightarrow \widetilde{H}_{p-1}(X_1) \oplus \widetilde{H}_{p-1}(X_2) \end{array}$$

This time  $X_2$  is contractible, whereas the homology of  $X_1$  is determined in the previous step, while that of  $X_1 \cap X_2$  is known, as before, by the induction hypothesis. Using this for  $p = n-2$ , we obtain

$$0 \longrightarrow \mathbb{Z}^{c_{n-1}} \longrightarrow \widetilde{H}_{n-2}(\mathring{\Delta}_{\ell+2}) \longrightarrow \mathbb{Z}^{c_{n-1}} \longrightarrow 0.$$

The short exact sequence above splits (since  $\mathbb{Z}^{c_{n-1}}$  is a projective  $\mathbb{Z}$ -module, being free), and therefore  $\widetilde{H}_{n-2}(\mathring{\Delta}_{\ell+2}) = \mathbb{Z}^{c_{n-1}} \oplus \mathbb{Z}^{c_{n-1}}$ . Moreover,  $\widetilde{H}_p(\mathring{\Delta}_{\ell+2}) = 0$  if  $p \neq (n-2)$ . Now, if  $\ell+2 < t$ , we can proceed as before, and we shall obtain that  $\widetilde{H}_p(\mathring{\Delta}_{\ell+3})$  is  $\mathbb{Z}^{c_{n-1}} \oplus \mathbb{Z}^{c_{n-1}} \oplus \mathbb{Z}^{c_{n-1}}$  if  $p = n-2$ , and 0 otherwise. Continuing in this way, we see that  $\widetilde{H}_p(\mathring{\Delta}_t)$  is the direct sum of  $(t-\ell)$  copies of  $\mathbb{Z}^{c_{n-1}}$  if  $p = n-2$ , and 0 otherwise. Now,  $\Delta_t = S_q^{n-1}$  and in view of Remark 5.6,

$$(t-\ell)c_{n-1} = q^{n-1}q^{(n-1)(n-2)/2} = q^{n(n-1)/2} = q^{c_n}.$$

This yields the desired result.  $\square$

It may be noted that Lemma 5.5 plays a crucial role in determining the homology of  $q$ -spheres. Indeed Theorem 5.7 can be readily extended to shellable  $q$ -complexes satisfying the hypothesis of Lemma 5.5, and moreover, the hypothesis in Lemma 5.5 about contractibility can be replaced by the slightly weaker hypothesis of acyclicity. We record this below.

**Theorem 5.8** *Let  $\Delta$  be a pure  $q$ -complex on  $E = \mathbb{F}_q^n$  of positive dimension  $d$ . Assume that  $F_1, \dots, F_t$  is a shelling on  $\Delta$  and there is  $\ell \in \mathbb{N}^+$  with  $\ell \leq t$  such that if for  $1 \leq i \leq t$ , we let  $\Delta_i := \langle F_1, \dots, F_i \rangle$ , then the punctured  $q$ -complex  $\mathring{\Delta}_\ell$  is acyclic and moreover,  $\mathring{\Delta}_i \cap \mathring{\Sigma}(F_{i+1})$  is the punctured  $q$ -sphere  $\mathring{S}_q^{d-1}$  for  $\ell \leq i < t$ . Then,*

$$\widetilde{H}_p(\mathring{\Delta}) = \begin{cases} \mathbb{Z}^{(t-\ell)q^{d(d-1)/2}} & \text{if } p = d-1, \\ 0 & \text{otherwise.} \end{cases}$$

**Proof** Follows using similar arguments as in Theorem 5.7.  $\square$

### 5.3 Homology of uniform $q$ -complexes

We shall now outline how the results of the previous subsection can be extended to the following more general class of  $q$ -complexes associated with arbitrary uniform  $q$ -matroids.

**Definition 5.9** Let  $k$  be a nonnegative integer such that  $k \leq n$ . The *uniform  $q$ -complex* of dimension  $k$  is the  $q$ -complex  $\Delta_q(k, n)$  on  $E = \mathbb{F}_q^n$  given by

$$\Delta_q(k, n) := \{A \in \Sigma(E) : \dim A \leq k\}.$$

Note that  $\Delta_q(k, n)$  is a pure  $q$ -complex and its dimension is indeed  $k$ . Moreover,  $\Delta_q(k, n)$  is precisely the  $q$ -matroid complex corresponding to the uniform  $q$ -matroid  $U_q(k, n)$ , and so it follows from Theorem 4.4 that it is shellable. We shall now show that it admits a nice shelling, just as in the case of  $q$ -spheres.

**Lemma 5.10** *Let  $k$  be a positive integer such that  $k \leq n$ , and let  $\Delta_q(k, n)$  be the uniform  $q$ -complex of dimension  $k$ . Then, there exists a shelling  $F_1, \dots, F_t$  of  $\Delta_q(k, n)$  and an integer  $\ell$  with  $1 \leq \ell \leq t$  such that if for  $1 \leq i \leq t$ , we let  $\Delta_i := \langle F_1, \dots, F_i \rangle$ , then  $\mathring{\Delta}_\ell$  is contractible and  $\mathring{\Delta}_i \cap \mathring{\Sigma}(F_{i+1})$  is the punctured  $q$ -sphere  $\mathring{S}_q^{k-1}$  for each  $i = \ell, \dots, t-1$ . Moreover,  $t = \begin{bmatrix} n \\ k \end{bmatrix}_q$  and  $\ell = \begin{bmatrix} n-1 \\ k-1 \end{bmatrix}_q$ .*

**Proof** The facets of  $\Delta_q(k, n)$  are precisely the  $k$ -dimensional subspaces of  $E = \mathbb{F}_q^n$ . Consider the total order  $\preceq$  on  $\mathbb{G}_k(E)$  obtained using a total order  $<$  on  $E$  and tower decompositions as in Definition 3.5. This induces a total order on the facets of  $\Delta_q(k, n)$ , which, by Theorem 4.4, gives a shelling  $F_1, \dots, F_t$  of  $\Delta_q(k, n)$ , where

$$t = \text{the number of } k\text{-dimensional subspaces of } E = \begin{bmatrix} n \\ k \end{bmatrix}_q.$$

Let  $\mathbf{a}$  be the least nonzero element of  $E$  with respect to the total order  $<$ . Note that if  $U, V$  are any two facets such that  $\mathbf{a} \in U$  and  $\mathbf{a} \notin V$ , then in view of part (ii) of Lemma 3.4, we see that  $\mathbf{a} = \min \overline{U}_1 < \min \overline{V}_1$ , and hence, from Definition 3.5, it follows that  $U < V$ . Now, let

$$\ell = \text{the number of } k\text{-dimensional subspaces of } E \text{ containing } \mathbf{a} = \begin{bmatrix} n-1 \\ k-1 \end{bmatrix}_q$$

so that the first  $\ell$  facets  $F_1, \dots, F_\ell$  contain  $\mathbf{a}$ , whereas the last  $t - \ell$  facets  $F_{\ell+1}, \dots, F_t$  do not contain  $\mathbf{a}$ . Now,  $\mathring{\Delta}_\ell$  is a cone with apex  $\mathbf{a}$ , and hence, by Lemma 5.3, it is contractible.

Next, let us fix an integer  $i$  such that  $\ell \leq i < t$ . Since  $F_{i+1} \notin \Delta_i$ , we see that  $\mathring{\Sigma}(F_{i+1}) \cap \mathring{\Delta}_i \subseteq \mathring{\Sigma}(F_{i+1}) \setminus \{F_{i+1}\}$ . To prove the reverse inclusion, it suffices to show that any subspace of  $F_{i+1}$  of dimension  $k - 1$  is in  $\Delta_i$ . Let  $G$  be a subspace of  $F_{i+1}$  with  $\dim G = k - 1$ . Then,  $\mathbf{a} \notin G$ , since  $i > \ell$ , and so  $G \oplus \langle \mathbf{a} \rangle = F_j$  for some positive integer  $j \leq \ell$ . In particular,  $j \leq i$  and  $G \oplus \langle \mathbf{a} \rangle \in \Delta_i$ . This implies that  $G \in \Delta_i$ .  $\square$

We can now generalize Theorem 5.7 from  $q$ -spheres to uniform  $q$ -complexes.

**Theorem 5.11** *Let  $k \in \mathbb{N}$  with  $k \leq n$ , and let  $c(n, k) := q^{k(k+1)/2} \begin{bmatrix} n-1 \\ k \end{bmatrix}_q$ . Then, the reduced homology of the uniform  $q$ -complex  $\Delta_q(k, n)$  is given by*

$$\widetilde{H}_p(\mathring{\Delta}_q(k, n)) = \begin{cases} \mathbb{Z}^{c(n, k)} & \text{if } p = k - 1, \\ 0 & \text{otherwise.} \end{cases}$$

**Proof** If  $k = 0$ , then  $c(n, k) = 1$ , while  $\mathring{\Delta}_q(k, n)$  is the empty set, and the result follows from standard conventions in topology. If  $k$  is a positive integer  $\leq n$ , then the result follows from Lemma 5.10 and Theorem 5.8 by noting that

$$t - \ell = \begin{bmatrix} n \\ k \end{bmatrix}_q - \begin{bmatrix} n-1 \\ k-1 \end{bmatrix}_q = q^k \begin{bmatrix} n-1 \\ k \end{bmatrix}_q \quad \text{and so} \quad (t - \ell)q^{k(k-1)/2} = c(n, k),$$

where  $t$  and  $\ell$  are as in Lemma 5.10.  $\square$

It may be remarked that Theorem 5.11 is a trivial consequence of Proposition 5.2 when  $k = n$ , because in this case  $\mathring{\Delta}_q(k, n)$  contains a unique maximal element (viz.,  $E = \mathbb{F}_q^n$ ), while  $c(n, k) = 0$ .

## 6 Homology of shellable $q$ -complexes

We shall now attempt to determine the homology of a shellable  $q$ -complex. We proceed in a manner analogous to the classical case of simplicial complexes. But as we shall see, there are some difficulties in obtaining results analogous to those in the classical case.

## 6.1 Intervals in shellable $q$ -complexes

In the classical case, the notion of *restriction*  $\mathcal{R}(F)$  of a facet  $F$  plays an important role in the determination of the homology of a shellable simplicial complex; see, e.g., [4, § 7.2]. But in the case of  $q$ -complexes, a straightforward analogue is not possible because the complement of an element (or even of a one-dimensional subspace) in an  $\mathbb{F}_q$ -linear subspace needs not be a subspace. Nonetheless, it turns out that we have a useful analogue if we consider a plethora of restrictions of a facet  $F_j$  as defined below. The sets  $I_j$  in this definition provide an analogue of the intervals  $[\mathcal{R}(F_j), F_j]$  in the classical case.

**Definition 6.1** Let  $F_1, \dots, F_t$  be a shelling of a shellable  $q$ -complex  $\Delta$  on  $E = \mathbb{F}_q^n$ . For  $1 \leq i < j \leq t$ , the  $i$ th restriction of  $F_j$  is defined to be the set

$$\mathcal{R}_i(F_j) := \{x \in F_j : \langle x \rangle \oplus (F_i \cap F_j) = F_j\}.$$

Further, for  $1 \leq j \leq t$ , we define

$$I_j := \{A \in \langle F_j \rangle : A \cap \mathcal{R}_i(F_j) \neq \emptyset \text{ whenever } 1 \leq i < j \text{ and } \mathcal{R}_i(F_j) \neq \emptyset\}.$$

**Remark 6.2** If  $i, j$  and  $F_1, \dots, F_t$  are as in Definition 6.1 and if  $F_i \cap F_j$  is not a hyperplane in  $F_j$ , i.e., if  $\dim(F_i \cap F_j) < \dim F_j - 1$ , then clearly  $\mathcal{R}_i(F_j) = \emptyset$ . On the other hand, for each  $j = 2, \dots, t$ , we can use Lemma 4.3 to obtain  $k \in \mathbb{N}^+$  with  $k < j$  such that  $\mathcal{R}_k(F_j) \neq \emptyset$ , and therefore,  $I_j$  is nonempty. Note also that the defining condition for  $I_j$  is vacuously true if  $j = 1$ , and thus,  $I_1 = \langle F_1 \rangle$ . In general,  $\{F_j\} \subseteq I_j \subseteq \langle F_j \rangle$  for each  $j = 1, \dots, t$ .

In the classical case, the interval  $[\mathcal{R}(F_j), F_j]$  equals  $\{F_j\}$  if and only if  $\mathcal{R}(F_j) = F_j$ . The following lemma is a partial analogue of this in the case of  $q$ -complexes.

**Lemma 6.3** Let  $F_1, \dots, F_t$  be a shelling of a shellable  $q$ -complex  $\Delta$  on  $E = \mathbb{F}_q^n$ . If  $j \in \{2, \dots, t\}$  is such that  $I_j = \{F_j\}$ , then

$$\bigcup_{i=1}^{j-1} \mathcal{R}_i(F_j) = F_j \setminus \{0\}.$$

**Proof** Let  $j \in \{2, \dots, t\}$  satisfy  $I_j = \{F_j\}$ . The inclusion  $\bigcup_{i=1}^{j-1} \mathcal{R}_i(F_j) \subseteq F_j \setminus \{0\}$  is obvious. To prove the reverse inclusion, let  $x \in F_j \setminus \{0\}$ . Also, let  $A$  be a codimension 1 subspace of  $F_j$  such that  $\langle x \rangle \oplus A = F_j$ . Since  $j \geq 2$ , in view of Remark 6.2, there is  $i \in \mathbb{N}^+$  with  $i < j$  such that  $\mathcal{R}_i(F_j) \neq \emptyset$ . In particular,  $\dim F_i \cap F_j = \dim F_j - 1$ . Now, since  $I_j = \{F_j\}$ , we see that  $A \notin I_j$ , and therefore,  $A \cap \mathcal{R}_i(F_j) = \emptyset$ . This implies that  $A \subseteq F_i \cap F_j$  and since  $A$  has codimension 1, we obtain  $A = F_i \cap F_j$ . Consequently,  $x \in \mathcal{R}_i(F_j)$ .  $\square$

Unlike in the classical case, the converse of Lemma 6.3 is not true, and this is shown by the following example.<sup>3</sup>

<sup>3</sup> Some of the computations in this example are done using SageMath, and the code is available at: [drive.google.com/file/d/16\\_aAa2Us4maRx3rXA2wuaJZBQULCRxCc/view?usp=sharing](https://drive.google.com/file/d/16_aAa2Us4maRx3rXA2wuaJZBQULCRxCc/view?usp=sharing)

**Example 6.4** Consider the field extension  $\mathbb{F}_{2^4}/\mathbb{F}_2$  of degree 4, and let  $a$  be a root in  $\mathbb{F}_{2^4}$  of the irreducible polynomial  $X^4 + X + 1$  in  $\mathbb{F}_2[X]$  so that  $\mathbb{F}_{2^4} = \mathbb{F}_2(a)$ . Let  $C$  be the rank metric code of length 4 over the extension  $\mathbb{F}_{2^4}$  of  $\mathbb{F}_2$  such that a generator matrix of  $C$  is given by

$$G := \begin{pmatrix} a^2 + a + 1 & a^2 & a^3 + a + 1 & a^3 + a^2 + a + 1 \\ a^2 + a + 1 & a^3 + 1 & a & a + 1 \\ a^2 + 1 & 1 & a^2 + 1 & a^3 + 1 \end{pmatrix}.$$

Let  $\Delta_C$  be the  $q$ -matroid complex on  $\mathbb{F}_2^4$  associated with  $C$  as in Example 2.10. Then,  $\dim \Delta_C = \text{rank}(G) = 3$ . There are  $\begin{bmatrix} 4 \\ 3 \end{bmatrix}_2 = 15$  subspaces of  $\mathbb{F}_2^4$  of dimension 3, and it turns out that 14 among these are in  $\Delta_C$ . In the shelling order of Definition 3.5, these 14 facets of  $\Delta_C$ , say  $F_1, \dots, F_{14}$ , can be explicitly listed as follows.

$$\begin{aligned} &\langle \mathbf{e}_2, \mathbf{e}_3, \mathbf{e}_4 \rangle, \langle \mathbf{e}_1 + \mathbf{e}_2, \mathbf{e}_3, \mathbf{e}_4 \rangle, \langle \mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_4 \rangle, \langle \mathbf{e}_1 + \mathbf{e}_3, \mathbf{e}_2, \mathbf{e}_4 \rangle, \langle \mathbf{e}_1, \mathbf{e}_2 + \mathbf{e}_3, \mathbf{e}_4 \rangle, \\ &\langle \mathbf{e}_1 + \mathbf{e}_3, \mathbf{e}_2 + \mathbf{e}_3, \mathbf{e}_4 \rangle, \langle \mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3 \rangle, \langle \mathbf{e}_1 + \mathbf{e}_4, \mathbf{e}_2, \mathbf{e}_3 \rangle, \langle \mathbf{e}_1, \mathbf{e}_2 + \mathbf{e}_4, \mathbf{e}_3 \rangle, \\ &\langle \mathbf{e}_1 + \mathbf{e}_4, \mathbf{e}_2 + \mathbf{e}_4, \mathbf{e}_3 \rangle, \langle \mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3 + \mathbf{e}_4 \rangle, \langle \mathbf{e}_1 + \mathbf{e}_4, \mathbf{e}_2, \mathbf{e}_3 + \mathbf{e}_4 \rangle, \\ &\langle \mathbf{e}_1, \mathbf{e}_2 + \mathbf{e}_3, \mathbf{e}_3 + \mathbf{e}_4 \rangle, \langle \mathbf{e}_1 + \mathbf{e}_4, \mathbf{e}_2 + \mathbf{e}_4, \mathbf{e}_3 + \mathbf{e}_4 \rangle, \end{aligned}$$

where for  $1 \leq i \leq 4$ , by  $\mathbf{e}_i$  we have denoted the element of  $\mathbb{F}_2^4$  with 1 in the  $i$ th position and 0 elsewhere. We can take a generator matrix of  $F_j$  to be the  $3 \times 4$  matrix  $Y_j$ , which has as its rows the elements of the given ordered basis of  $F_j$ , and it can be checked that the rank of the  $3 \times 3$  matrix  $GY_j^T$  is indeed 3 for each  $j = 1, \dots, 14$ . Incidentally, the only three-dimensional subspace of  $\mathbb{F}_2^4$  missing in the above list is  $F := \langle \mathbf{e}_1, \mathbf{e}_3, \mathbf{e}_4 \rangle$  and its generator matrix  $Y$  has the property that  $\text{rank}(GY^T) = 2$ ; indeed,

$$Y = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \quad \text{and} \quad (GY^T) \begin{pmatrix} 1 \\ a^3 + a^2 + a + 1 \\ a^2 + a \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}.$$

Now, let us consider the subspace  $F_8 = \langle \mathbf{e}_1 + \mathbf{e}_4, \mathbf{e}_2, \mathbf{e}_3 \rangle$  and its restrictions  $\mathcal{R}_i(F_8)$  for  $1 \leq i < 8$ . Observe that  $F_1 \cap F_8 = \langle \mathbf{e}_2, \mathbf{e}_3 \rangle$  and hence by Definition 6.1,

$$\mathcal{R}_1(F_8) = \{\mathbf{e}_1 + \mathbf{e}_4, \mathbf{e}_1 + \mathbf{e}_3 + \mathbf{e}_4, \mathbf{e}_1 + \mathbf{e}_2 + \mathbf{e}_4, \mathbf{e}_1 + \mathbf{e}_2 + \mathbf{e}_3 + \mathbf{e}_4\}$$

Similarly,  $F_2 \cap F_8 = \langle \mathbf{e}_3, \mathbf{e}_1 + \mathbf{e}_2 + \mathbf{e}_4 \rangle$  and  $F_3 \cap F_8 = \langle \mathbf{e}_1 + \mathbf{e}_4, \mathbf{e}_2 \rangle$ , and hence

$$\begin{aligned} \mathcal{R}_2(F_8) &= \{\mathbf{e}_1 + \mathbf{e}_4, \mathbf{e}_2, \mathbf{e}_1 + \mathbf{e}_3 + \mathbf{e}_4, \mathbf{e}_2 + \mathbf{e}_3\} \text{ and} \\ \mathcal{R}_3(F_8) &= \{\mathbf{e}_3, \mathbf{e}_1 + \mathbf{e}_3 + \mathbf{e}_4, \mathbf{e}_2 + \mathbf{e}_3, \mathbf{e}_1 + \mathbf{e}_2 + \mathbf{e}_3 + \mathbf{e}_4\} \end{aligned}$$

We see already that

$$\bigcup_{i=1}^7 \mathcal{R}_i(F_8) = F_8 \setminus \{\mathbf{0}\}.$$

We can also compute the remaining restrictions, and these turn out to be as follows.

$$\begin{aligned}\mathcal{R}_4(F_8) &= \{\mathbf{e}_3, \mathbf{e}_1 + \mathbf{e}_4, \mathbf{e}_2 + \mathbf{e}_3, \mathbf{e}_1 + \mathbf{e}_2 + \mathbf{e}_4\}, \\ \mathcal{R}_5(F_8) &= \{\mathbf{e}_2, \mathbf{e}_3, \mathbf{e}_1 + \mathbf{e}_2 + \mathbf{e}_4, \mathbf{e}_1 + \mathbf{e}_3 + \mathbf{e}_4\}, \\ \mathcal{R}_6(F_8) &= \{\mathbf{e}_2, \mathbf{e}_3, \mathbf{e}_1 + \mathbf{e}_4, \mathbf{e}_1 + \mathbf{e}_2 + \mathbf{e}_3 + \mathbf{e}_4\}, \text{ and} \\ \mathcal{R}_7(F_8) &= \{\mathbf{e}_1 + \mathbf{e}_4, \mathbf{e}_1 + \mathbf{e}_2 + \mathbf{e}_4, \mathbf{e}_1 + \mathbf{e}_3 + \mathbf{e}_4, \mathbf{e}_1 + \mathbf{e}_2 + \mathbf{e}_3 + \mathbf{e}_4\},\end{aligned}$$

Considering these restrictions, it is clear that the interval  $I_8$  corresponding to  $F_8$  is

$$I_8 = \{\langle \mathbf{e}_1 + \mathbf{e}_4, \mathbf{e}_3 \rangle, F_8\}.$$

Thus,  $I_8 \neq \{F_8\}$  and so the converse of Lemma 6.3 is not true, in general.

It may be observed in the above example that  $I_8 = \langle F_1, \dots, F_8 \rangle \setminus \langle F_1, \dots, F_7 \rangle$ . This turns out to be a special case of a general phenomenon. In fact, we have the following result, which may be regarded as a  $q$ -analogue of [4, Proposition 7.2.2].

**Theorem 6.5** *Let  $F_1, \dots, F_t$  be a shelling of a shellable  $q$ -complex  $\Delta$  on  $E = \mathbb{F}_q^n$ . For any  $j \in \mathbb{N}$  with  $j \leq t$ , let  $\Delta_j$  denote the subcomplex  $\langle F_1, \dots, F_j \rangle$  of  $\Delta$  generated by  $F_1, \dots, F_j$  (in particular,  $\Delta_0 = \emptyset$ , as per our convention). Then,*

$$\Delta_j = I_j \cup \Delta_{j-1} \quad \text{and} \quad I_j \cap \Delta_{j-1} = \emptyset. \quad (6)$$

Consequently, we obtain a partition of  $\Delta$  as a disjoint union of “intervals”:

$$\Delta = \bigsqcup_{j=1}^t I_j. \quad (7)$$

**Proof** As noted in Remark 6.2,  $I_1 = \Delta_1$ , and so (6) holds when  $j = 1$ . Now, suppose  $2 \leq j \leq t$ . The inclusion  $I_j \cup \Delta_{j-1} \subseteq \Delta_j$  is obvious. To prove the other inclusion, suppose, on the contrary, there is  $A \in \Delta_j$  such that  $A \notin I_j$  and  $A \notin \Delta_{j-1}$ . Then,  $A \subseteq F_j$ . Moreover, there is  $i \in \mathbb{N}^+$  with  $i < j$  such that  $\mathcal{R}_i(F_j) \neq \emptyset$  and  $\mathcal{R}_i(F_j) \cap A = \emptyset$ . Now, if  $A \not\subseteq F_i \cap F_j$ , then  $A$  would contain an element of  $\mathcal{R}_i(F_j)$ , which is a contradiction. Thus,  $A \subseteq F_i \cap F_j$ , and therefore,  $A \in \Delta_{j-1}$ , which is again a contradiction. This proves that  $\Delta_j \subseteq I_j \cup \Delta_{j-1}$ . Thus,  $\Delta_j = I_j \cup \Delta_{j-1}$ .

Next, suppose there is  $A \in I_j \cap \Delta_{j-1}$ . Let  $S := \{i \in \mathbb{N}^+ : i < j \text{ and } \mathcal{R}_i(F_j) \neq \emptyset\}$ . Then,  $A \cap \mathcal{R}_i(F_j) \neq \emptyset$  for all  $i \in S$ , and so we can choose  $x_i \in A \cap \mathcal{R}_i(F_j)$  for each  $i \in S$ . Define  $G := \langle x_i : i \in S \rangle$ . Now,  $G \in I_j$  and  $G \subseteq A \subseteq F_k$  for some  $k < j$  (because  $A \in \Delta_{j-1}$ ). Thus,  $G \subseteq F_k \cap F_j$ . By Lemma 4.3, there exists  $\ell < j$  such that  $F_k \cap F_j \subseteq F_\ell \cap F_j$  and  $\dim(F_\ell \cap F_j) = \dim F_j - 1$ . Consequently,  $\mathcal{R}_\ell(F_j) \neq \emptyset$ , and so  $\ell \in S$ . But then  $\langle x_\ell \rangle \oplus (F_\ell \cap F_j) = F_j$  (by the definition of  $\mathcal{R}_\ell(F_j)$ ), which is a contradiction because  $x_\ell \in G \subseteq F_\ell \cap F_j$ . This shows that  $I_j \cap \Delta_{j-1} = \emptyset$  and thus (6) is proved.

Finally, (7) follows from (6) by noting that  $\Delta = \Delta_t$  and  $\Delta_1 = I_1$ .  $\square$

## 6.2 Acyclic subcomplexes of shellable $q$ -complexes

Recall that for a finite dimensional vector space  $F$  over  $\mathbb{F}_q$ , we use  $\mathring{\Sigma}(F)$  to denote the punctured  $q$ -complex formed by all the nonzero subspaces of  $F$ .

**Lemma 6.6** *Let  $F$  be a vector space of dimension  $r$  over  $\mathbb{F}_q$ . Let  $m \in \mathbb{N}^+$  and let  $G_1, \dots, G_m$  be subspaces of  $F$  of dimension  $r - 1$ . For  $s \in \mathbb{N}^+$  with  $s \leq m$ ,*

$$U_s := \{x \in F : \langle x \rangle \oplus G_s = F\}$$

*Further, define*

$$I := \{A \in \mathring{\Sigma}(F) : A \cap U_s \neq \emptyset \text{ for } s = 1, \dots, m\}.$$

*Then,*

$$\mathring{\Sigma}(F) \setminus I = \bigcup_{s=1}^m \mathring{\Sigma}(G_s).$$

**Proof** Suppose  $A \in \mathring{\Sigma}(F) \setminus I$ . Then,  $A \cap U_s = \emptyset$  for some  $s \in \mathbb{N}^+$  with  $s \leq m$ . We claim that  $A \subseteq G_s$ . Indeed, if there is  $x \in A \setminus G_s$ , then  $\langle x \rangle \oplus G_s = F$ . But then  $x \in A \cap U_s$  which is a contradiction. Therefore,  $A \in \mathring{\Sigma}(G_s)$ .

On the other hand, if  $A$  is a nonzero subspace of  $G_s$  for some  $s \in \{1, \dots, m\}$ , then any element  $x$  of  $A$  cannot be in  $U_s$  because  $\langle x \rangle + G_s = G_s$ . Thus,  $A \cap U_s = \emptyset$ . Hence,  $A \notin I$ . This proves the lemma.  $\square$

The above lemma says that  $\Sigma(F) \setminus I$  is a pure  $q$ -complex with facets  $G_1, \dots, G_m$ . We show below that the corresponding punctured  $q$ -complex is particularly nice.

**Corollary 6.7** *Let the notations and hypothesis be as in Lemma 6.6. Further let  $U := U_1 \cup \dots \cup U_m$ . If  $U \neq (F \setminus \{\mathbf{0}\})$  and if  $x$  is any nonzero element of  $F \setminus U$ , then  $\mathring{\Sigma}(F) \setminus I$  is a cone with apex  $x$ . Consequently,  $\mathring{\Sigma}(F) \setminus I$  is contractible.*

**Proof** Suppose  $U \neq (F \setminus \{\mathbf{0}\})$  and  $x$  is any nonzero element of  $F \setminus U$ . We claim that  $x \in G_s$  for every  $s \in \{1, \dots, m\}$ . To see this, suppose  $x \in F \setminus G_s$  for some  $s \in \{1, \dots, m\}$ . Then,  $\langle x \rangle \oplus G_s = F$ , and so  $x \in U_s$ . But this is a contradiction, since  $x \notin U$ . Thus, the claim is proved. Consequently, in view of Lemma 6.6, we see that  $\langle x \rangle$  is contained in every facet of  $\mathring{\Sigma}(F) \setminus I$ . Thus,  $\mathring{\Sigma}(F) \setminus I$  is a cone with apex  $x$ . The last assertion follows from Lemma 5.3.  $\square$

**Corollary 6.8** *Let  $F_1, \dots, F_t$  be a shelling of a shellable  $q$ -complex  $\Delta$  on  $E = \mathbb{F}_q^n$ . Suppose there is  $j \in \mathbb{N}^+$  with  $2 \leq j \leq t$  such that*

$$\bigcup_{i=1}^{j-1} \mathcal{R}_i(F_j) \neq F_j \setminus \{\mathbf{0}\}. \quad (8)$$

*Then,  $\mathring{\Sigma}(F_j) \setminus I_j$  is contractible.*

**Proof** If in Lemma 6.6, we take

$$F = F_j \quad \text{and} \quad \{G_1, \dots, G_m\} = \{F_i \cap F_j : 1 \leq i < j \text{ and } \mathcal{R}_i(F_j) \neq \emptyset\},$$

then we see that  $G_1, \dots, G_m$  are subspaces of  $F$  of codimension 1, and moreover,  $U = \bigcup_{i=1}^{j-1} \mathcal{R}_i(F_j)$  and  $I = I_j$ . Thus, the desired result follows from Corollary 6.7.  $\square$

The following result can be viewed as an analogue for  $q$ -complexes of Björner's Acyclicity Lemma [4, Lemma 7.7.1] for shellable simplicial complexes.

**Theorem 6.9** *Suppose  $F_1, \dots, F_\ell$  is a shelling of a shellable  $q$ -complex  $\Delta'$  on  $E$  of positive dimension  $d$ , and let  $\Delta_j := \langle F_1, \dots, F_j \rangle$  for  $1 \leq j \leq \ell$ . Assume that (8) holds for each  $j = 2, \dots, \ell$ . Then,  $\mathring{\Delta}'$  is acyclic.*

**Proof** We prove by induction on  $i$  ( $1 \leq i \leq \ell$ ) that each  $\mathring{\Delta}_i$  is acyclic. Notice that each  $\Delta_i$  is shellable. Since  $\mathring{\Delta}_1 = \mathring{\Sigma}(F_1)$  has a unique maximal element, by Lemma 5.3 we see that it is contractible, and therefore acyclic. Now, assume that  $1 < j \leq \ell$  and  $\mathring{\Delta}_{j-1}$  is acyclic. We want to show that  $\mathring{\Delta}_j$  is also acyclic. Note that  $\mathring{\Sigma}(F_j)$  is contractible, and hence acyclic, while  $\mathring{\Delta}_{j-1}$  is acyclic by the induction hypothesis. Moreover, by Theorem 6.5,  $\Delta_{j-1} = \Delta_j \setminus I_j$ , and by taking intersections with  $\mathring{\Sigma}(F_j)$ , we obtain  $\mathring{\Delta}_{j-1} \cap \mathring{\Sigma}(F_j) = \mathring{\Sigma}(F_j) \setminus I_j$ . So by Corollary 6.8, it follows that  $\mathring{\Delta}_{j-1} \cap \mathring{\Sigma}(F_j)$  is contractible. Hence, by applying a Mayer–Vietoris sequence, we see that  $\mathring{\Delta}_j = \mathring{\Delta}_{j-1} \cup \mathring{\Sigma}(F_j)$  is acyclic. This completes the proof.  $\square$

### 6.3 Computation of homology of shellable $q$ -complexes

It may be pertinent to begin by recalling how one determines the homology in the classical case of a shellable simplicial complex, say  $\Delta$ . The first step is to observe that the subcomplex  $\Delta'$  generated by the facets  $F$  of  $\Delta$  with  $\mathcal{R}(F) \neq F$  is acyclic. In the next step, we attach to  $\Delta'$  a facet  $F$  of  $\Delta$  with  $\mathcal{R}(F) = F$  and use the Mayer–Vietoris sequence to determine the homology of  $\Delta' \cup \langle F \rangle$ , and then use an inductive argument. See, for example, [4, § 7.7] or [8, pp. 138–139]. This approach works because the intersection  $\Delta' \cap \langle F \rangle$  is the boundary complex of  $F$ . And this boundary complex being a sphere, we know its homology.

Now, let us turn to a shellable  $q$ -complex  $\Delta$  on  $E = \mathbb{F}_q^n$ . We can similarly consider the subcomplex  $\Delta'$  consisting of the facets  $F_j$  for which (8) holds. Then, Theorem 6.9 would imply that  $\Delta'$  is acyclic, provided the ordering of facets of  $\Delta$  restricted on the facets of  $\Delta'$  gives a shelling of  $\Delta'$ . Next, if we were to attach to  $\Delta'$  a facet  $F = F_j$  for which (8) does not hold, then we do not know whether or not the intersection  $\Delta' \cap \mathring{\Sigma}(F_i)$  is a (punctured)  $q$ -sphere. But if one could overcome these difficulties, then the homology can certainly be computed as shown by the following result, where we have allowed ourselves a generous hypothesis.



**Theorem 6.10** *Let  $\Delta$  be a pure  $q$ -complex on  $E = \mathbb{F}_q^n$  of positive dimension  $d$  such that  $\Delta$  admits a shelling  $F_1, \dots, F_t$ . Let  $\Delta' := \langle F_j : j \in J' \rangle$ , where*

$$J := \left\{ j \in \{2, \dots, t\} : \bigcup_{i=1}^{j-1} \mathcal{R}_i(F_j) = F_j \setminus \{\mathbf{0}\} \right\} \quad \text{and} \quad J' := \{1, \dots, t\} \setminus J. \quad (9)$$

*Assume that the ordering  $F_1, \dots, F_t$  restricted on the facets of  $\Delta'$  gives a shelling of  $\Delta'$  and that  $\mathring{\Sigma}(F_j) \cap \mathring{\Delta}'$  is the punctured  $q$ -sphere  $\mathring{S}_q^{d-1}$  for each  $j \in J$ . Then,*

$$\widetilde{H}_p(\mathring{\Delta}) = \begin{cases} \mathbb{Z}^{|J|q^{d(d-1)/2}} & \text{if } p = d-1, \\ 0 & \text{otherwise.} \end{cases}$$

**Proof** The facets of  $\Delta'$  are  $F_j$  as  $j$  varies over  $J'$ , and the ordering of these induced by the linear ordering  $F_1, \dots, F_t$  is a shelling of  $\Delta'$ . Moreover, for  $2 \leq j \leq t$ ,

$$j \in J' \implies \bigcup_{i=1}^{j-1} \mathcal{R}_i(F_j) \neq F_j \setminus \{\mathbf{0}\} \implies \bigcup_{\substack{1 \leq i < j \\ i \in J'}} \mathcal{R}_i(F_j) \neq F_j \setminus \{\mathbf{0}\},$$

because  $\mathcal{R}_i(F_j) \subseteq F_j \setminus \{\mathbf{0}\}$  for all  $i \neq j$ . Hence, it follows from Theorem 6.9 that  $\Delta'$  is acyclic. Now, using the second assumption together with suitable Mayer–Vietoris sequences and proceeding as in the proof of Theorem 5.7, we obtain the desired result about the reduced homology groups of  $\mathring{\Delta}$ .  $\square$

The above result explicitly determines the singular homology of arbitrary shellable  $q$ -complexes, provided the hypothesis of Theorem 6.10 is satisfied. We show below that this hypothesis is satisfied by shellable  $q$ -complexes for which the converse of Lemma 6.3 is true.

**Proposition 6.11** *Let  $\Delta$  be a pure  $q$ -complex on  $E = \mathbb{F}_q^n$  of positive dimension  $d$  such that  $\Delta$  admits a shelling  $F_1, \dots, F_t$ . Suppose for any  $j \in \{2, \dots, t\}$ ,*

$$\bigcup_{1 \leq i < j} \mathcal{R}_i(F_j) = F_j \setminus \{\mathbf{0}\} \implies I_j = \{F_j\}.$$

*Also, let  $J$  and  $J'$  be as in (9). Then,  $\Delta' := \langle F_j : j \in J' \rangle$  satisfies the following.*

- (i) *The ordering  $F_1, \dots, F_t$  restricted on the facets of  $\Delta'$  gives a shelling of  $\Delta'$ .*
- (ii)  *$\mathring{\Sigma}(F_j) \cap \mathring{\Delta}'$  is the punctured  $q$ -sphere  $\mathring{S}_q^{d-1}$  for each  $j \in J$ .*

**Proof** For  $1 \leq j \leq t$ , let  $\Delta_j := \langle F_1, \dots, F_j \rangle$ . Note that the facets of  $\Delta'$  are given by  $F_j$ , where  $j$  varies over  $J'$ . Evidently,  $\Delta'$  is a pure complex on  $E$  of dimension  $d$ . To show that it is shellable, let  $i, j \in J'$  with  $i < j$ . By Lemma 4.3 (applied to  $\Delta$ ), there is  $k_1 \in \{1, \dots, t\}$  with  $k_1 < j$  such that  $F_i \cap F_j \subseteq F_{k_1} \cap F_j$  and  $\dim F_{k_1} \cap F_j = d-1$ . If  $k_1 \in J'$ , then we are done. If not, then  $k_1 \in J$  and in particular,

$k_1 \geq 2$ . By our hypothesis,  $I_{k_1} = \{F_{k_1}\}$ . Hence, by Theorem 6.5,  $\Delta_{k_1} \setminus \Delta_{k_1-1} = \{F_{k_1}\}$ . Consequently,  $F_{k_1} \cap F_j \subseteq F_{k_2}$  for some  $k_2 \in \mathbb{N}^+$  with  $k_2 < k_1 < j$ . This implies that  $F_{k_1} \cap F_j \subseteq F_{k_2} \cap F_j$ , and since  $\dim(F_{k_1} \cap F_j) = d - 1$ , we obtain  $F_{k_1} \cap F_j = F_{k_2} \cap F_j$ . Again, if  $k_2 \in J'$ , then we are done. Or else,  $k_2 \in J$ , and we can proceed as before to obtain  $k_3 \in \mathbb{N}^+$  with  $k_3 < k_2 < k_1 < j$  and  $F_{k_3} \cap F_j = F_{k_2} \cap F_j$ . Since  $k_1, k_2, k_3, \dots$  are positive integers, this process cannot continue indefinitely. Hence, there is  $k \in J'$  with  $k < j$  such that  $F_i \cap F_j \subseteq F_k \cap F_j$  and  $\dim(F_k \cap F_j) = d - 1$ . This proves that  $\Delta'$  is shellable and the ordering  $F_1, \dots, F_t$  restricted on the facets of  $\Delta'$  gives a shelling of  $\Delta'$ . Thus, (i) is proved.

Next, let  $j \in J$ . Then,  $j \geq 2$  and  $F_j \notin \Delta'$ . Hence,  $\mathring{\Sigma}(F_j) \cap \mathring{\Delta}' \subseteq \mathring{\Sigma}(F_j) \setminus \{F_j\}$ . We claim that the reverse inclusion also holds, i.e.,  $\mathring{\Sigma}(F_j) \setminus \{F_j\} \subseteq \mathring{\Sigma}(F_j) \cap \mathring{\Delta}'$ . This is trivial if  $d = 1$ . So we may assume that  $d \geq 2$ . Let  $F$  be a facet of  $\mathring{\Sigma}(F_j) \setminus \{F_j\}$ , i.e., a nonzero subspace of  $F_j$  with  $\dim F = d - 1$ . Then,  $F \in \Delta_j$  and since  $j \in J$ , by Theorem 6.5 and our hypothesis, we see that  $F \notin \{F_j\} = \Delta_j \setminus \Delta_{j-1}$ . Thus,  $F \in \Delta_{j-1}$ , i.e.,  $F \subset F_i$  for some  $i \in \mathbb{N}^+$  with  $i < j$ . Thus,  $F \subseteq F_i \cap F_j$ , and since  $\dim F = d - 1$ , we see that  $F = F_i \cap F_j$ . Now, as noted in the previous paragraph, we can write  $F_i \cap F_j = F_k \cap F_j$  for some  $k \in J'$  with  $k < j$ . In particular,  $F$  is a subspace of  $F_k$  and so  $F \in \Delta'$ . This proves that  $\mathring{\Sigma}(F_j) \setminus \{F_j\} \subseteq \mathring{\Sigma}(F_j) \cap \mathring{\Delta}'$ . Consequently,  $\mathring{\Sigma}(F_j) \cap \mathring{\Delta}'$  is the  $q$ -sphere  $\mathring{\Sigma}(F_j) \setminus \{F_j\}$  of dimension  $d - 1$ .  $\square$

**Remark 6.12** A  $q$ -complex equipped with the order topology (with respect to inclusion) is weak homotopy equivalent to its order complex [16, Theorem 2.15] (see also [17, Theorem 4.5]). Thus, their topological invariants are the same. For the classical case, i.e., for simplicial complexes, the singular homology is the same as the simplicial homology, and this, in turn, is related to the Betti numbers of the Stanley–Reisner rings via Hochster’s formula (see, e.g., [5, Theorem 5.5.1] or [20, Corollary 4.97]). Thus, the results for Hamming metric codes involving Betti numbers can be rephrased in terms of the singular homology of the associated matroid complexes. Since our  $q$ -matroid complexes are not simplicial complexes, (or more generally, the corresponding posets are not simplicial posets), it does not seem straightforward to associate with them the Betti numbers as in the case of classical matroids using the method adopted in [12]. That is why we took the topological approach to consider the singular homology and tried to compute it for  $q$ -matroid complexes using the fact (established here) that they are shellable.

**Remark 6.13** Consider the shellable  $q$ -complex  $\Delta_C$  of Example 6.4. We have seen that the converse of Lemma 6.3 is not true for this. We have also seen that  $\Delta_C$  has 14 facets  $F_1, \dots, F_{14}$ , and we have determined the sets  $\mathcal{R}_i(F_8)$  for  $1 \leq i \leq 7$ . The remaining sets  $\mathcal{R}_i(F_j)$  can also be easily computed. We are of course mainly interested in the unions  $\mathcal{R}_j := \bigcup_{i=1}^{j-1} \mathcal{R}_i(F_j)$  for  $2 \leq j \leq 14$ , and it turns out that

$$\mathcal{R}_2 = \{\mathbf{e}_1 + \mathbf{e}_2, \mathbf{e}_1 + \mathbf{e}_2 + \mathbf{e}_3, \mathbf{e}_1 + \mathbf{e}_2 + \mathbf{e}_4, \mathbf{e}_1 + \mathbf{e}_2 + \mathbf{e}_3 + \mathbf{e}_4\},$$

$$\mathcal{R}_3 = \{\mathbf{e}_1, \mathbf{e}_1 + \mathbf{e}_2, \mathbf{e}_1 + \mathbf{e}_4, \mathbf{e}_1 + \mathbf{e}_2 + \mathbf{e}_4, \mathbf{e}_2, \mathbf{e}_2 + \mathbf{e}_4\},$$

$$\mathcal{R}_4 = \{\mathbf{e}_1 + \mathbf{e}_3, \mathbf{e}_1 + \mathbf{e}_2 + \mathbf{e}_3, \mathbf{e}_1 + \mathbf{e}_3 + \mathbf{e}_4, \mathbf{e}_1 + \mathbf{e}_2 + \mathbf{e}_3 + \mathbf{e}_4, \mathbf{e}_2, \mathbf{e}_2 + \mathbf{e}_4\},$$

$$\mathcal{R}_5 = \{\mathbf{e}_1, \mathbf{e}_1 + \mathbf{e}_2 + \mathbf{e}_3, \mathbf{e}_1 + \mathbf{e}_4, \mathbf{e}_1 + \mathbf{e}_2 + \mathbf{e}_3 + \mathbf{e}_4, \mathbf{e}_2 + \mathbf{e}_3, \mathbf{e}_2 + \mathbf{e}_3 + \mathbf{e}_4\},$$

$$\mathcal{R}_6 = \{\mathbf{e}_1 + \mathbf{e}_3, \mathbf{e}_1 + \mathbf{e}_2, \mathbf{e}_1 + \mathbf{e}_3 + \mathbf{e}_4, \mathbf{e}_1 + \mathbf{e}_2 + \mathbf{e}_4, \mathbf{e}_2 + \mathbf{e}_3, \mathbf{e}_2 + \mathbf{e}_3 + \mathbf{e}_4\}, \text{ and} \\ \mathcal{R}_j = F_j \setminus \{\mathbf{0}\} \text{ for } j = 7, \dots, 14.$$

It can thus be seen that if  $J$  and  $J'$  are as in (9) with  $\Delta = \Delta_C$ , then

$$J = \{7, 8, 9, 10, 11, 12, 13, 14\} \quad \text{and} \quad J' = \{1, 2, 3, 4, 5, 6\}.$$

Moreover, it is clear from the description in Example 6.4 of the facets  $F_1, \dots, F_{14}$  of  $\Delta_C$  that  $\langle \mathbf{e}_4 \rangle \subseteq F_j$  for all  $j \in J'$ . Thus, by Lemma 5.3,  $\Delta'_C := \langle F_j : j \in J' \rangle$  is acyclic. On the other hand, it can be seen that for this  $q$ -complex of dimension 3,

$$\mathring{\Sigma}(F_7) \cap \mathring{\Delta}'_C = \mathring{\Sigma}(F_7) \setminus I_7 = \mathring{\Sigma}(F_7) \setminus \{\langle \mathbf{e}_1, \mathbf{e}_3 \rangle, F_7\},$$

and this is not a punctured  $q$ -sphere of dimension 2. Thus, we see that  $\Delta_C$  does not satisfy one of the hypotheses of Theorem 6.10. The determination of singular homology of shellable  $q$ -complexes such as  $\Delta_C$ , which do not satisfy the hypothesis of Theorem 6.10, remains an open question.

**Acknowledgements** We are grateful to the anonymous reviewers for many useful comments on a preliminary version of this paper.

## Declarations

**Data availability** The data and a link for the SageMath code used to support the findings of this study are included within the article.

## References

1. Alder, S.J.: On  $q$ -Simplicial Posets, Ph.D. Thesis, Univ. of East Anglia, UK (2010)
2. Alexandroff, P.: Diskrete räume. Mat. Sb. (N.S.) **2**, 501–518 (1937)
3. Barmak, J.A.: Algebraic topology of finite topological spaces and applications. Lecture Notes in Mathematics, vol. 2032. Springer, Heidelberg (2011)
4. Björner, A.: The homology and shellability of matroids and geometric lattices. In: White, N. (ed.) Matroid Applications. Encyclopedia of Mathematics and its Applications, vol. 40, pp. 226–283. Cambridge University Press, Cambridge (1992)
5. Bruns, W., Herzog, J.: Cohen-Macaulay Rings, Revised Cambridge University Press, Cambridge (1998)
6. Britz, T., Mammoliti, A., Shiromoto, K.: Wei-type duality theorems for rank metric codes. Des. Codes Cryptogr. **88**, 1503–1519 (2020)
7. Crapo, H.: On the Theory of Combinatorial Independence, Ph.D. Thesis, Massachusetts Institute of Technology, Cambridge, USA (1964)
8. Ghorpade, S.R., Shastri, A.R., Srinivasan, M.K., Verma, J.K. (Eds.): Combinatorial Topology and Algebra, RMS Lecture Notes Series, Ramanujan Mathematical Society, Mysore (2013)
9. Ghorpade, S.R., Johnsen, T.: A polymatroid approach to generalized weights of rank metric codes. Des. Codes Cryptogr. **88**, 2531–2546 (2020)
10. Gorla, E.: Rank-metric codes. In: Huffmann, W.C., Kim, J.-L., Solé, P. (eds.) Concise Encyclopedia of Coding Theory, pp. 227–250. CRC Press, Boca Raton (2021)
11. Gorla, E., Jurrius, R., López, H.H., Ravagnani, A.: Rank-metric codes and  $q$ -polymatroids. J. Algebraic Combin. **52**, 1–19 (2020)

12. Johnsen, T., Verdure, H.: Hamming weights and Betti numbers of Stanley-Reisner rings associated to matroids. *Appl. Algebra Engrg. Comm. Comput.* **24**, 73–93 (2013)
13. Johnsen, T., Pratihari, R., Verdure, H.: Weight spectra of Gabidulin rank-metric codes and Betti numbers, *São Paulo J. Math. Sci.*, to appear. [[arXiv:2106.10993v3](https://arxiv.org/abs/2106.10993v3)] [[math.CO](https://arxiv.org/archive/math)] (2021)]
14. Jurrius, R., Pellikaan, R.: Defining the  $\mathbb{A}^1$ -analogue of a matroid. *Electron. J. Combin.* **25**, Paper No. 3.2, 32 pp (2018)
15. May, J.P.: Finite spaces and larger contexts, University of Chicago Lecture in Notes, available: <https://math.uchicago.edu/~may/FINITE/FINITEBOOK/FINITEBOOKCollatedDraft.pdf>
16. McCord, M.C.: Singular homology groups and homotopy groups of finite topological spaces. *Duke Math. J.* **33**, 465–474 (1966)
17. Raptis, G.: Homotopy theory of posets. *Homol. Homotopy Appl.* **12**, 211–230 (2010)
18. Rota, G.-C.: On the combinatorics of the Euler characteristic. In: L. Mirsky (ed.) *Studies in Pure Mathematics* (presented to Richard Rado), pp. 221–233. Academic Press, London (1971) [Reprinted in: J. P. S. Kung (Ed.), *Gian-Carlo Rota on Combinatorics: Introductory Papers and Commentaries*, Birkhäuser Boston (1995)]
19. Shiromoto, K.: Codes with the rank metric and matroids. *Des. Codes Cryptogr.* **87**, 1765–1776 (2019)
20. Stanley, R.P.: *Combinatorics and Commutative Algebra*, 2nd Ed., Birkhäuser Boston (1996)
21. Stong, R.E.: Finite topological spaces. *Trans. Amer. Math. Soc.* **123**, 325–340 (1966)
22. Terwilliger, P.: Quantum matroids. In: Bannai, E., Munemasa, A. (eds.) *Progress in Algebraic Combinatorics*. Advanced Studies in Pure Mathematics, vol. 24, pp. 323–441. Mathematical Society of Japan, Tokyo (1996)
23. White, N. (ed.): *Theory of Matroids*, *Encyclopedia of Mathematics and its Applications*, vol. 26. Cambridge University Press, Cambridge (1986)

**Publisher's Note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.